

**CS 5154**

# **Criteria-Based Test Design**

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**The following are modified versions of the publicly-available slides for Chapters 2 and 5 in the Ammann and Offutt Book, “Introduction to Software Testing”**  
(<http://www.cs.gmu.edu/~offutt/softwaretest>)

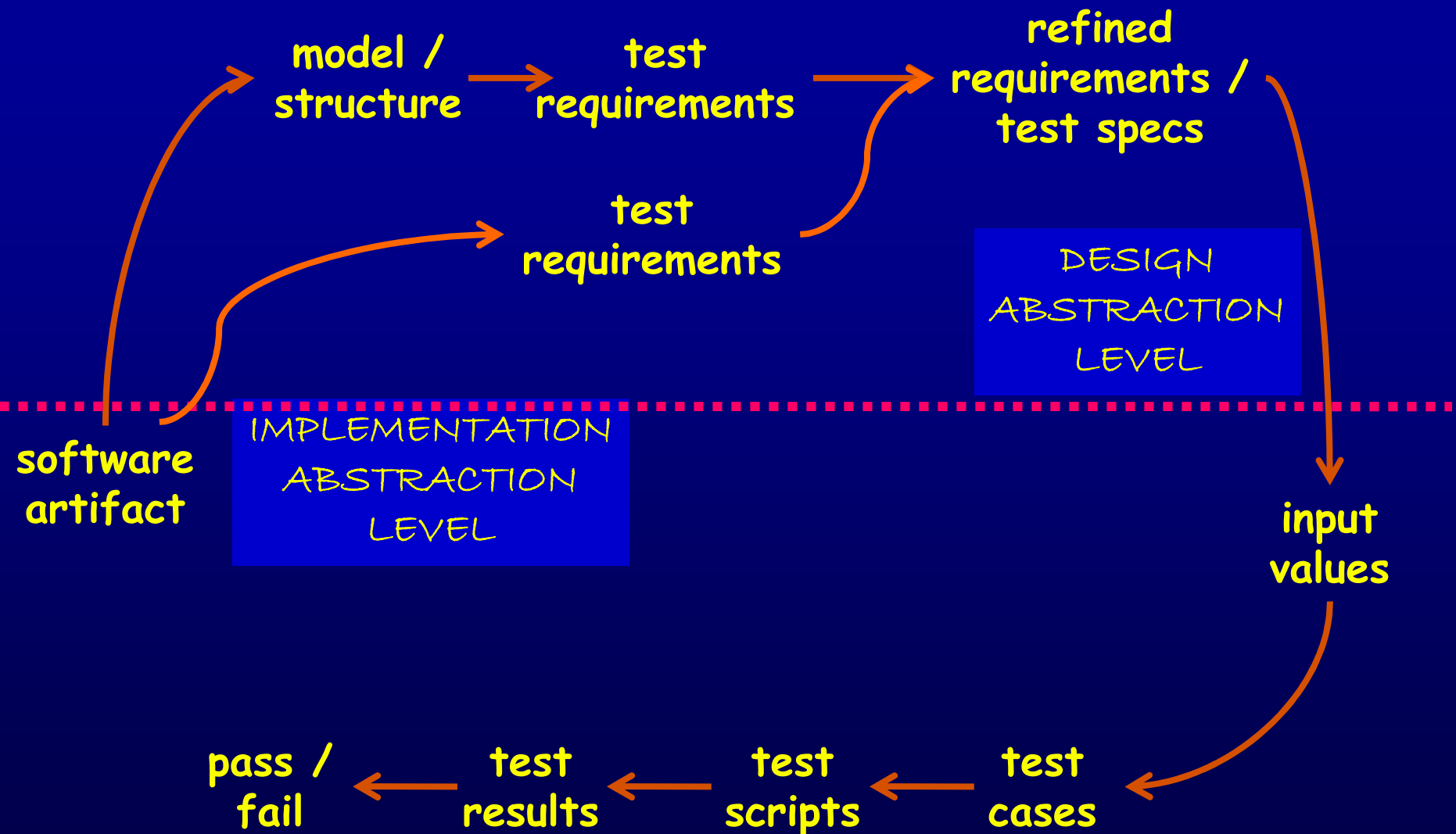
# In Today's Class (Hopefully...)

- Introduction to Model-Driven Test Design
- Hands-on Demo (if we have time)
  - The Maven Build System
  - Measuring Coverage

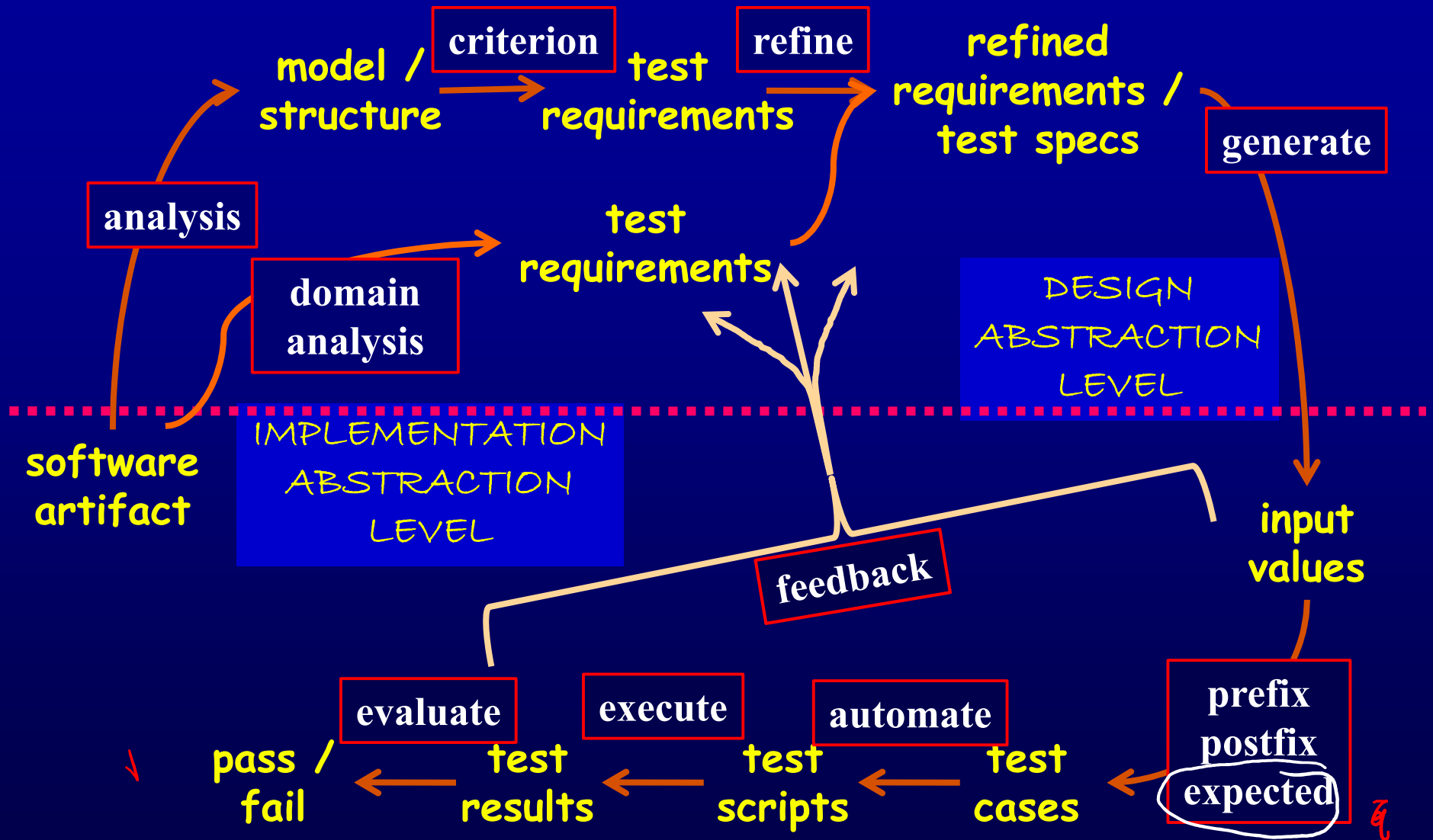
# Changing Notions of Testing

- Old view: focus on testing at each software development **phase** as being very different from other phases
  - Unit, module, integration, system, ...
- This class: think in terms of **structures** and **criteria**
  - input space, graphs, logical expressions, syntax
- **Test design** is largely the same at each phase
  - Creating the **model** is different
  - Choosing **values** and **automating** the tests is different

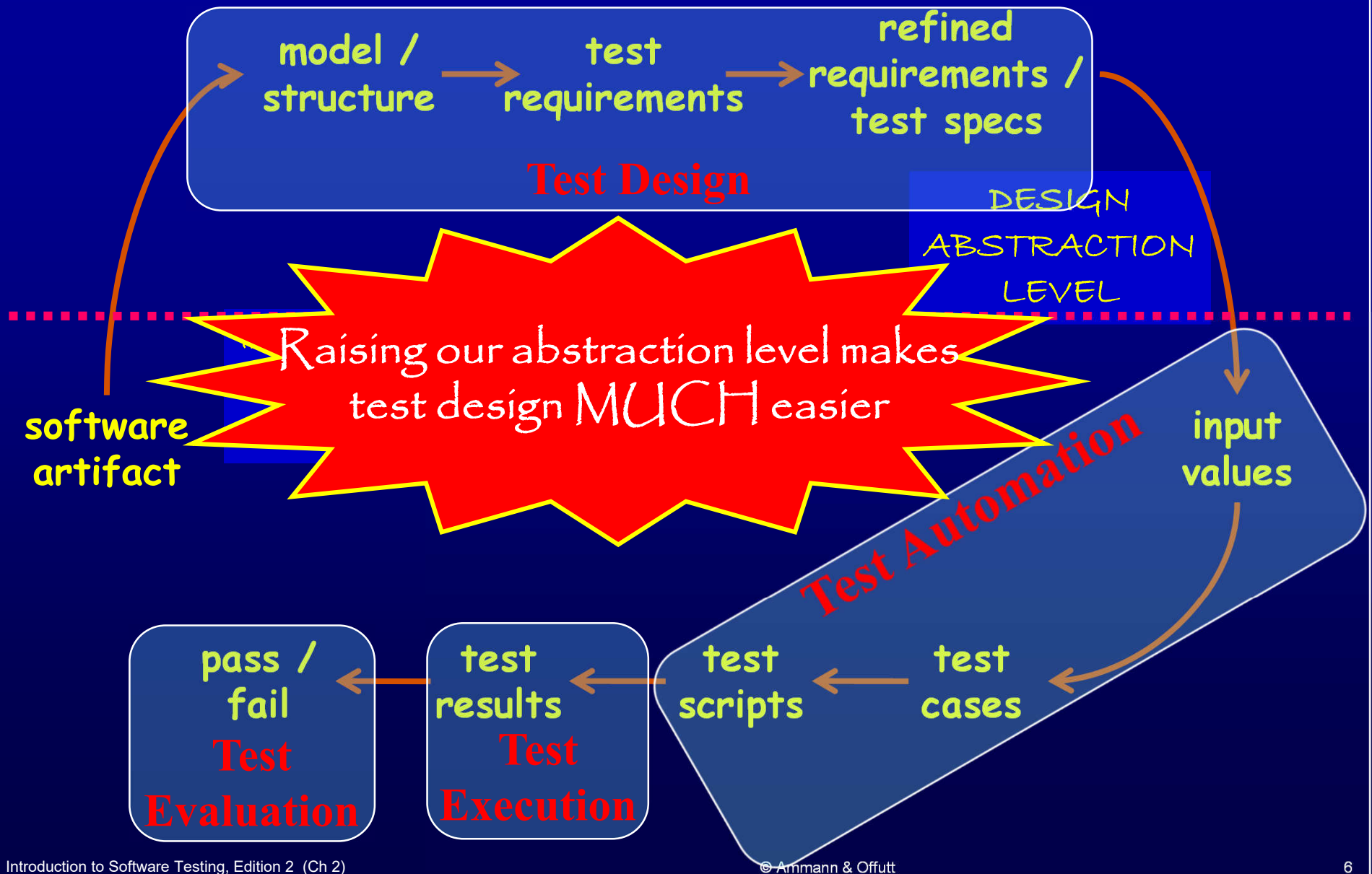
# Model-Driven Test Design



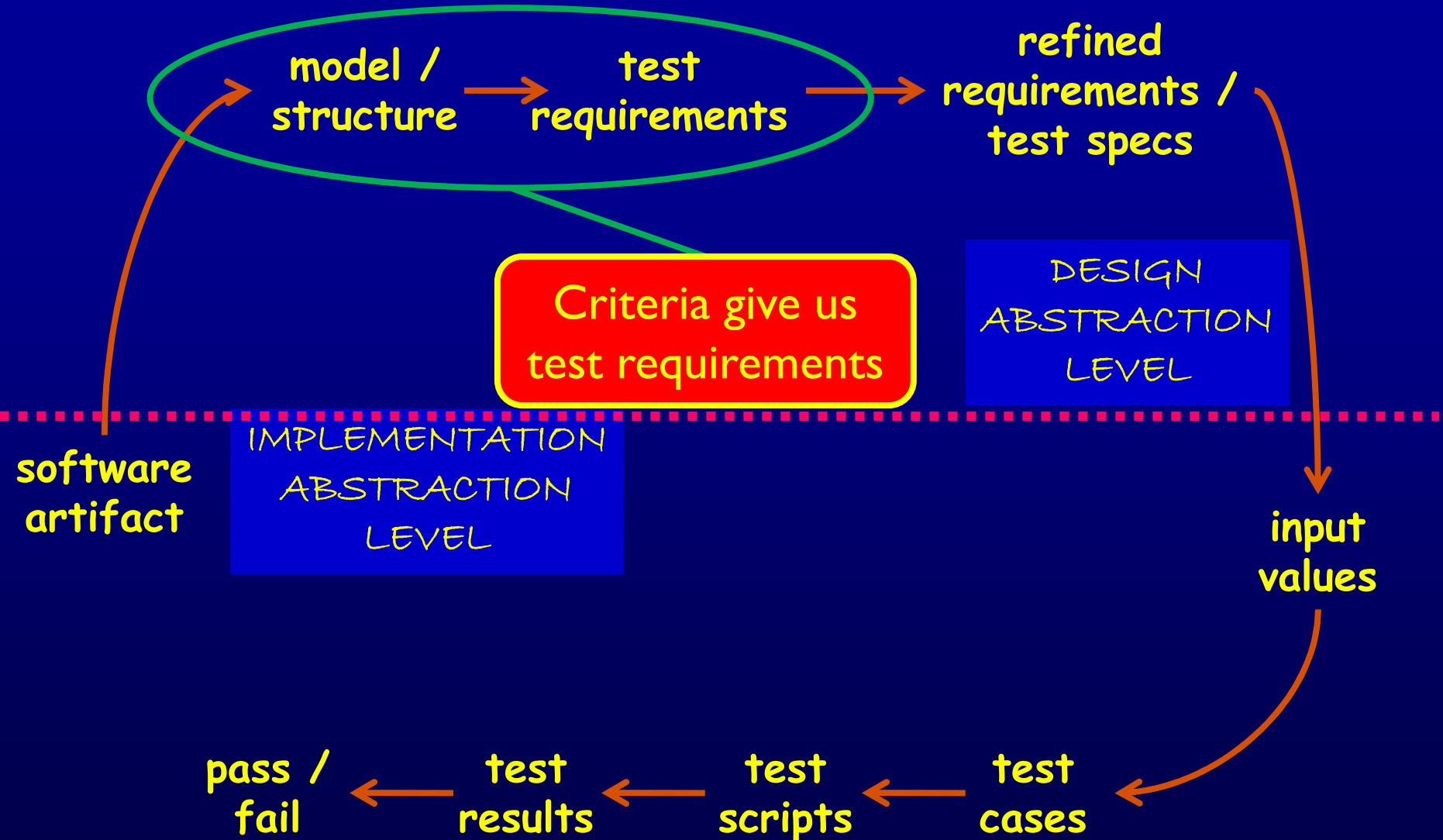
# Model-Driven Test Design – Steps



# Model-Driven Test Design-Activities



# Criteria-Based Test Design



# Test design concepts

A tester's job is **simple**: Define a model of the software, then find ways to cover it

- **Test Requirements**: A specific element of a software artifact that a test case must satisfy or cover

✓ statement coverage: lines of code  
✗ branch coverage: condition

- **Coverage Criterion**: A rule or collection of rules that impose test requirements on a test set



# But, many coverage criteria exist

All Combinations Coverage

Each choice Coverage

Pair-Wise Coverage

T-Wise Coverage

Base Choice Coverage

Multiple Base Choice Coverage

Node Coverage

Edge Coverage

Edge-pair Coverage

Prime Path Coverage

Simple Round Trip Coverage

Complete Round Trip Coverage

Complete Pair Coverage

Specified Pair Coverage

All-Edges Coverage

All-Union Coverage

All-du-Paths Coverage

Predicate Coverage

Combinatorial Coverage

General Active Clause Coverage

Correlated Active Clause Coverage

Restricted Active Clause Coverage

General Inactive Clause Coverage

Restricted Inactive Clause Coverage

Point Coverage

Terminal Symbol Coverage

Production Coverage

Mutation Coverage

Mutation Operator Coverage

Mutation Production Coverage

Strong Mutation Coverage

Weak Mutation Coverage

**These are only a subset of those in the Book!**

Multiple Unique True Points Coverage

Corresponding Unique True Points and Near False

Point Pair Coverage

Multiple Near False Point Coverage

# Organized approach to criteria

- Researchers defined many more criteria
  
- Some criteria in the literature are redundant with respect to one another
  
- The view in this book (and in this course): all criteria are defined on just four types of structures
  - Input Domain
  - Graph Representations of Software
  - Logic expressions in Software
  - Syntax

# How to obtain these structures?

- The structures can be **extracted** from lots of artifacts
  - **Graphs** can be extracted from UML use cases, finite state machines, source code, ...
  - **Logical expressions** can be extracted from decisions in program source, guards on transitions, conditionals in use cases, ...
  
- MDTD  $\neq$  “**model-based testing**,” (MBT) which derives tests from formal models of the system under test
  - MBT models usually describe part of the **behavior**
  - The **source** code is explicitly **not** considered a model in MBT

# Criteria Based on Structures

## Structures : Four ways to model software

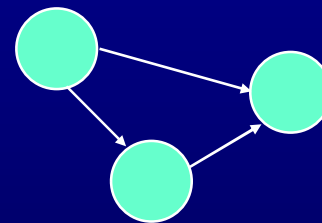
1. Input Domain  
Characterization  
(sets)

A: {0, 1, >1}

B: {600, 700, 800}

C: {cs, ece, is, sds}

2. Graphs



3. Logical Expressions

(not X or not Y) and A and B

4. Syntactic Structures  
(grammars)

```
if (x > y)
```

```
  z = x - y;
```

```
else
```

```
  z = 2 * x;
```

# Example : Jellybean Coverage

## Flavors :

1. Lemon
2. Pistachio
3. Cantaloupe
4. Pear
5. Tangerine
6. Apricot



## Colors :

1. Yellow (Lemon, Apricot)
2. Green (Pistachio)
3. Orange (Cantaloupe, Tangerine)
4. White (Pear)

□ Quiz: What coverage criteria would be appropriate?

# Example : Jellybean Coverage

## Flavors :

1. Lemon
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## Colors :

1. Yellow (Lemon, Apricot)
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4. White (Pear)

## □ Possible coverage criteria :

1. Taste one jellybean of **each flavor**
  - Deciding if yellow is Lemon or Apricot is a controllability problem
2. Taste one jellybean of **each color**

# Coverage

Given a set of test requirements  $TR$  for coverage criterion  $C$ , a test set  $T$  satisfies  $C$  coverage if and only if for every test requirement  $tr$  in  $TR$ , there is at least one test  $t$  in  $T$  such that  $t$  satisfies  $tr$

- **Infeasible test requirements** : test requirements that cannot be satisfied
  - No test case values exist that meet the test requirements
  - Example: Dead code
  - Detecting infeasible test requirements is undecidable for most test criteria
  
- Thus, 100% coverage is **impossible** in practice

# More Jellybeans

T1 = { three Lemons, one Pistachio, two Cantaloupes, one Pear, one Tangerine, four Apricots }

- Does test set T1 satisfy the **flavor criterion** ?

T2 = { One Lemon, two Pistachios, one Pear, three Tangerines }

- Does test set T2 satisfy the **flavor criterion** ?
- Does test set T2 satisfy the **color criterion** ?



# Coverage Level

The ratio of the number of test requirements satisfied by  $T$  to the size of  $TR$

- T2 on the previous slide satisfies 4 of 6 test requirements

# Two Ways to Use Test Criteria

1. **Directly generate** test values **to satisfy** the criterion
  - Often assumed by the research community
  - Most obvious way to use criteria
  - Very hard without automated tools
2. Generate test values and **measure** against the criterion
  - Usually favored by industry
  - Sometimes misleading
  - If tests have <100% coverage, what does that mean?

**Test criteria are sometimes called metrics**

# Generators and Recognizers

- **Generator** : A procedure that automatically generates values to satisfy a criterion
- **Recognizer** : A procedure that decides whether a given set of test values satisfies a criterion
  
- Both problems are **undecidable** for most criteria
- It is possible to recognize whether test cases satisfy a criterion far more often than it is possible to generate tests that satisfy the criterion
  
- **Coverage analysis tools** are quite plentiful

# All criteria are NOT born equal

- Quiz: What is the smallest code and its test values that you can come up with such that the test values satisfy 100% statement coverage but miss a bug in the code?

# All criteria are NOT born equal

- Code and test values that satisfy 100% statement coverage but miss a bug in the code?

```
int stringFactor(String i, int n) {  
  if (i != null || n != 0)  
    return i.length()/n;  
  else  
    return -1;  
}
```

short-circuit

✓ ["", 0] 0/0  
✓ ["a", 1]  
✓ [null, 1]  
✓ ["a", 0]

- Test values ["happy", 2], [null, 0]
- "stronger" criteria that can reveal this bug

# Comparing criteria: subsumption

□ **Criteria Subsumption** : Test criterion  $C1$  subsumes  $C2$  iff every set of test cases that satisfies  $C1$  also satisfies  $C2$

□ Must be true for **every set** of test cases

□ **Examples** :

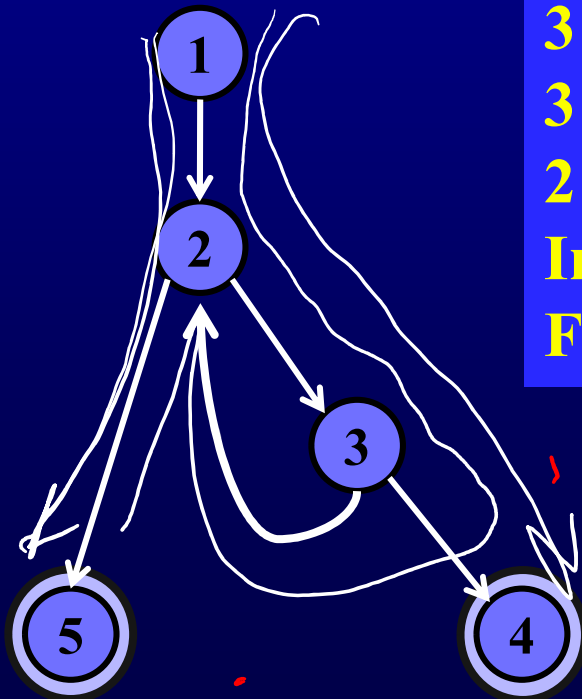
- The flavor criterion on jellybeans subsumes the color criterion  
... if we taste every flavor, we taste one of every color
- If a test set has covered every branch in a program (satisfied the branch criterion), then the test set is guaranteed to also have covered every statement

# We've seen subsumption before

*Many move*  
*multiple entry point*  
*easier*

$[1, 2]$   $[2, 3] \Rightarrow [1, 2, 3]$

## Graph Abstract version



### Edges

1 2  
2 3  
3 2  
3 4  
2 5

Initial Node: 1

Final Nodes: 4, 5

### 6 requirements for Edge-Pair Coverage

1. [1, 2, 3]
2. [1, 2, 5]
3. [2, 3, 4]
4. [2, 3, 2]
5. [3, 2, 3]
6. [3, 2, 5]

### Test Paths

- [1, 2, 5]
- [1, 2, 3, 2, 5]
- [1, 2, 3, 2, 3, 4]

# Criteria-Based Test Design: Pros

- Criteria maximize the “bang for the buck”
  - Fewer tests that are more effective at finding faults
  - Comprehensive test set with minimal overlap
- Traceability from software artifacts to tests
  - The “why” for each test is answered
  - Built-in support for regression testing
- A “stopping rule” for testing—advance knowledge of how many tests are needed
- Natural to automate



# Criteria-Based Test Design: Cons

- Blindly aiming to satisfy coverage criteria can make it easy to ignore domain knowledge
  - Domain knowledge: very useful for deriving tests that find bugs
  - Criteria-Based Test Design should be complemented with human-based test design

# Characteristics of a Good Coverage Criterion

1. It should be fairly easy to compute test requirements **automatically**
  2. It should be **efficient to generate** test values
  3. The resulting tests should reveal as many **faults** as possible
- Subsumption is only a **rough approximation** of fault revealing capability
  - Researchers still need to gives us more data on how to **compare** coverage criteria

# Test Coverage Criteria

- Software testing is **expensive** and **labor-intensive**
- Coverage criteria help choose **which test inputs** to use
- More likely that the tester will **find problems**
- More assurance that software has **high quality & reliability**
- A goal or **stopping rule** for testing
- Criteria makes testing more **efficient** and **effective**

**How do we start applying these ideas in practice?**

# Steps to improving adoption?

- Testers need more and better **software tools**
- Testers need to adopt **practices and techniques** that lead to more **efficient** and **effective** testing
  - More **education**
- Testing & QA teams need more **technical expertise**
  - **Developer** expertise has been increasing dramatically
- CS5154 will help you to start taking these steps

# Four Roadblocks to Adoption

## 1. Lack of test education

Microsoft and Google say half their engineers are testers, programmers test half the time

Number of UG CS programs in US that require testing ? 0

Number of MS CS programs in US that require testing ? 0

Number of UG testing classes in the US ? ~50

## 2. Necessity to change process

Adoption of many test techniques and tools require changes in development process

This is expensive for most software companies

## 3. Usability of tools

Many testing tools require the user to know the underlying theory to use them

Do we need to know how an internal combustion engine works to drive ?

Do we need to understand parsing and code generation to use a compiler ?

## 4. Need for better tools

Most test tools don't do much – but most users do not realize they could be better

Few tools solve the key technical problem – **generating test values automatically**

# Summary: criteria-based design

- Many companies still use “**monkey testing**”
  - A human sits at the keyboard, **wiggles** the mouse and **bangs** the keyboard
  - No **automation**
  - Minimal training required
- Some companies automate human-designed tests
- But companies that use both automation and criteria-based testing

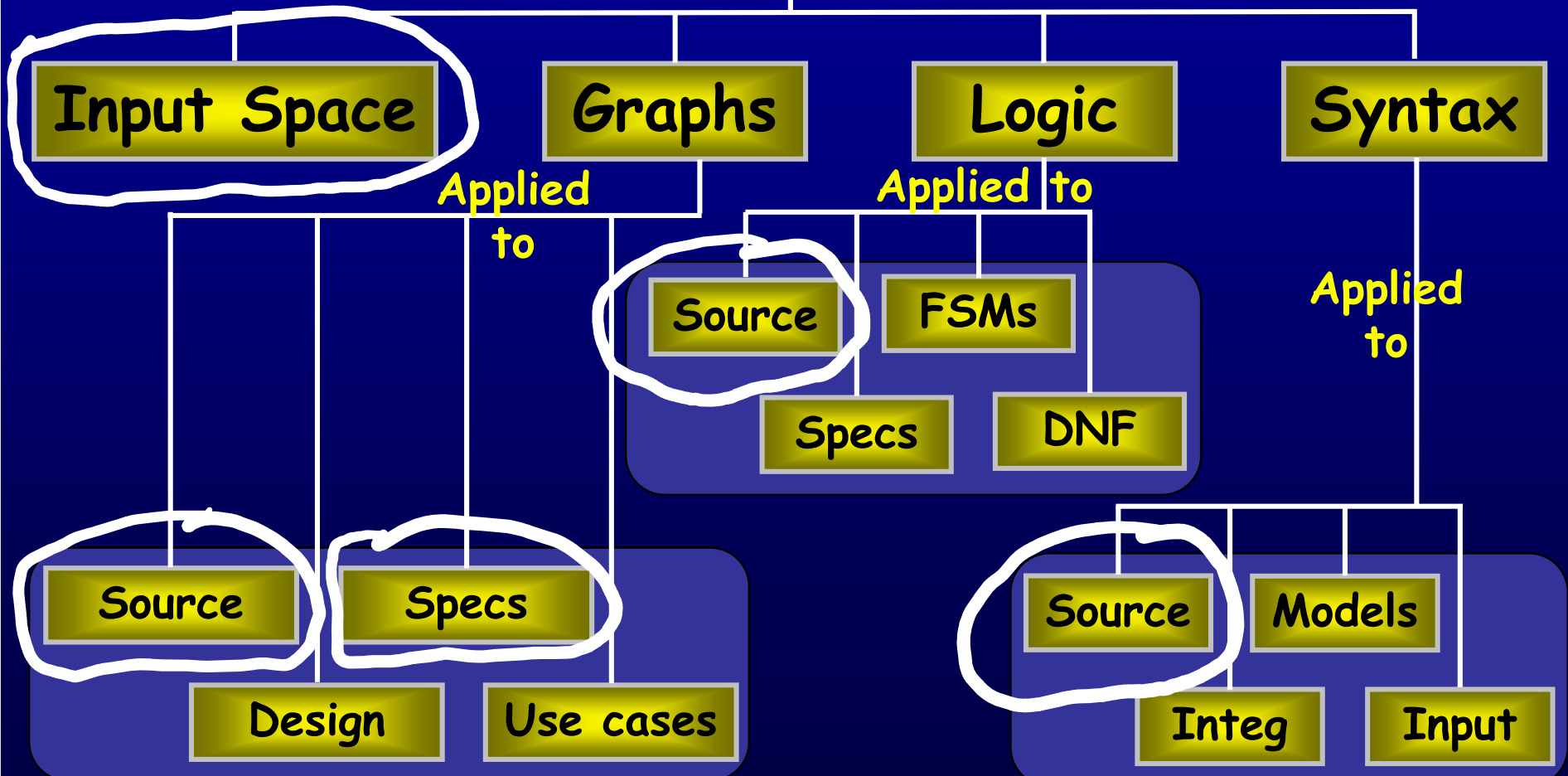
**Save money**

**Find more faults**


**Build better software**

# Structures for Criteria-Based Testing

## Four Structures for Modeling Software



# Ideas that we learned so far...

1. **Why** do we test – to **reduce the risk** of using software
  - Faults, failures, the RIPR model
  - Test **process maturity** levels – level 4 is a **mental discipline** that improves the **quality** of the software
2. **Model-Driven Test Design**
  - Four types of **test activities** – test design, automation, execution and evaluation
3. **Test Automation**
  - Testability, observability and controllability, test automation frameworks
4. **Criteria-based test design** 
  - **Four structures** – test **requirements** and **criteria**

**Earlier and better testing empowers test managers**



# Next Class

- Get started on Input Space Partitioning
- (Maybe) Hands-on demo on measuring coverage
  - command line
  - Maven