

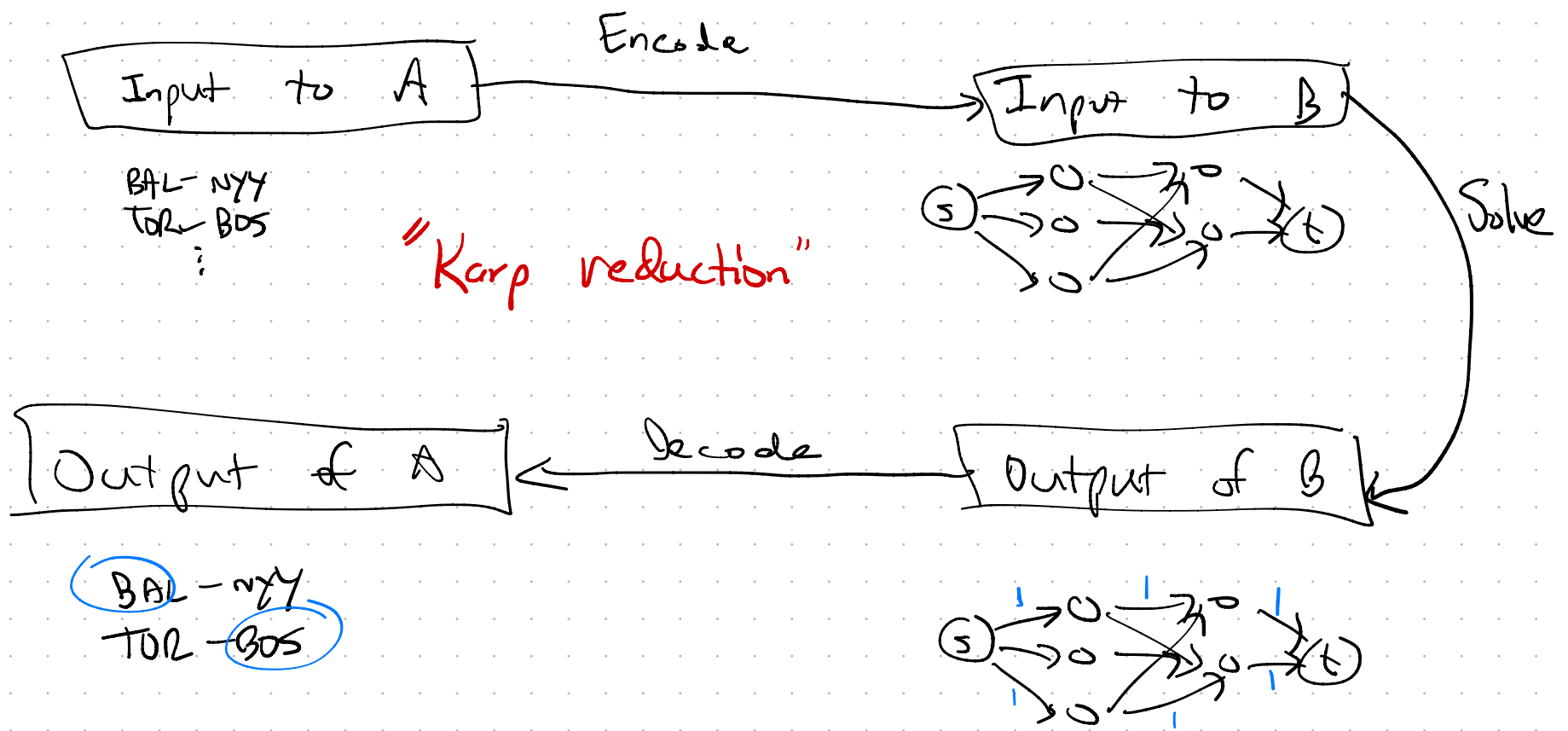
18 Mar 2024

# NP Completeness (Intro)

Announcement: today (Mar 18) is the last date to drop classes.

Some problem you want to solve (A)

Some problem you already can solve (B)



When  $\exists$  a Karp reduction from A to B running in polynomial time, we denote that relation as

$$A \leq_p B$$

and interpret this as "if we can solve B then we can solve A," or, "A is at least as easy to solve as B."

Equivalently: If we cannot solve A (efficiently) then we also cannot solve B (efficiently).

The "root of hardness" (one problem assumed by most people to be computationally hard) is SAT. ("Boolean satisfiability")

Given: variables  $x_1, \dots, x_n$  taking  $\{T, F\}$  values.  
 clauses  $C_1, \dots, C_m$  each a disjunction of 2 or more literals. "Boolean OR"  
 ("literal" = "variable or its negation")

e.g.  $C_1 = x_2 \vee \overline{x_4} \vee x_5 \vee \overline{x_8} \vee x_9$

Question: Does there exist a truth assignment for  $x_1, \dots, x_n$  that satisfies every clause

Ex.  $(x_1 \vee x_2) \wedge (x_2 \vee x_3) \wedge (\overline{x_3} \vee \overline{x_1})$   $x_1 = T$   
 $x_2 = T$   
 $x_3 = F$

$x_1 = \overline{x_2}$  (orange box)  $x_2 = \overline{x_3}$  (orange box)

$(x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2}) \wedge (x_2 \vee x_3) \wedge (\overline{x_2} \vee \overline{x_3})$  Not satisfiable!

$\wedge (x_3 \vee x_1) \wedge (\overline{x_3} \vee \overline{x_1})$   $x_3 = \overline{x_1}$

Status of SAT: We don't know any algorithm faster than  $O(2^{n-o(n)})$ .  
 Even solving in  $O(1.99^n)$  time would be a major breakthrough.

3-SAT: The special case of SAT where each clause has 3 literals.

Known: SAT  $\leq_p$  3-SAT


Believed: 3-SAT requires  $\geq c^n$  running time for some  $c > 1$ .

## INDEPENDENT SET.

Given: Graph  $G$  (undirected)  
 $k \in \mathbb{N}$

Question: Does  $G$  have a set of  $k$  vertices with no edge joining any two of them?

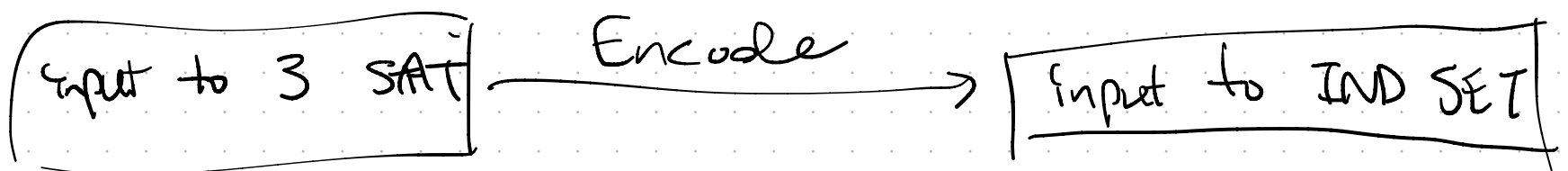
(Such a vertex set is called an "independent set".)

Ex.  $G =$    $k=3$  **Yes**

$G =$    $k=3$  **No**

Claim: INDEPENDENT SET is at least as hard as 3-SAT.

$3\text{-SAT} \leq_p \text{IND SET}$



"How can we transform a 3-SAT problem to an independent set problem, so that solving the IND SET instance gives you the answer to 3-SAT?"