

## **Cornell Bowers C·IS** College of Computing and Information Science

## Deep Learning

Week 6: Image-to-Image Models/ GANs For paired data, how can we train a model to...



Map from aerial photographs

#### For paired data, how can we train a model to...





#### Image Super-resolution

Saharia, Chitwan, et al. "Image super-resolution via iterative refinement." IEEE transactions on pattern analysis and machine intelligence 45.4 (2022): 4713-4726.



#### For paired data, how can we train a model to...



#### Image Segmentation

Image Credit: Stanford CS231n, Lecture 11

#### **Review: Image Classification**



Input Image

Classification



**Image-level** Prediction

Image Credit: Stanford CS231n, Lecture 11

#### Image-to-Image Task

Semantic Segmentation trees Sky Cow Grass

**Pixel-level Prediction** 

Input Image

Image Credit: Stanford CS231n, Lecture 11

#### **Applications in Autonomous Driving**



Image Credit: CVPR 2018 WAD Video Segmentation

## **Applications in Medical Imaging**



Image Credit: LiTS17 (Liver Tumor Segmentation Benchmark)

# Semantic Segmentation

#### **Task Formulation**

Take an *image* of dimension (H, W, 3) and output a *segmentation map* of dimension (H, W, 1).

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Take an *image* of dimension (H, W, 3) and output a *segmentation map* of dimension (H, W, 1).

Formally, it is a function f, parameterized by  $\theta$ , that produces a segmentation map of C classes.

$$f_{\theta}: \mathbb{R}^{H \times W \times 3} \longrightarrow \mathbb{N}^{H \times W \times 1}$$





1: cow 2: grass 3: tree 4: sky



#### Image-to-Image Generation

A segmentation map of dimension (H, W, 1) can be viewed as a generated image.

$$f_{\theta}: \mathbb{R}^{H \times W \times 3} \longrightarrow \mathbb{N}^{H \times W \times 1}$$





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Instead of outputting integers for each pixel, the model outputs a vector of length C

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#### Segmentation Example



Segmentation

#### **Review - Convolutional Neural Network**

- Shared Linear Kernels
- Translation Invariance
- Parallel Computation



#### Building an Image-to-Image Network from Scratch



Input Image

??



## Building a Image-to-Image Network from Scratch

Convolutions

Allow parallelization when extracting latent vector for each pixel



Input Image

Very Deep CNN at Same Resolution

#### **Review - Downsample Pooling**

- Down sample feature maps that highlight the most present feature in the patch
- Help over-fitting by providing an abstracted form of representation
- Increase receptive field size



#### Average Pooling

15	28	184
100	70	38
12	7	2
12	45	6
	2	x 2
	, ,	1 3120
36	80	1 3120
	100 12 12	13 28   100 70   12 7   12 45

## **Review - Strides and Kernel**

- Stride controls how many units the filter / the receptive field shift at a time
- The size of the output image shrinks more as the stride becomes larger
- The receptive fields to overlap less as the stride becomes larger



Filter with stride (s) = 2

## Building a Image-to-Image Network from Scratch

Convolutions

Allow parallelization when extracting latent vector for each pixel



Input Image

Very Deep CNN at Same Resolution

## Building a Image-to-Image Network from Scratch

🔽 Convolutions

Allow parallelization when extracting latent vector for each pixel

V Hourglass

Improve efficiency by reducing computations with downsampling

Increase receptive field size by convolving on downsampled feature maps



Input Image

Hourglass CNN

#### Building a Image-to-Image Network from Scratch



Input Image

## **Upsampling - Unpooling**



Does not recover all spatial information loss during downsampling!



## **Upsampling - Max Unpooling**

#### Max Pooling

Remember which element was max!

5

7

6

8

Output: 2 x 2

1	2	6	3
3	5	2	1
1	2	2	1
7	3	4	8

Input: 4 x 4

Rest of the network



Input: 2 x 2

Output: 4 x 4

0

0

0

Corresponding pairs of downsampling and upsampling layers



Image Credit: Stanford CS231n Lecture 11

## Building a Image-to-Image Network from Scratch

🔽 Convolutions

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Input Image

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## Building a Image-to-Image Network from Scratch

Convolutions
Allow parallelization when extracting latent vector for each pixel
Hourglass
Improve efficiency by reducing computations with downsampling

Increase receptive field size by convolving on downsampled feature maps

Skip Connections

Improve prediction quality by combining low-level image features







Input Image

Hourglass CNN with Skip Connections

## **U-Net Architecture!**

"U-net: Convolutional networks for biomedical image segmentation." Ronneberger et al., MICCAI 2015

Convolutions Allow parallelization to extract latent vector for each pixel

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## **Encoder-Decoder Perspective**

- Encoder:
  - Maps an image to a low-resolution, semantically meaningful feature map
  - Basically ResNet!
- Decoder:
  - Maps a low-dimensional feature map to an image
- Can use one or the other depending on the application
  - Similar to transformers!



## **Unpaired Image Translation**



#### Unpaired



Generative adversarial networks and image-to-image translation | Luis Herranz

Paired limitations - it is hard to find exact pairings

Zebra Facts | Live Science

#### Problem with Paired Approaches with Unpaired Translation

How can we tell if we produced a good output without any reference?



CycleGAN Project Page

## Discriminators

- A binary classifier that determines whether a given image is real or fake
- Can actually use as a learning signal!



## Discriminator (high-level)

- Supervised machine learning task
- Input pairs features of both real and synthetic data with corresponding labels



#### **Discriminator Architecture**

- Capture both fine-grained errors and semantic errors
- Fine-grained errors:
  - Blurry/distorted edges
  - Artifacts and Noise
- Semantic errors:
  - Car floating in the air
  - Incorrect textures



A U-Net encoder is a good option for this!

## Discriminator as a Classification Model

- Train discriminator with the **binary cross-entropy loss**
- We have the following optimization problem:

# $\min_{D} \left[ -y \log(D(x)) - (1-y) \log(1 - D(x)) \right]$

## To Generate Realistic Images, We Need:

- A way to generate images (Generator)
- A learning signal to make the images realistic (Discriminator)

## Generator (U-Net)

- Can parameterize our generator as a U-Net!
- How to train?







## **Discriminator Training**

• Train the discriminator to identify real and fake zebra images



$$\min_{D} \left[ -y \log(D(x)) - (1-y) \log(1 - D(x)) \right]$$

 $\mathbf{x}_{\mathrm{horse}}$ 

## Adversarial Training

- Train the generator to fool the discriminator!
  - It should generate images that look like zebras
- Use the negative discriminator loss to update the generator



$$\min_{G} \left[ -(1-y) \log(1 - D(G(z))) \right] = \min_{G} \left[ -\log(1 - D(G(z))) \right]$$

## Generative Adversarial Networks (GANs)

- Minimax formulation
  - The generator and discriminator are playing a zero-sum game against each other

$$\mathcal{J}_D = \mathbb{E}_{x \sim D}[-\log D(x)] + \mathbb{E}_z[-\log(1 - D(G(z)))]$$

$$\max_{G} \min_{D} \mathcal{J}_{D}$$



#### Generative Adversarial Networks (GANs)

- Minimax cost runs into vanishing gradient problems with a strong discriminator
  - No learning signal for the generator! Ο

$$\min_{G} \left[ -(1-y) \log(1 - D(G(z))) \right] = \min_{G} \left[ -\log(1 - D(G(z))) \right]$$

$$\min_{G} \left[-y \log(D(G(z)))\right] = \min_{G} \left[-\log(D(G(z)))\right]$$



cost

cost

## Improving the Generator and Discriminator Together

- The generator and discriminator both start performing poorly
  - Train them together incrementally



**Black dotted line**: Data distribution **Green solid line**: Generative distribution from Generator (G) **Blue dashed line**: Discriminative distribution (D)

Goodfellow, Ian, et al. "Generative adversarial nets." Advances in neural information processing systems 27 (2014).

## **Unpaired Image Translation**

• Want to preserve information about the original image in the generated image



## Key Idea: Cycle Consistency

- Image translation should be invertible!
  - Translating a zebra to a horse and then back to a zebra should recover the original image
- Cycle consistency!



## CycleGAN

Enforce cycle consistency with a reconstruction loss

$$\|\mathbf{x}_{horse} - G_2(G_1(\mathbf{x}_{horse}))\|_2^2$$



images while preserving the

structure

Zhu, Jun-Yan, et al. "Unpaired image-to-image translation using cycle-consistent adversarial networks." Proceedings of the IEEE international conference on computer vision. 2017.

#### Total loss = discriminator loss + reconstruction loss

images while preserving the

structure

# Discuss: What are some applications of unpaired translation?

Unpaired



#### The Power of Unpaired Translation



Zhu, Jun-Yan, et al. "Unpaired image-to-image translation using cycle-consistent adversarial networks." Proceedings of the IEEE international conference on computer vision. 2017.

CycleGAN Project Page

## Can we perform unconditional generation?

- Just want to draw samples from some distribution of images (e.g. zebras)
- Replace the source image with Gaussian noise



## Can we perform unconditional generation?

- Just want to draw samples from some distribution of images (e.g. zebras)
- Replace the source image with Gaussian noise



- First generative model capable of realistic high-resolution image synthesis
- Very fast generation!



#### Latent Space Properties

Gaussian noise vector is meaningful

- Similar noise vectors lead similar images
- Noise dimensions are meaningful!
- Can exploit this to control generation

Coarse styles from source B



## Limitations of GANs

- Minimax training objective is hard to optimize!
  - Can lead to oscillations/instability during training
- Not guaranteed to converge to a good solution
  - Sensitive to hyperparameter settings, network architectures, and the choice of loss functions

## Limitations of GANs

- Consider training a GAN on a dataset of dogs and cats
- Generator could specialize in generating realistic dogs
  - Successfully fools the discriminator! Ο







dog (1)







dog (1)







dog (1)







cat (0)

dog (1)





#### Cornell Bowers CIS Mode Collapse

- Big problem in practice
- GANs often fail to model the full distribution of images
  - "Collapse" to some popular mode to fool the discriminator



Metz, Luke, et al. "Unrolled generative adversarial networks." arXiv preprint arXiv:1611.02163 (2016).

## Recap

- Many vision tasks can be formulated as image-to-image problems
  - Segmentation, super-resolution, etc.
- The U-Net is a versatile encoder-decoder architecture for these tasks
- CycleGAN can perform unpaired image translation by learning to fool a discriminator
- GANs can perform unconditional image generation conditioned on samples of Gaussian noise
  - Challenging to train
  - Susceptible to mode collapse