# Instance-Based Learning 

## CS4780/5780 - Machine Learning <br> Fall 2013

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Reading: Mitchell Chapter 1 \& Sections 8.1-8.2

## Concept Learning

- Definition:

Acquire an operational definition of a general category of objects given positive and negative training examples.

Also called: binary classification, binary supervised learning,...

## Concept Learning Example

|  | correct <br> (complete, <br> partial, guessing) | color <br> (yes, no) | original <br> (yes, no) | presentation <br> (clear, unclear, <br> cryptic) | binder <br> (yes, no) | A+ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | complete | yes | yes | clear | no | yes |
| 2 | complete | no | yes | clear | no | yes |
| 3 | partial | yes | no | unclear | no | no |
| 4 | complete | yes | yes | clear | yes | yes |

Instance Space X: Set of all possible objects describable by attributes (often called features).

Concept c: Subset of objects from X (c is unknown).
Target Function f: Characteristic function indicating membership in c based on attributes (i.e. label) (f is unknown).

Training Data S: Set of instances labeled with target function.

## Concept Learning as Learning a Binary Function

- Task:
- Learn (to imitate) a function $\mathrm{f}: \mathrm{X} \rightarrow\{+1,-1\}$
- Training Examples:
- Learning algorithm is given the correct value of the function for particular inputs $\rightarrow$ training examples
- An example is a pair ( $x, y$ ), where $x$ is the input and $y=f(x)$ is the output of the target function applied to $x$.
- Goal:
- Find a function

$$
h: X \rightarrow\{+1,-1\}
$$

that approximates

$$
f: X \rightarrow\{+1,-1\}
$$

as well as possible.

## K-Nearest Neighbor (KNN)

- Given: Training data $\left(\left(\vec{x}_{1}, y_{1}\right), \ldots,\left(\vec{x}_{n}, y_{n}\right)\right)$
- Attribute vectors: $\vec{x}_{i} \in X$
- Labels:
$y_{i} \in Y$
- Parameter:
- Similarity function: $K: X \times X \rightarrow \mathfrak{R}$
- Number of nearest neighbors to consider: $k$
- Prediction rule
- New example $x$,
- K-nearest neighbors: $k$ train examples with largest $K\left(\vec{x}_{i}, \vec{x}^{\prime}\right)$

$$
h\left(\vec{x}^{\prime}\right)=\arg \max _{y \in Y}\left\{\sum_{i \in \operatorname{knn}\left(\vec{x}^{\prime}\right)} 1_{\left[y_{i}=y\right]}\right\}
$$

## KNN Example

|  | correct <br> (complete, <br> partial, guessing) | color <br> (yes, no) | original <br> (yes, no) | presentation <br> (clear, unclear, <br> cryptic) | binder <br> (yes, no) | A+ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{1}$ | complete | yes | yes | clear | no | yes |
| $\mathbf{2}$ | complete | no | yes | clear | no | yes |
| $\mathbf{3}$ | partial | yes | no | unclear | no | no |
| $\mathbf{4}$ | complete | yes | yes | clear | yes | yes |

- How will new examples be classified?
- Similarity function?
- Value of $k$ ?

$$
h\left(\vec{x}^{\prime}\right)=\arg \max _{y \in Y}\left\{\sum_{i \in \operatorname{knn}\left(\vec{x}^{\prime}\right)} 1_{\left[y_{i}=y\right]}\right\}
$$

## Weighted K-Nearest Neighbor

- Given: Training datadata $\left(\left(\vec{x}_{1}, y_{1}\right), \ldots,\left(\vec{x}_{n}, y_{n}\right)\right)$
- Attribute vectors: $\vec{x}_{i} \in X$
- Target attribute: $y_{i} \in Y$
- Parameter:
- Similarity function: $K: X \times X \rightarrow \Re$
- Number of nearest neighbors to consider: $k$
- Prediction rule
- New example $x$,
- K-nearest neighbors: k train examples with largest $K\left(\vec{x}_{i}, \vec{x}^{\prime}\right)$

$$
h\left(\vec{x}^{\prime}\right)=\arg \max _{y \in Y}\left\{\sum_{i \in \operatorname{knn}\left(\vec{x}^{\prime}\right)} 1_{\left[y_{i}=y\right]} K\left(\vec{x}_{i}, \vec{x}^{\prime}\right)\right\}
$$

## Types of Attributes

- Symbolic (nominal)
- EyeColor \{brown, blue, green\}
- Boolean
- alive \{TRUE,FALSE\}
- Numeric
- Integer: age [0, 105]
- Real: height
- Structural
- Natural language sentence: parse tree
- Protein: sequence of amino acids

Example:

## Expensive Housing (>\$200 / sqft)



## Example: Effect of k

1-Nearest Neighbor


15-Nearest Neighbors


Hastie, Tibshirani, Friedman 2001

## Supervised Learning

- Task:
- Learn (to imitate) a function $\mathrm{f}: \mathrm{X} \rightarrow \mathrm{Y}$
- Training Examples:
- Learning algorithm is given the correct value of the function for particular inputs $\rightarrow$ training examples
- An example is a pair $(x, f(x))$, where $x$ is the input and $f(x)$ is the output of the function applied to $x$.
- Goal:
- Find a function

$$
\mathrm{h}: \mathrm{X} \rightarrow \mathrm{Y}
$$

that approximates

$$
f: X \rightarrow Y
$$

as well as possible.

## Weighted K-NN for Regression

- Given: Training datadata $\left(\left(\vec{x}_{1}, y_{1}\right), \ldots,\left(\vec{x}_{n}, y_{n}\right)\right)$
- Attribute vectors: $\vec{x}_{i} \in X$
- Target attribute: $y_{i} \in \Re$
- Parameter:
- Similarity function: $K: X \times X \rightarrow \mathfrak{R}$
- Number of nearest neighbors to consider: $k$
- Prediction rule
- New example $x$,
- K-nearest neighbors: k train examples with largest $K\left(\vec{x}_{i}, \vec{x}^{\prime}\right)$

$$
h\left(\vec{x}^{\prime}\right)=\frac{\sum_{i \in \operatorname{knn}\left(\vec{x}^{\prime}\right)} y_{i} K\left(\vec{x}_{i}, \vec{x}^{\prime}\right)}{\sum_{i \in \operatorname{knn}\left(\vec{x}^{\prime}\right)} K\left(\vec{x}_{i}, \vec{x}^{\prime}\right)}
$$

## Collaborative Filtering

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(8) CMS © FacultyCenter © Brio © e-Shop © Finance © colts \(\boldsymbol{*}\) dus Wiki © Thermostat © Mu Other
```

| Rating <br> Matrix | $\mathrm{m}_{\mathbf{1}}$ | $\mathrm{m}_{\mathbf{2}}$ | $\mathrm{m}_{\mathbf{3}}$ | $\mathrm{m}_{4}$ | $\mathbf{m}_{5}$ | $\mathbf{m}_{6}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{u}_{1}$ |  | 1 | 5 |  | 3 | 5 |
| $\mathrm{u}_{2}$ |  | 5 | 1 | 1 | 3 | 1 |
| $\mathrm{u}_{3}$ |  | 2 | 4 |  | 1 | 5 |
| u | $?$ | 1 | 4 | $?$ | $?$ | $?$ |

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