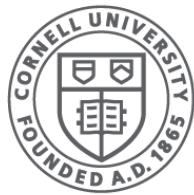


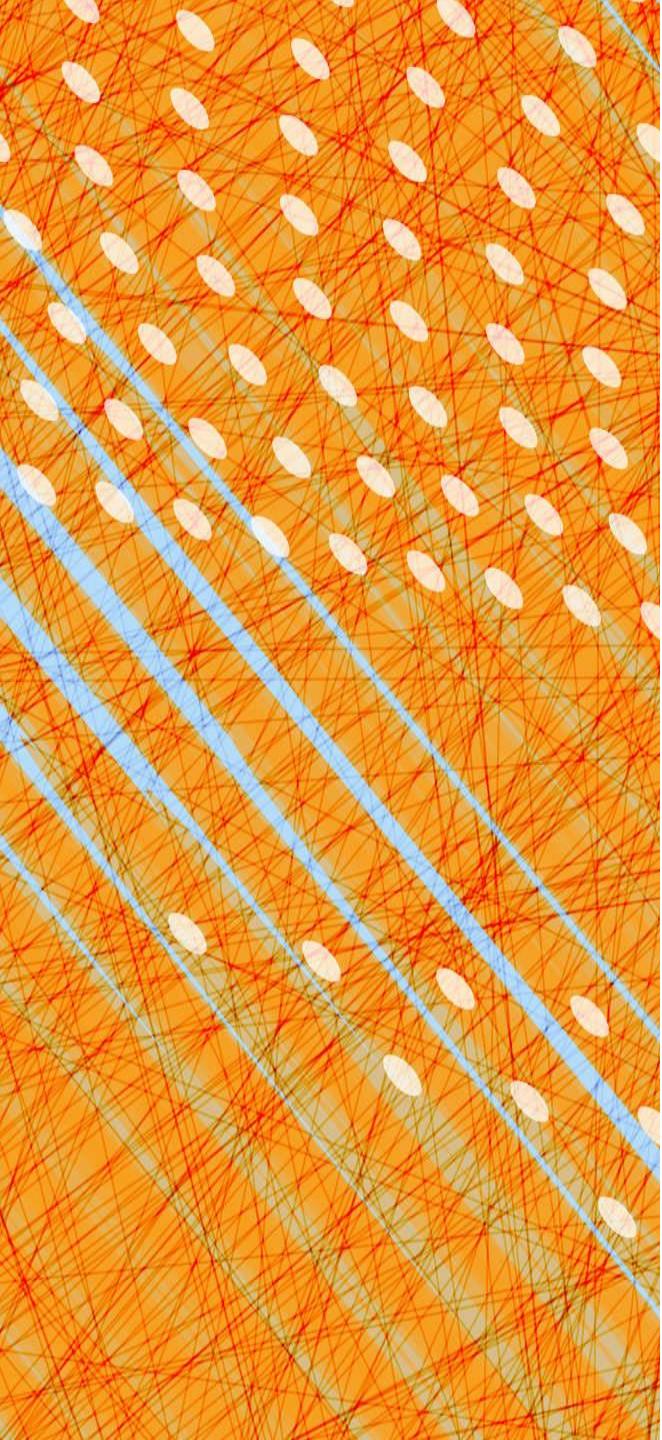
# File Systems

CS 4410  
Operating Systems

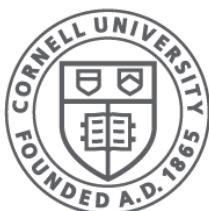


**Cornell CIS**  
COMPUTING AND INFORMATION SCIENCE

[R. Agarwal, L. Alvisi, A. Bracy, M. George, E. Sirer, R. Van Renesse]



# Tree- Structured Filesystems



**Cornell CIS**  
COMPUTING AND INFORMATION SCIENCE

# How is FAT Bad?

- Poor locality
- Many file seeks unless entire FAT in memory:  
*Example:* 1TB ( $2^{40}$  bytes) disk, 4KB ( $2^{12}$ ) block size, FAT has 256 million ( $2^{28}$ ) entries (!)  
4 bytes per entry → 1GB ( $2^{30}$ ) of main memory required for FS (a sizeable overhead)
- Poor random access
- Limited metadata
- Limited access control
- Limitations on volume and file size
- No support for reliability techniques

[mid 80's]

# Fast File System (FFS)

## UNIX Fast File System

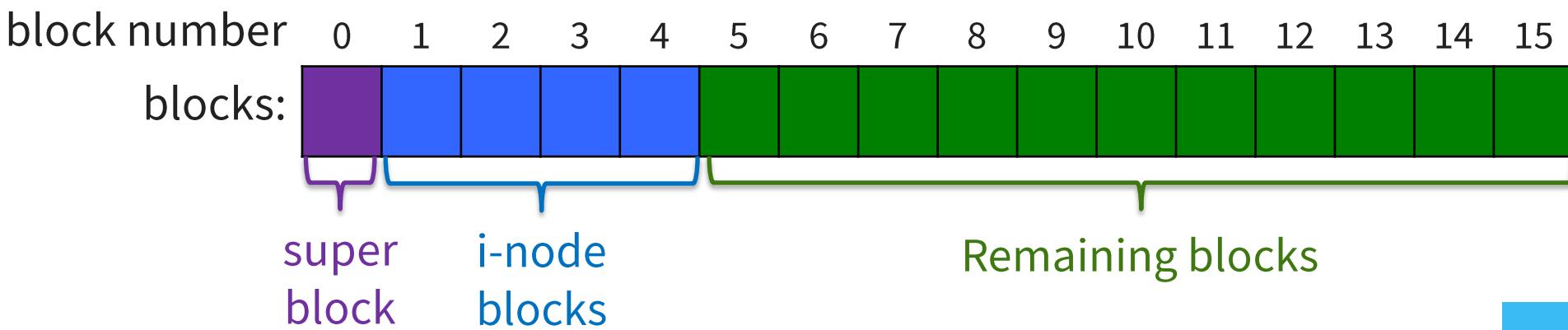
- Later became Linux ext2 and ext3 FS

Tree-based, multi-level index

# FFS Superblock

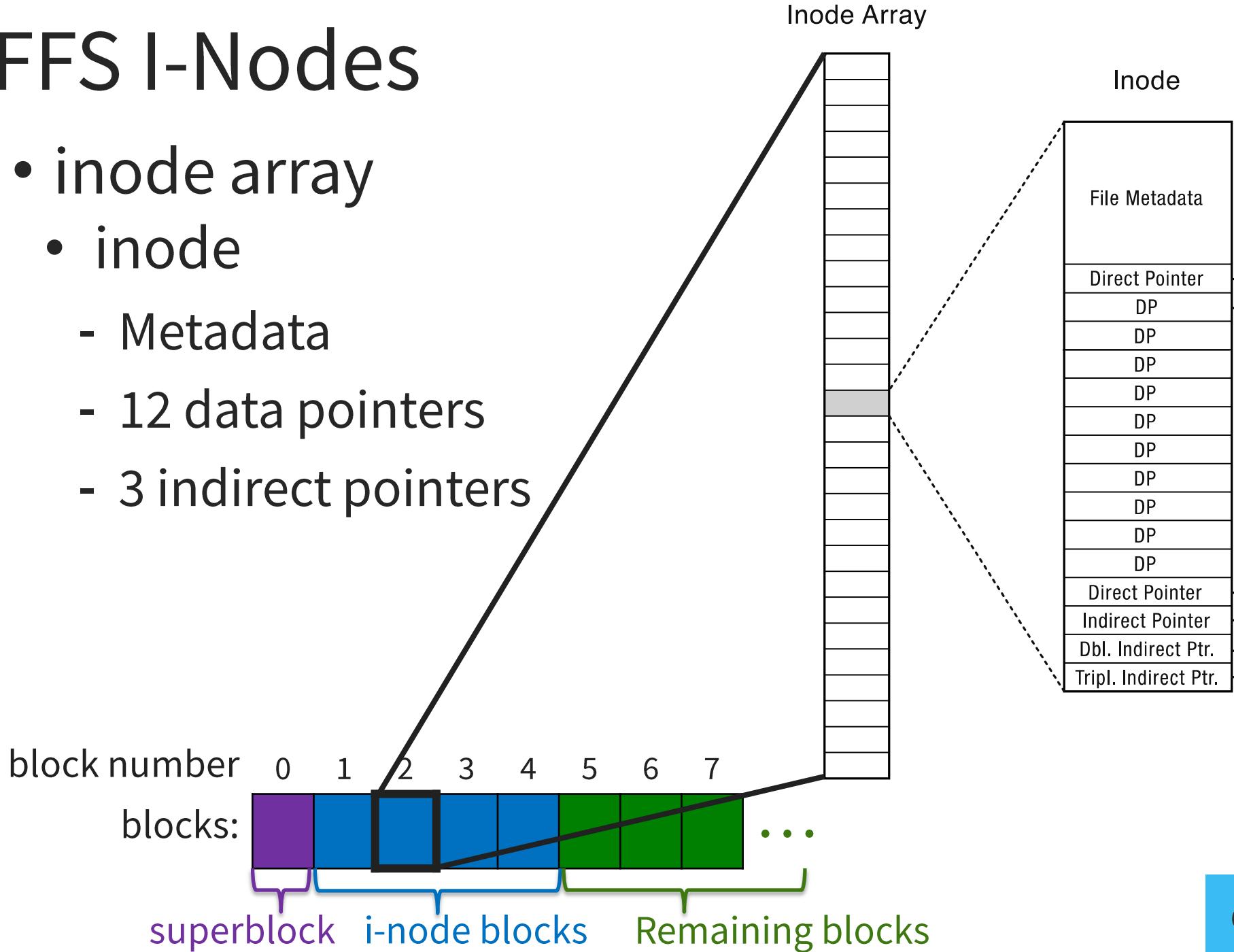
Identifies file system's key parameters:

- type
- block size
- inode array location and size  
(or analogous structure for other FSs)
- location of free list



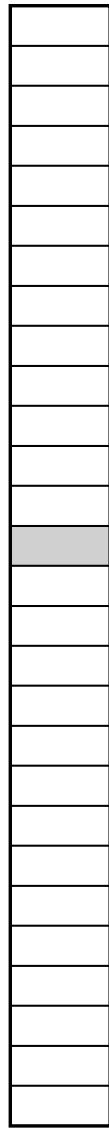
# FFS I-Nodes

- inode array
  - inode
    - Metadata
    - 12 data pointers
    - 3 indirect pointers

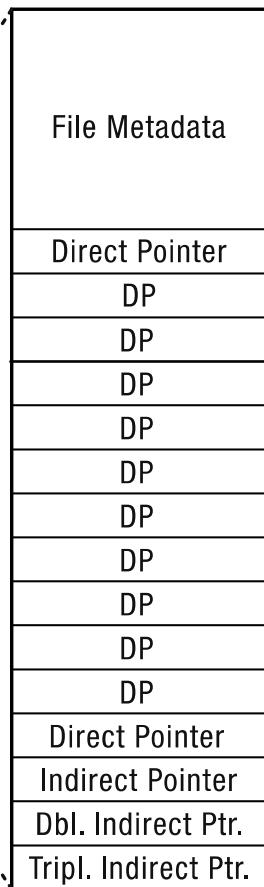


# FFS: Index Structures

Inode Array



Inode

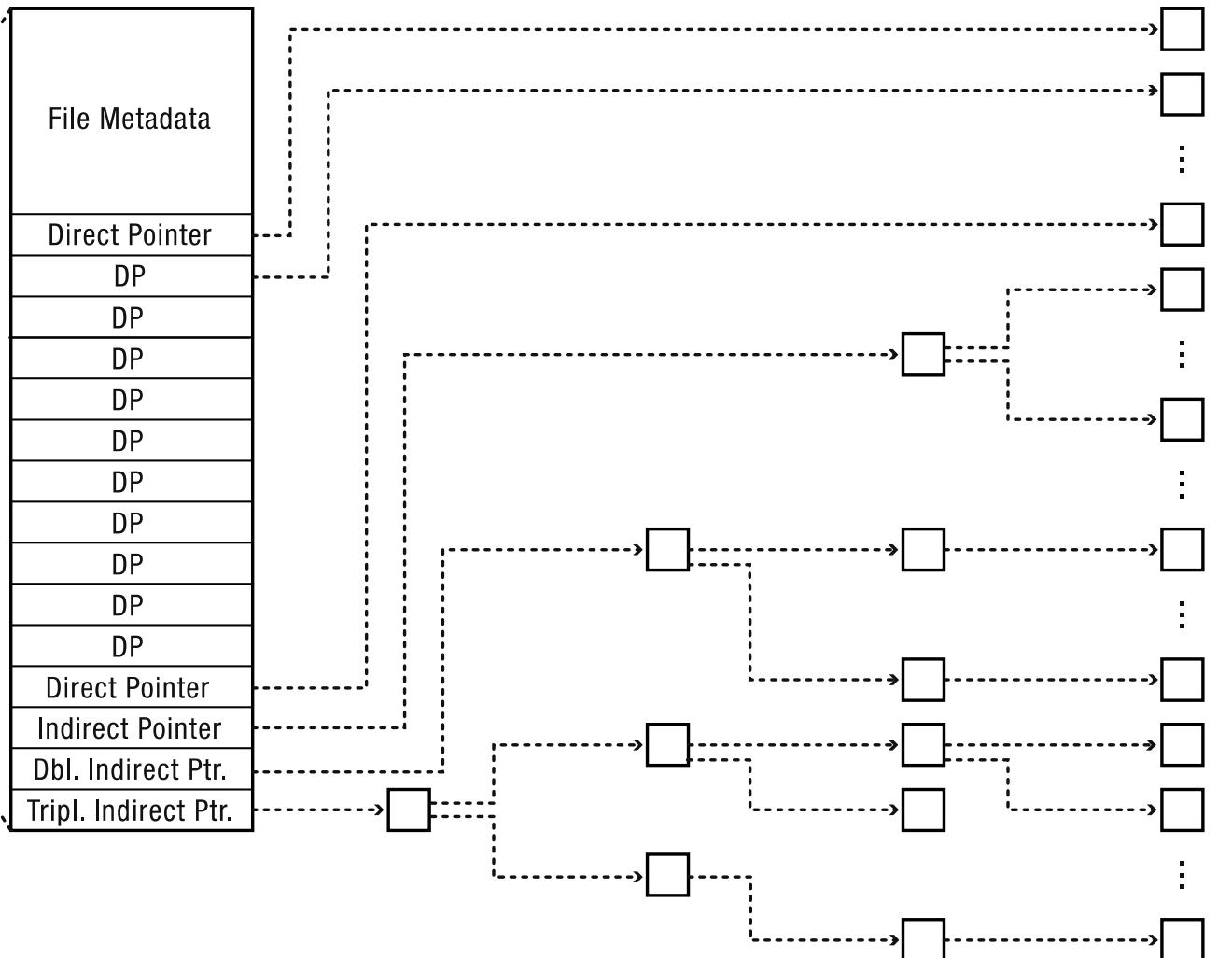


Triple  
Indirect  
Blocks

Double  
Indirect  
Blocks

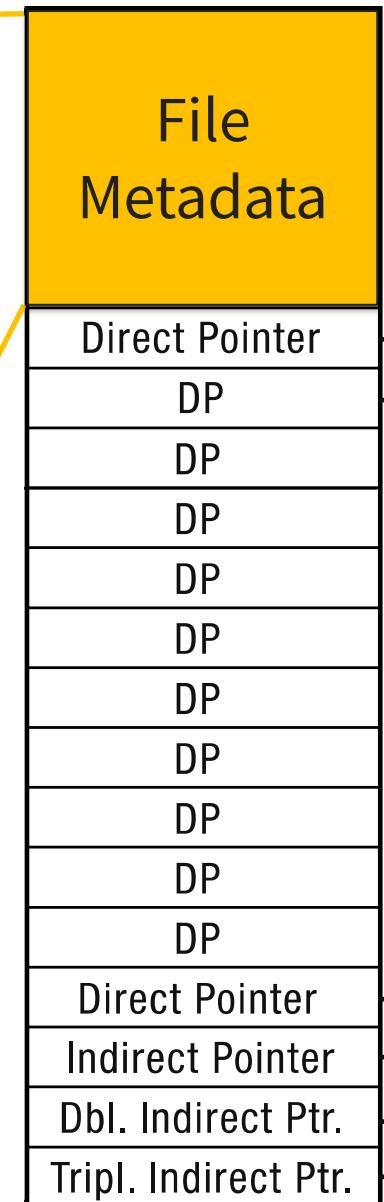
Indirect  
Blocks

Data  
Blocks



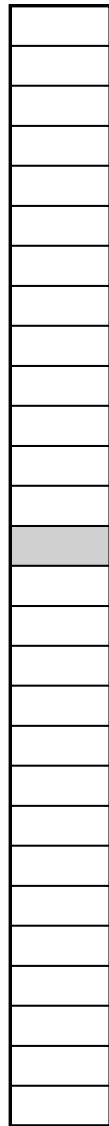
# What else is in an inode?

- Type
  - ordinary file
  - directory
  - symbolic link
  - special device
- Size of the file (in #bytes)
- # links to the i-node
- Owner (user id and group id)
- Protection bits
- Times: creation, last accessed, last modified



# FFS: Index Structures

Inode Array



Inode



Triple  
Indirect  
Blocks

*12x4K=48K directly reachable  
from the inode*

Double  
Indirect  
Blocks

$2^{(n \times 10)} \times 4K =$   
*with n levels of indirection*

Indirect  
Blocks

Data  
Blocks

1K

*n=1: 4MB*

1K

*n=2: 4GB*

1K

*n=3: 4TB*

Assume: blocks are 4K,  
block references are 4 bytes

# 4 Characteristics of FFS

## 1. Tree Structure

- efficiently find any block of a file

## 2. High Degree (or fan out)

- minimizes number of seeks
- supports sequential reads & writes

## 3. Fixed Structure

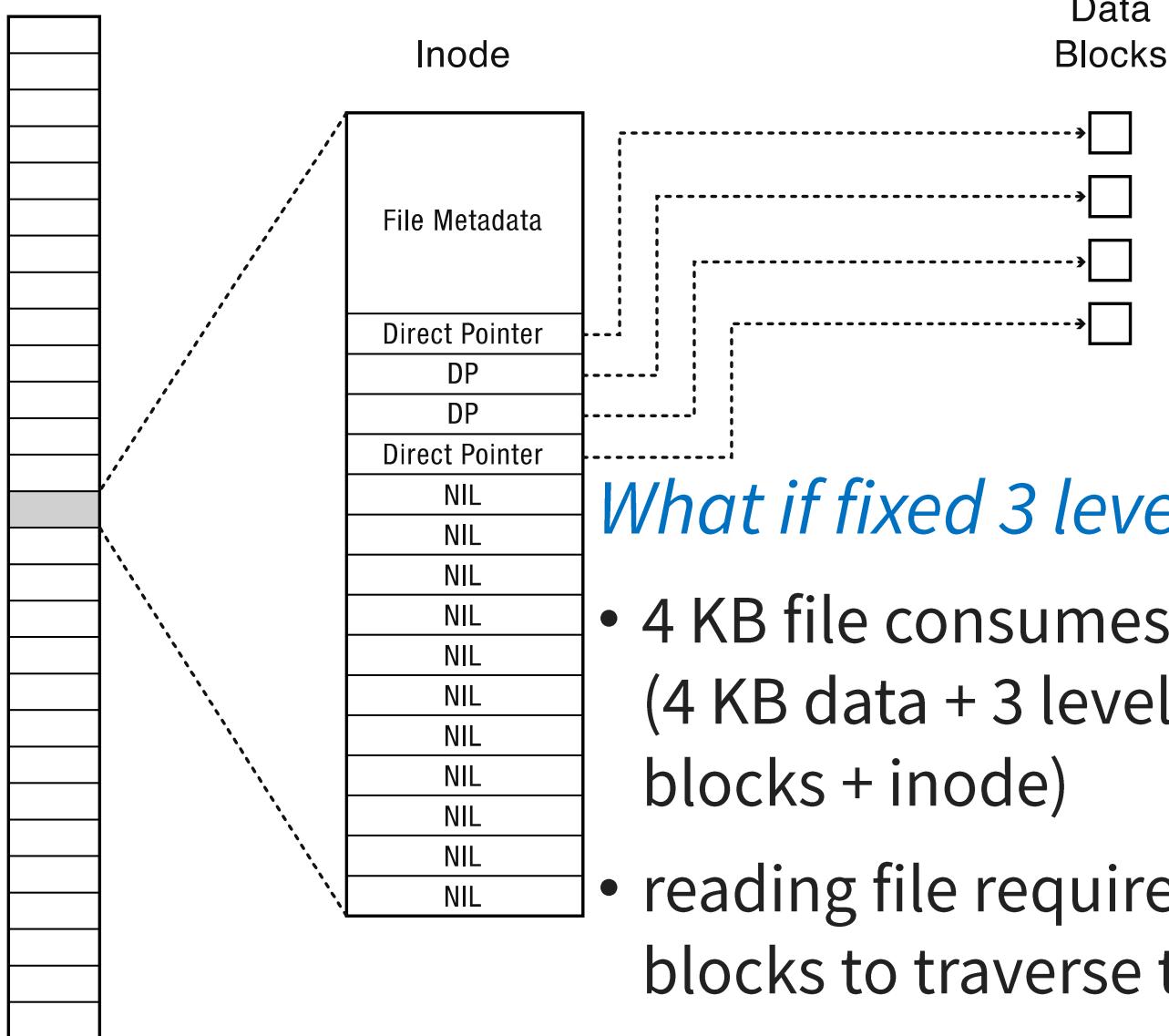
- implementation simplicity

## 4. Asymmetric

- not all data blocks are at the same level
- supports large files
- small files don't pay large overheads

# Small Files in FFS

Inode Array



all blocks  
reached via  
direct  
pointers

*What if fixed 3 levels instead?*

- 4 KB file consumes ~16 KB  
(4 KB data + 3 levels of 4KB indirect blocks + inode)
- reading file requires reading 5 blocks to traverse tree

# Sparse Files in FFS

Inode

File Metadata				
Direct Pointer				
NIL				
Dbl. Indirect Ptr.				
NIL				

Example:

2 x 4 KB blocks: 1 @ offset 0  
1 @ offset  $2^{30}$

Triple  
Indirect  
Blocks

Double  
Indirect  
Blocks

Indirect  
Blocks

Data  
Blocks



File size (ls -lgGh): 1.1 GB

Space consumed (du -hs): 16 KB

**Read from hole:** 0-filled buffer created

**Write to hole:** storage blocks for data  
+ required indirect blocks allocated



# FFS Directory Structure

Originally: array of 16 byte entries

- 14 byte file name
- 2 byte i-node number

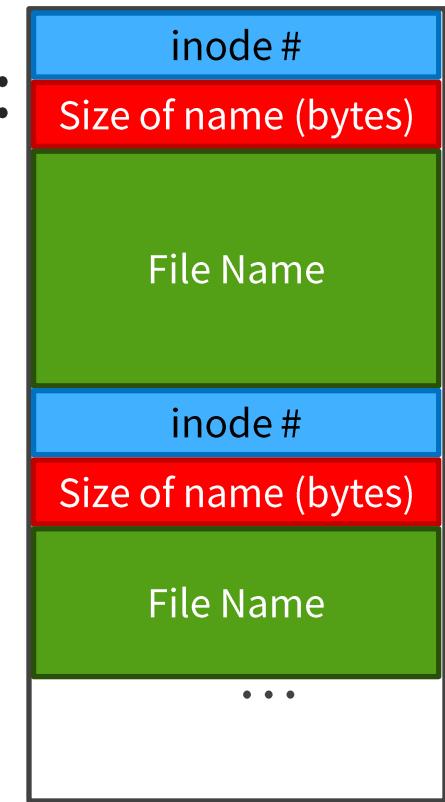
Now: linked lists. Each entry contains:

- 4-byte i-node number
- Length of name
- Name (UTF8 or some other Unicode encoding)

First entry is “.”, points to self (this directory’s inode)

Second entry is “..”, points to parent’s inode

music	320
work	219
foo.txt	871

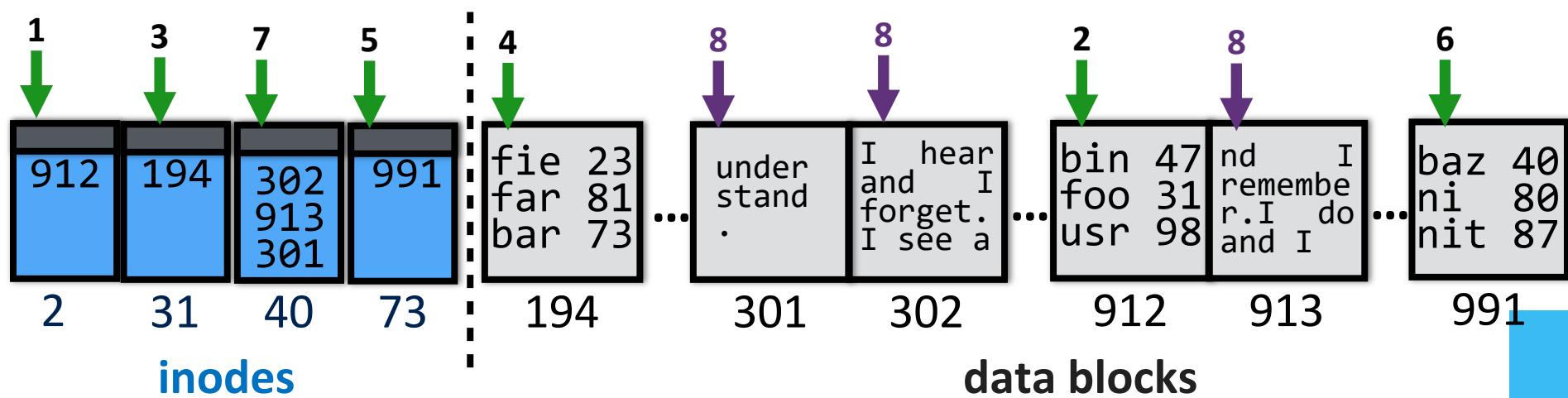


# FFS: Steps to reading /foo/bar/baz

## Read & Open:

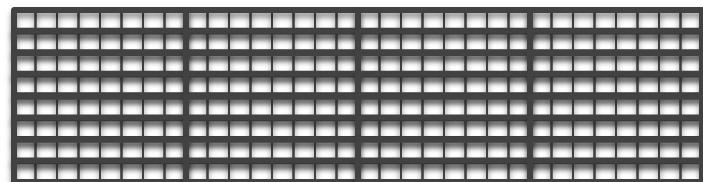
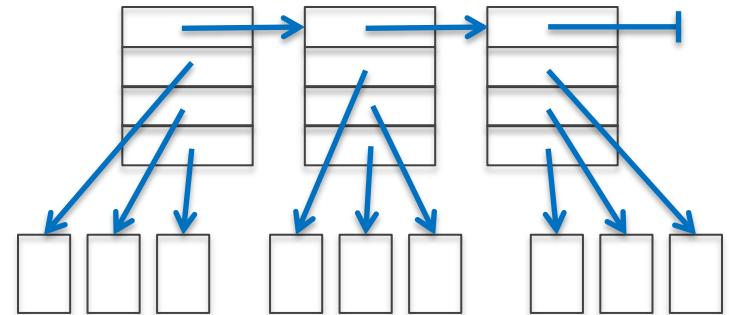
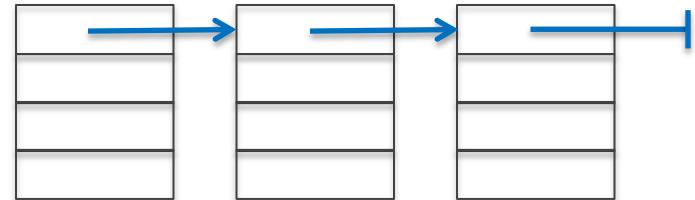
- (1) inode #2 (root always has inumber 2), find root's blocknum (912)
- (2) root directory (in block 912), find foo's inumber (31)
- (3) inode #31, find foo's blocknum (194)
- (4) foo (in block 194), find bar's inumber (73)
- (5) inode #73, find bar's blocknum (991)
- (6) bar (in block 991), find baz's inumber (40)
- (7) inode #40, find data blocks (302, 913, 301)
- (8) data blocks (302, 913, 301)

*Caching allows  
first few steps to  
be skipped*

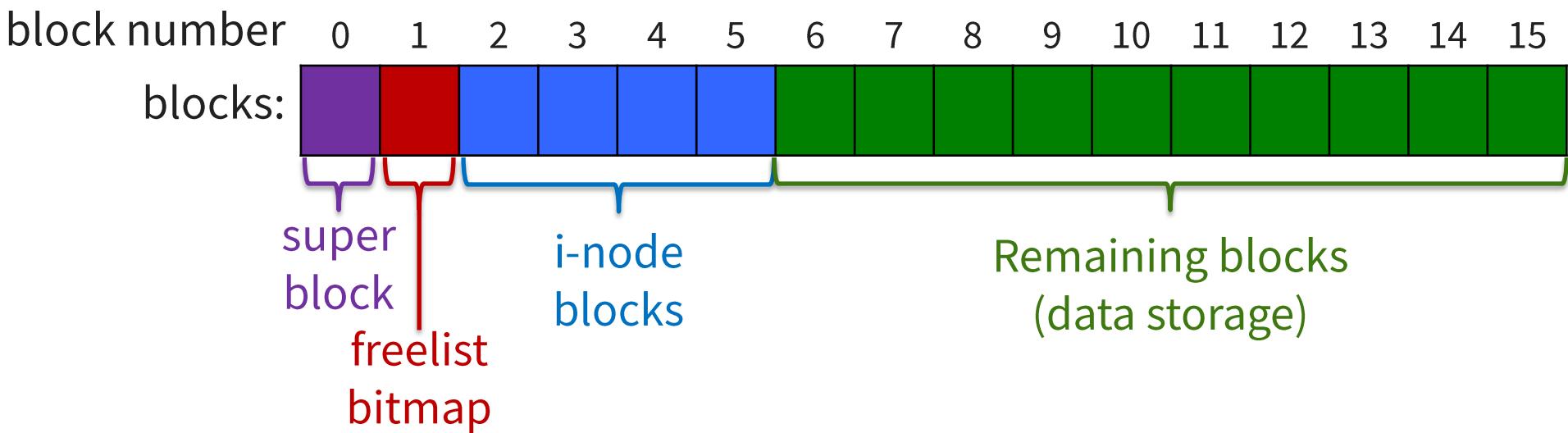


# Free List

- List of blocks not in use
- How to maintain?
  1. linked list of free blocks
    - inefficient (why?)
  2. linked list of metadata blocks that in turn point to free blocks
    - simple and efficient
  3. bitmap
    - good for contiguous allocation



# FFS Layout, More Complete



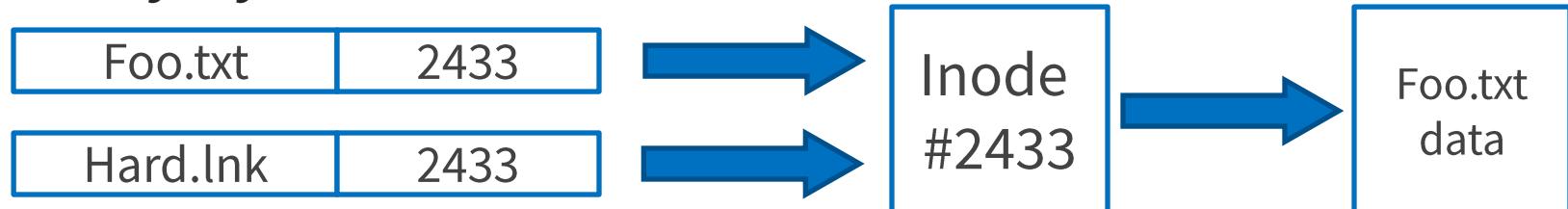
# File System API

## Creating and deleting files

- `creat()`: creates
  1. a new file with some metadata; and
  2. a name for the file in a directory
- `link()` creates a *hard link*—a new name for the same underlying file, and increments link count in inode
- `unlink()` removes a name for a file from its directory and decrements link count in inode. If last link, file itself and resources it held are deleted

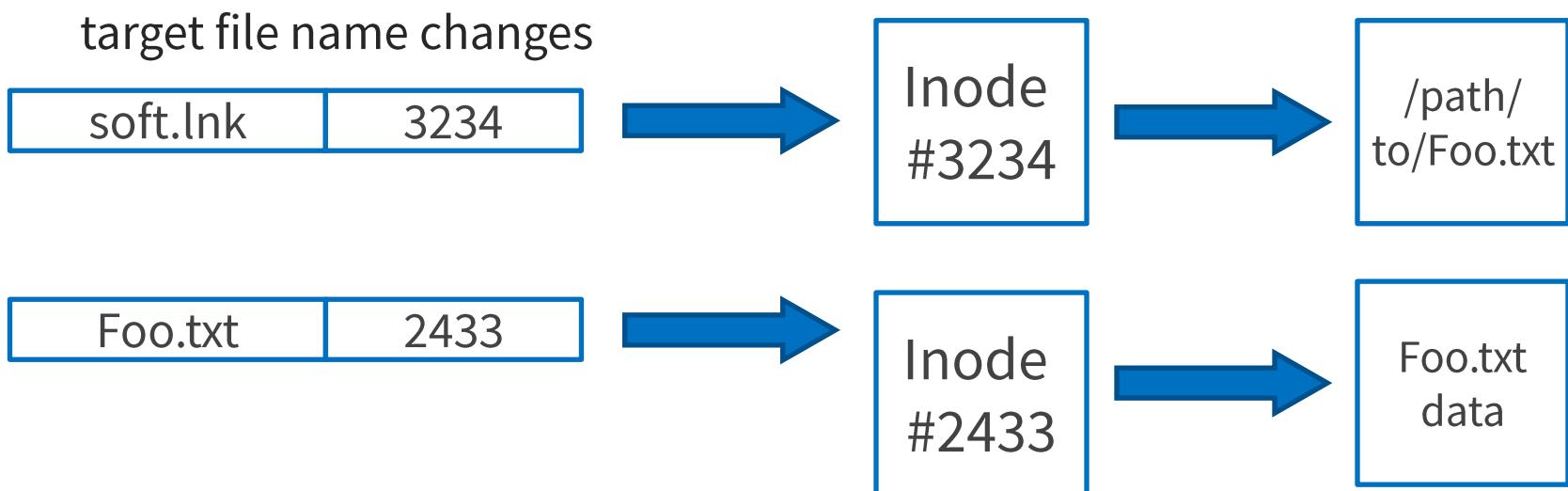
# Hard & Soft Links

- **Hard link:** a mapping from a name to a specific file or directory by inode #



- **Soft link:** a mapping from a file name to another file name (just a file containing the name)

- use as *alias*: a soft link continues to remain valid when the (path of) the target file name changes



# FFS Pros and Cons

- Good:
  - Efficient storage for both small and large files
  - Locality for both small and large files
  - Locality for metadata
  - Fixed structure leads to simple implementation
- Bad:
  - Inefficient for tiny files: need both inode and data block
  - Inefficient encoding for mostly contiguous files
  - Needs 10%-20% unutilized disk space to prevent fragmentation

[early 90's]

# NTFS (NT File System)

## Microsoft's New File System

- Developed in 1990s to replace FAT
- Borrows ideas from FFS – tree structure, attributes stored with files
- Still used in modern Windows
- Linux ext4 has similar design

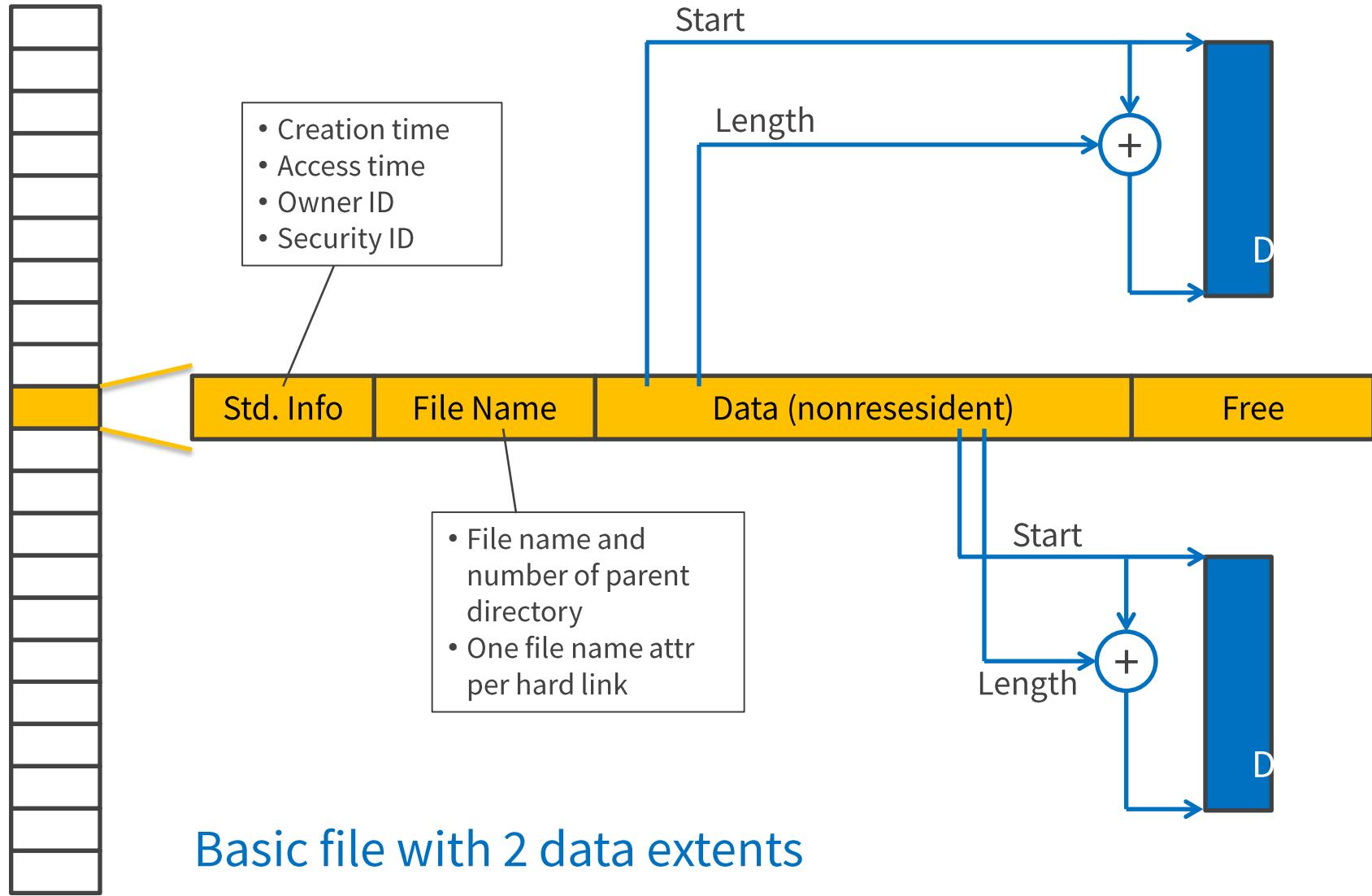
## Flexible Tree Structure with Extents

# NTFS Index Structure Design

- **Extents**
  - Track ranges of contiguous blocks rather than single blocks
- **Flexible Tree**
  - File represented by variable depth tree, depending on number of extents
- **MFT (Master File Table)**
  - Array of 1KB records holding trees' roots
  - Similar to inode table, but 1 file can have multiple MFT entries
  - Each record stores sequence of variable-sized **attribute records**
    - Both data and metadata are attributes
    - Attributes can be **resident** (fit in the record) or **nonresident**

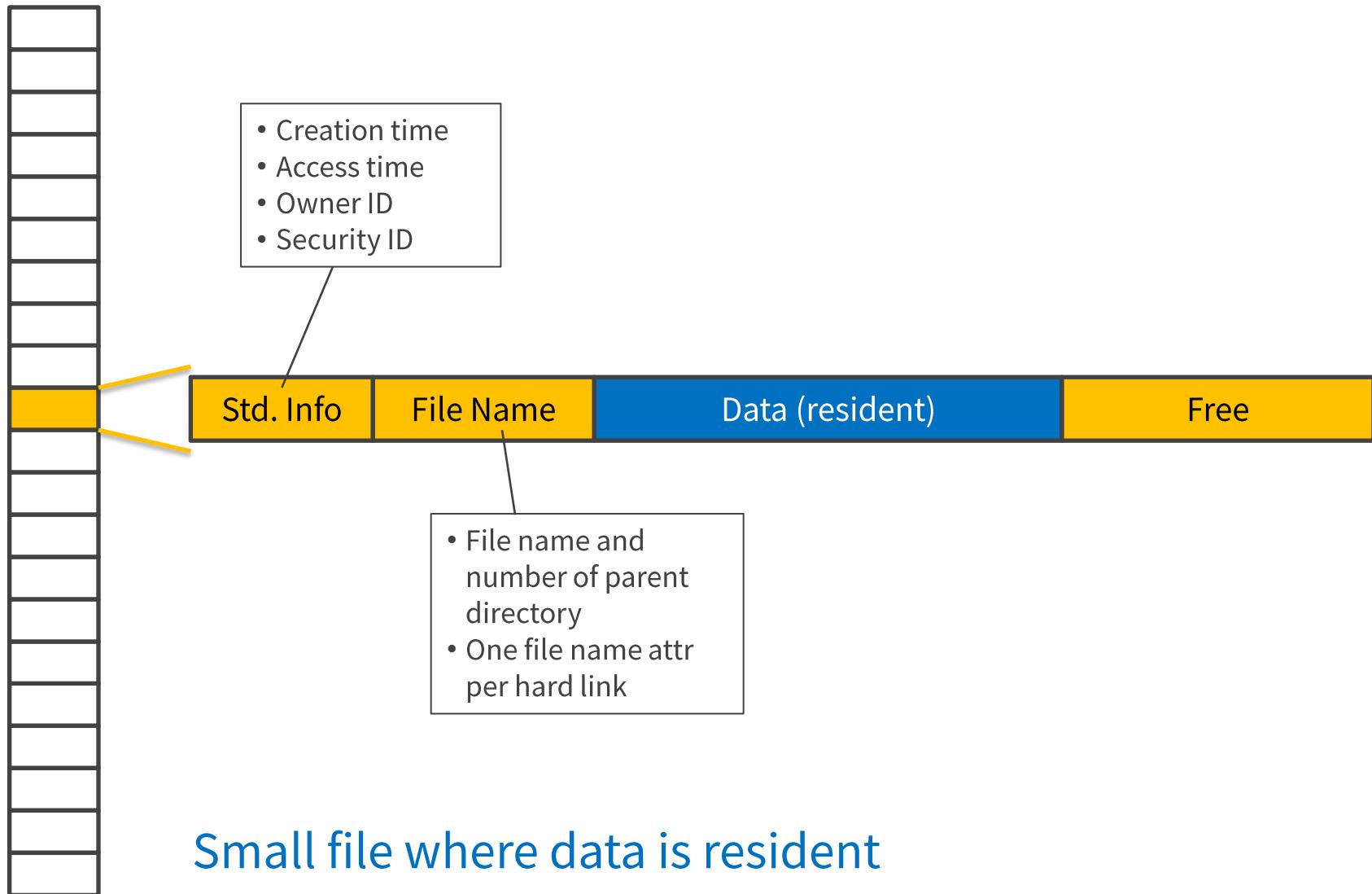
# NTFS Index Structure Example

Master File Table



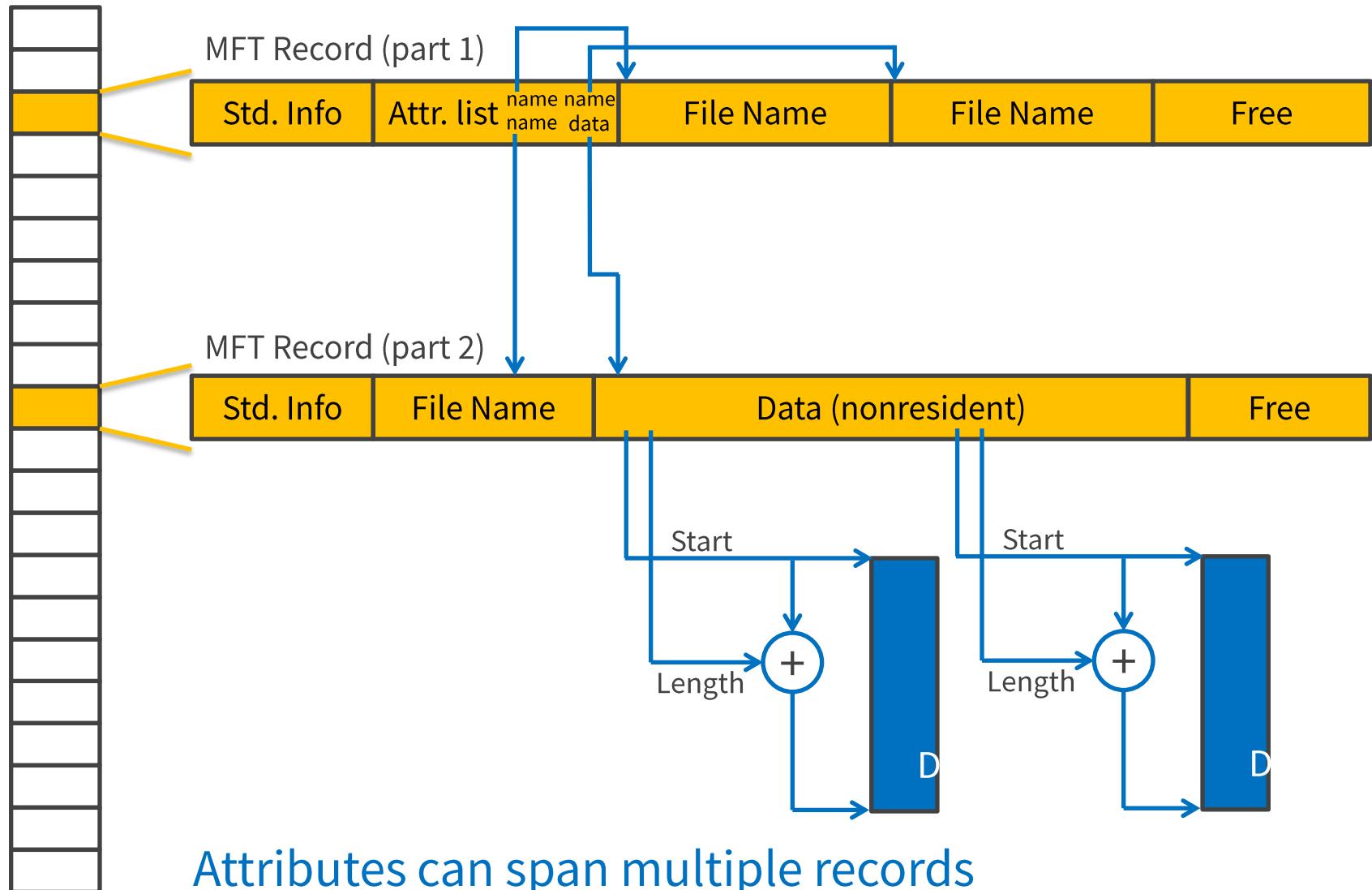
# NTFS Index Structure Example

Master File Table



# NTFS Index Structure Example

Master File Table



# NTFS Index Structure Example

Master File Table

