## CS 4110

Programming Languages \& Logics

## Lecture 2 <br> Introduction to Semantics

## Semantics

Question: What is the meaning of a program?

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Answer: We could execute the program using an interpreter or a compiler, or we could consult a manual...
\$ cc -o hello hello.c
[\$ cc -o hell
i\$./hello
Hello World
$\$$.

## A6.7 Void

The (nonexistent) value of a void object may not be used in any way, and neither explicit nor implicit conversion to any non-void type may be applied. Because a void expression denotes a nonexistent value, such an expression may be used only where the value is not required, for example as an expression statement (8A9.2) or as the left operand of a comma operator ( ${ }^{(8 A 7} 18$ ).

An expression may be converted to type void by a cast. For example, a void cast documents the discarding of the value of a function call used as an expression statement.
void did not appear in the first edition of this book, but has become common since.
...but none of these is a satisfactory solution.

## Formal Semantics

## Three Approaches

- Operational

$$
\langle\sigma, e\rangle \longrightarrow\left\langle\sigma^{\prime}, e^{\prime}\right\rangle
$$

- Model program by execution on abstract machine
- Useful for implementing compilers and interpreters
- Denotational:
- Model program as mathematical objects
- Useful for theoretical foundations
- Axiomatic
- Model program by the logical formulas it obeys
- Useful for proving program correctness

Arithmetic Expressions

## Syntax

A language of integer arithmetic expressions with assignment.

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BNF Grammar:

$$
\begin{aligned}
e::= & x \\
& \mid n \\
& \mid e_{1}+e_{2} \\
& \mid e_{1} * e_{2} \\
& \mid x:=e_{1} ; e_{2}
\end{aligned}
$$

## Ambiguity

What expression does the string " $1+2 * 3$ " describe?

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In this course, we will distinguish abstract syntax from concrete syntax, and focus primarily on abstract syntax (using conventions or parentheses at the concrete level to disambiguate as needed).

## Representing Expressions

BNF Grammar:

$$
\begin{aligned}
& e::=x \\
& \left\lvert\, \begin{array}{l}
\mid n \\
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OCaml:
type exp = Var of string Int of int
Add of exp * exp
Mul of exp * exp
Assgn of string * exp * exp

Example: Mul(Int 2, Add(Var "foo", Int 1))

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$$

Java:

```
abstract class Expr \{ \} class Var extends Expr \{ String name; ... \} class Int extends Expr \{ int val; ... \} class Add extends Expr \{ Expr exp1, exp2; ... \} class Mul extends Expr \{ Expr exp1, exp2; ... \} class Assgn extends Expr \{ String var, Expr exp1, exp2; ... \}
```

Example: new Mul(new Int(2), new Add(new $\operatorname{Var}($ "foo"), new $\operatorname{Int}(1)))$

## Quiz

- $7+(4 * 2)$ evaluates to ...?


## Quiz

- $7+(4 * 2)$ evaluates to 15


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- $7+(4 * 2)$ evaluates to 15
- $i:=6+1 ; 2 * 3 * i$ evaluates to ...?


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- $x+1$ evaluates to ...?


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- $x+1$ evaluates to error?


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- $i:=6+1 ; 2 * 3 * i$ evaluates to 42
- $x+1$ evaluates to error?

The rest of this lecture will make these intuitions precise...

Mathematical Preliminaries

## Binary Relations

The product of two sets $A$ and $B$, written $A \times B$, contains all ordered pairs $(a, b)$ with $a \in A$ and $b \in B$.

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Some Important Relations

- empty: $\emptyset$
- total: $A \times B$
- identity on $A:\{(a, a) \mid a \in A\}$.
- composition $R ; S:\{(a, c) \mid \exists b .(a, b) \in R \wedge(b, c) \in S\}$


## Functions

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The image of $f$ is the set of elements $b \in B$ that are mapped to by at least one $a \in A$. Formally:

$$
\operatorname{image}(f) \triangleq\{f(a) \mid a \in A\}
$$

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Given two functions $f: A \rightarrow B$ and $g: B \rightarrow C$, the composition of $f$ and $g$ is defined by: $(g \circ f)(x)=g(f(x))$

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A function $f: A \rightarrow B$ is said to be injective (or one-to-one) if and only if $a_{1} \neq a_{2}$ implies $f\left(a_{1}\right) \neq f\left(a_{2}\right)$.

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A function $f: A \rightarrow B$ is said to be surjective (or onto) if and only if the image of $f$ is $B$.

## Operational Semantics

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For our language, a configuration $\langle\sigma, e\rangle$ is a pair of:

- a store $\sigma$ that records the values of variables,
- and the expression e being evaluated.


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- a store $\sigma$ that records the values of variables,
- and the expression e being evaluated.

More formally:

$$
\begin{aligned}
\text { Store } & \triangleq \text { Var } \rightharpoonup \text { Int } \\
\text { Config } & \triangleq \text { Store } \times \text { Exp }
\end{aligned}
$$

(A store is a partial function from variables to integers.)

## Operational Semantics

The small-step operational semantics itself is a relation on configurations-i.e., a subset of Config $\times$ Config.

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Question: How should we define this relation?

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which means $\left(\langle\sigma, e\rangle,\left\langle\sigma^{\prime}, e^{\prime}\right\rangle\right) \in " \rightarrow$ ".
Question: How should we define this relation? Remember that there are an infinite number of configurations and possible steps!

## Inference Rules

Answer: Define it inductively, using inference rules:
$\frac{\text { premise }_{1} \quad \text { premise }_{2} \quad \cdots}{\text { conclusion }}$ NAME

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An inference rule defines an implication: if all the premises hold, then the conclusion also holds.

Formally, " $\rightarrow$ " is the smallest relation that is closed under all the inference rules.

$$
\frac{n=\sigma(x)}{\langle\sigma, x\rangle \rightarrow\langle\sigma, n\rangle} \mathrm{VAR}
$$

$$
\frac{p=m+n}{\langle\sigma, n+m\rangle \rightarrow\langle\sigma, p\rangle} \mathrm{ADD}
$$

## Addition

$$
\begin{gathered}
\frac{p=m+n}{\langle\sigma, n+m\rangle \rightarrow\langle\sigma, p\rangle} \mathrm{ADD} \\
\frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, e_{1}+e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}+e_{2}\right\rangle} \mathrm{LADD}
\end{gathered}
$$

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\frac{p=m+n}{\langle\sigma, n+m\rangle \rightarrow\langle\sigma, p\rangle} \text { ADD } \\
\frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, e_{1}+e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}+e_{2}\right\rangle} \text { LADD } \\
\frac{\left\langle\sigma, e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{2}^{\prime}\right\rangle}{\left\langle\sigma, n+e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, n+e_{2}^{\prime}\right\rangle} \text { RADD }
\end{gathered}
$$

$$
\frac{p=m \times n}{\langle\sigma, m * n\rangle \rightarrow\langle\sigma, p\rangle} \mathrm{MuL}
$$

## Multiplication

$$
\begin{gathered}
\frac{p=m \times n}{\langle\sigma, m * n\rangle \rightarrow\langle\sigma, p\rangle} \text { MuL } \\
\frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, e_{1} * e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime} * e_{2}\right\rangle} \text { LMUL } \\
\frac{\left\langle\sigma, e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{2}^{\prime}\right\rangle}{\left\langle\sigma, n * e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, n * e_{2}^{\prime}\right\rangle} \text { RMUL }
\end{gathered}
$$

## Assignment

$$
\frac{\sigma^{\prime}=\sigma[x \mapsto n]}{\left\langle\sigma, x:=n ; e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{2}\right\rangle} \text { AsSGN }
$$

Notation: $\sigma[x \mapsto n]$ is a new (partial) function that mostly behaves like $\sigma$, except that it maps $x$ to $n$.

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\frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, x:=e_{1} ; e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, x:=e_{1}^{\prime} ; e_{2}\right\rangle} \text { AssGN1 }
$$

## Operational Semantics

$$
\begin{array}{cc}
\frac{n=\sigma(x)}{\langle\sigma, x\rangle \rightarrow\langle\sigma, n\rangle} \mathrm{VAR} & \frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, e_{1}+e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}+e_{2}\right\rangle} \text { LADD } \\
\frac{\left\langle\sigma, e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{2}^{\prime}\right\rangle}{\left\langle\sigma, n+e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, n+e_{2}^{\prime}\right\rangle} \text { RADD } & \frac{p=m+n}{\langle\sigma, n+m\rangle \rightarrow\langle\sigma, p\rangle} \text { ADD } \\
\frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, e_{1} * e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime} * e_{2}\right\rangle} \text { LMUL } & \frac{\left\langle\sigma, e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{2}^{\prime}\right\rangle}{\left\langle\sigma, n * e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, n * e_{2}^{\prime}\right\rangle} \text { RMUL } \\
\frac{p=m \times n}{\langle\sigma, m * n\rangle \rightarrow\langle\sigma, p\rangle} \text { MUL } & \frac{\left\langle\sigma, e_{1}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{1}^{\prime}\right\rangle}{\left\langle\sigma, x:=e_{1} ; e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, x:=e_{1}^{\prime} ; e_{2}\right\rangle} \text { AsSGN1 } \\
\frac{\sigma^{\prime}=\sigma[x \mapsto n]}{\left\langle\sigma, x:=n ; e_{2}\right\rangle \rightarrow\left\langle\sigma^{\prime}, e_{2}\right\rangle} \text { AsSGN }
\end{array}
$$

## Multi-Step Evaluation

We can define the multi-step evaluation relation, written $\rightarrow^{*}$, as the reflexive and transitive closure of the small-step evaluation relation.

$$
\begin{gathered}
\frac{\langle\sigma, e\rangle \rightarrow^{*}\langle\sigma, e\rangle}{\mathrm{REFL}} \\
\frac{\langle\sigma, e\rangle \rightarrow\left\langle\sigma^{\prime}, e^{\prime}\right\rangle \quad\left\langle\sigma^{\prime}, e^{\prime}\right\rangle \rightarrow^{*}\left\langle\sigma^{\prime \prime}, e^{\prime \prime}\right\rangle}{\langle\sigma, e\rangle \rightarrow^{*}\left\langle\sigma^{\prime \prime}, e^{\prime \prime}\right\rangle} \text { TRANS }
\end{gathered}
$$

