CS 4110

Programming Languages & Logics

Lecture 2
Introduction to Semantics

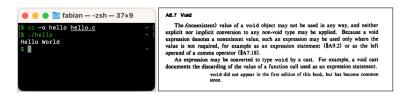
Semantics

Question: What is the meaning of a program?

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Answer: We could execute the program using an interpreter or a compiler, or we could consult a manual...



...but none of these is a satisfactory solution.

Formal Semantics

Three Approaches

Operational

$$\langle \sigma, \mathbf{e} \rangle \longrightarrow \langle \sigma', \mathbf{e}' \rangle$$

- Model program by execution on abstract machine
- Useful for implementing compilers and interpreters
- Denotational:

 $\llbracket e \rrbracket$

- ► Model program as mathematical objects
- Useful for theoretical foundations
- Axiomatic

$$\vdash \{\phi\} \, \mathbf{e} \, \{\psi\}$$

- Model program by the logical formulas it obeys
- Useful for proving program correctness

Arithmetic Expressions

Syntax

A language of integer arithmetic expressions with assignment.

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Metavariables:

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Syntax

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Metavariables:

$$x, y, z \in Var$$

 $n, m \in Int$
 $e \in Exp$

BNF Grammar:

$$e := x$$
 $| n$
 $| e_1 + e_2$
 $| e_1 * e_2$
 $| x := e_1 ; e_2$

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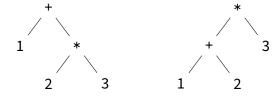
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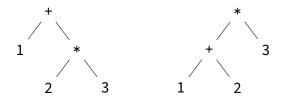


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In this course, we will distinguish abstract syntax from concrete syntax, and focus primarily on abstract syntax (using conventions or parentheses at the concrete level to disambiguate as needed).

Representing Expressions

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OCaml:

```
type exp = Var of string
| Int of int
| Add of exp * exp
| Mul of exp * exp
| Assgn of string * exp * exp
```

Example: Mul(Int 2, Add(Var "foo", Int 1))

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Java:

```
abstract class Expr { }
class Var extends Expr { String name; ... }
class Int extends Expr { int val; ... }
class Add extends Expr { Expr exp1, exp2; ... }
class Mul extends Expr { Expr exp1, exp2; ... }
class Assgn extends Expr { String var, Expr exp1, exp2; ... }
```

Example: new Mul(new Int(2), new Add(new Var("foo"), new Int(1)))

• 7 + (4 * 2) evaluates to ...?

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- i := 6 + 1; 2 * 3 * i evaluates to 42
- x + 1 evaluates to error?

The rest of this lecture will make these intuitions precise...

Mathematical Preliminaries

The *product* of two sets *A* and *B*, written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

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Some Important Relations

- empty: ∅
- total: *A* × *B*
- identity on A: $\{(a, a) \mid a \in A\}$.
- composition R; S: $\{(a,c) \mid \exists b. (a,b) \in R \land (b,c) \in S\}$

Functions

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The *image* of f is the set of elements $b \in B$ that are mapped to by at least one $a \in A$. Formally:

$$image(f) \triangleq \{f(a) \mid a \in A\}$$

Given two functions $f: A \to B$ and $g: B \to C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

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A function $f: A \to B$ is said to be *surjective* (or *onto*) if and only if the image of f is B.

Operational Semantics

Overview

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For our language, a configuration $\langle \sigma, e \rangle$ is a pair of:

- a store σ that records the values of variables,
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- and the expression e being evaluated.

More formally:

Store
$$\triangleq$$
 Var \rightarrow Int Config \triangleq Store \times Exp

(A store is a *partial* function from variables to integers.)

The small-step operational semantics itself is a relation on configurations—i.e., a subset of **Config** \times **Config**.

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Question: How should we define this relation?

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Question: How should we define this relation? Remember that there are an infinite number of configurations and possible steps!

Inference Rules

Answer: Define it inductively, using inference rules:

 $\frac{\mathsf{premise}_1 \qquad \mathsf{premise}_2 \qquad \cdots}{\mathsf{conclusion}} \; \mathsf{Name}$

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An inference rule defines an implication: if all the premises hold, then the conclusion also holds.

Formally, " \rightarrow " is the smallest relation that is closed under all the inference rules.

Variables

$$rac{n=\sigma(extbf{x})}{\langle \sigma, extbf{x}
angle
ightarrow \langle \sigma, n
angle} \; extsf{Var}$$

Addition

$$rac{p=m+n}{\langle \sigma,n+m
angle
ightarrow \langle \sigma,p
angle}$$
 Add

Addition

$$egin{aligned} rac{p=m+n}{\langle \sigma,n+m
angle
ightarrow \langle \sigma,
ho
angle} & ext{Add} \ & rac{\langle \sigma,e_1
angle
ightarrow \langle \sigma',e_1'
angle}{\langle \sigma,e_1+e_2
angle
ightarrow \langle \sigma',e_1'+e_2
angle} & ext{LAdd} \end{aligned}$$

Addition

$$\begin{split} \frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ Add} \\ \frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e_1' + e_2 \rangle} \text{ LAdd} \\ \frac{\langle \sigma, e_2 \rangle \rightarrow \langle \sigma', e_1' + e_2 \rangle}{\langle \sigma, n + e_2 \rangle \rightarrow \langle \sigma', n + e_2' \rangle} \text{ RAdd} \end{split}$$

Multiplication

$$rac{p=m imes n}{\langle\sigma,m*n
angle
ightarrow\langle\sigma,p
angle}$$
 Mul

Multiplication

$$\begin{split} \frac{p = m \times n}{\langle \sigma, m*n \rangle \to \langle \sigma, p \rangle} \, \text{Mul} \\ \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \, \text{LMul} \\ \frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n*e_2 \rangle \to \langle \sigma', n*e_2' \rangle} \, \text{RMul} \end{split}$$

Assignment

$$\frac{\sigma' = \sigma[\textbf{\textit{x}} \mapsto \textbf{\textit{n}}]}{\langle \sigma, \textbf{\textit{x}} := \textbf{\textit{n}} \; ; \; \textbf{\textit{e}}_2 \rangle \rightarrow \langle \sigma', \textbf{\textit{e}}_2 \rangle} \; \mathsf{Assgn}$$

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$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, \mathbf{x} := e_1 \; ; \; e_2 \rangle \rightarrow \langle \sigma', \mathbf{x} := e_1' \; ; \; e_2 \rangle} \; \mathsf{Assgn1}$$

$$\frac{n = \sigma(x)}{\langle \sigma, x \rangle \to \langle \sigma, n \rangle} \, \text{VAR} \qquad \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \to \langle \sigma', e_1' + e_2 \rangle} \, \text{LAdd}$$

$$\frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n + e_2 \rangle \to \langle \sigma', n + e_2' \rangle} \, \text{RAdd} \qquad \frac{p = m + n}{\langle \sigma, n + m \rangle \to \langle \sigma, p \rangle} \, \text{Add}$$

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Multi-Step Evaluation

We can define the multi-step evaluation relation, written \rightarrow *, as the reflexive and transitive closure of the small-step evaluation relation.