## CS 4110

Programming Languages \& Logics

## Lecture 18

Evaluation Contexts and Definitional Translation

## Review: Call-by-Value

Here are the syntax and CBV semantics of $\lambda$-calculus:

$$
\begin{gathered}
e::=x|\lambda x . e| e_{1} e_{2} \\
v::=\lambda x . e \\
\frac{e_{1} \rightarrow e_{1}^{\prime}}{e_{1} e_{2} \rightarrow e_{1}^{\prime} e_{2}} \quad \frac{e \rightarrow e^{\prime}}{v e \rightarrow v e^{\prime}} \\
\frac{(\lambda x . e) v \rightarrow e\{v / x\}}{} \beta
\end{gathered}
$$

There are two kinds of rules: congruence rules that specify evaluation order and computation rules that specify the "interesting" reductions.

## Evaluation Contexts

Evaluation contexts let us separate out these two kinds of rules.

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An evaluation context $E$ is an expression with a "hole" in it: a single occurrence of the special symbol [.] in place of a subexpression.

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$$

## Evaluation Contexts

Evaluation contexts let us separate out these two kinds of rules.
An evaluation context $E$ is an expression with a "hole" in it: a single occurrence of the special symbol [.] in place of a subexpression.

$$
E::=[\cdot]|E e| v E
$$

We write $E[e]$ to mean the evaluation context $E$ where the hole has been replaced with the expression $e$.

## Examples

$$
\begin{aligned}
E_{1} & =[\cdot](\lambda x \cdot x) \\
E_{1}[\lambda y \cdot y y] & =(\lambda y \cdot y y) \lambda x \cdot x
\end{aligned}
$$

## Examples

$$
\begin{aligned}
E_{1} & =[\cdot](\lambda x \cdot x) \\
E_{1}[\lambda y \cdot y y] & =(\lambda y \cdot y y) \lambda x \cdot x \\
E_{2} & =(\lambda z \cdot z z)[\cdot] \\
E_{2}[\lambda x \cdot \lambda y \cdot x] & =(\lambda z \cdot z z)(\lambda x \cdot \lambda y \cdot x)
\end{aligned}
$$

## Examples

$$
\begin{aligned}
E_{1} & =[\cdot](\lambda x \cdot x) \\
E_{1}[\lambda y \cdot y y] & =(\lambda y \cdot y y) \lambda x \cdot x \\
E_{2} & =(\lambda z \cdot z z)[\cdot] \\
E_{2}[\lambda x \cdot \lambda y \cdot x] & =(\lambda z \cdot z z)(\lambda x \cdot \lambda y \cdot x) \\
E_{3} & =([\cdot] \lambda x \cdot x x)((\lambda y \cdot y)(\lambda y \cdot y)) \\
E_{3}[\lambda f \cdot \lambda g \cdot f g] & =((\lambda f \cdot \lambda g \cdot f g) \lambda x \cdot x x)((\lambda y \cdot y)(\lambda y \cdot y))
\end{aligned}
$$

## CBV With Evaluation Contexts

With evaluation contexts, we can define the evaluation semantics for the CBV $\lambda$-calculus with just two rules: one for evaluation contexts, and one for $\beta$-reduction.

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With this syntax:

$$
E::=[\cdot]|E e| v E
$$

The small-step rules are:

$$
\frac{e \rightarrow e^{\prime}}{E[e] \rightarrow E\left[e^{\prime}\right]}
$$

$\overline{(\lambda x . e) v \rightarrow e\{v / x\}}^{\beta}$

## CBN With Evaluation Contexts

We can also define the semantics of CBN $\lambda$-calculus with evaluation contexts.

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E::=[\cdot] \mid E e
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For call-by-name, the syntax for evaluation contexts is different:

$$
E::=[\cdot] \mid E e
$$

But the small-step rules are the same:

$$
\begin{gathered}
\frac{e \rightarrow e^{\prime}}{E[e] \rightarrow E\left[e^{\prime}\right]} \\
\frac{(\lambda x . e) e^{\prime} \rightarrow e\left\{e^{\prime} / x\right\}}{\beta}
\end{gathered}
$$

## Definitional Translation

We know how to encode Booleans, conditionals, natural numbers, and recursion in $\lambda$-calculus.

Can we define a real programming language by translating everything in it into the $\lambda$-calculus?

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Can we define a real programming language by translating everything in it into the $\lambda$-calculus?

In definitional translation, we define a denotational semantics where the target is a simpler programming language instead of mathematical objects.

## Multi-Argument $\lambda$-calculus

Let's define a version of the $\lambda$-calculus that allows functions to take multiple arguments.

$$
e::=x\left|\lambda x_{1}, \ldots, x_{n} . e\right| e_{0} e_{1} \ldots e_{n}
$$

## Multi-Argument $\lambda$-calculus

We can define a CBV operational semantics:

$$
E::=[\cdot] \mid v_{0} \ldots v_{i-1} E e_{i+1} \ldots e_{n}
$$

$$
\frac{e \rightarrow e^{\prime}}{E[e] \rightarrow E\left[e^{\prime}\right]}
$$

$$
\overline{\left(\lambda x_{1}, \ldots, x_{n} . e_{0}\right) v_{1} \ldots v_{n} \rightarrow e_{0}\left\{v_{1} / x_{1}\right\}\left\{v_{2} / x_{2}\right\} \ldots\left\{v_{n} / x_{n}\right\}} \beta
$$

The evaluation contexts ensure that we evaluate multi-argument applications $e_{0} e_{1} \ldots e_{n}$ from left to right.

## Definitional Translation

The multi-argument $\lambda$-calculus isn't any more expressive that the pure $\lambda$-calculus.

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We can define a translation $\mathcal{T} \llbracket \cdot \rrbracket$ that takes an expression in the multi-argument $\lambda$-calculus and returns an equivalent expression in the pure $\lambda$-calculus.

## Definitional Translation

The multi-argument $\lambda$-calculus isn't any more expressive that the pure $\lambda$-calculus.

We can define a translation $\mathcal{T} \llbracket \rrbracket \rrbracket$ that takes an expression in the multi-argument $\lambda$-calculus and returns an equivalent expression in the pure $\lambda$-calculus.

$$
\begin{aligned}
\mathcal{T} \llbracket x \rrbracket & =x \\
\mathcal{T} \llbracket \lambda x_{1}, \ldots, x_{n} \cdot e \rrbracket & =\lambda x_{1} \ldots \lambda x_{n} \cdot \mathcal{T} \llbracket e \rrbracket \\
\mathcal{T} \llbracket e_{0} e_{1} e_{2} \ldots e_{n} \rrbracket & =\left(\ldots\left(\left(\mathcal{T} \llbracket e_{0} \rrbracket \mathcal{T} \llbracket e_{1} \rrbracket\right) \mathcal{T} \llbracket e_{2} \rrbracket\right) \ldots \mathcal{T} \llbracket e_{n} \rrbracket\right)
\end{aligned}
$$

This translation curries the multi-argument $\lambda$-calculus.

## Products (Pairs) and Let

Syntax

$$
\begin{aligned}
& e::=x \\
& \mid \lambda x \cdot e \\
& \mid e_{1} e_{2} \\
& \mid\left(e_{1}, e_{2}\right) \\
& \mid \# 1 e \\
& \mid \# 2 e \\
& \mid \text { let } x=e_{1} \text { in } e_{2} \\
& v::= \lambda x \cdot e \\
& \mid\left(v_{1}, v_{2}\right)
\end{aligned}
$$

## Products (Pairs) and Let

Evaluation Contexts

$$
\begin{aligned}
E::= & {[\cdot] } \\
& \mid E e \\
& \mid v E \\
& \mid(E, e) \\
& \mid(v, E) \\
& \mid \# 1 E \\
& \mid \# 2 E \\
& \mid \text { let } x=E \text { in } e_{2}
\end{aligned}
$$

## Products (Pairs) and Let

Semantics

$$
\begin{gathered}
\frac{e \rightarrow e^{\prime}}{E[e] \rightarrow E\left[e^{\prime}\right]} \\
\frac{(\lambda x . e) v \rightarrow e\{v / x\}}{} \beta \\
\frac{\# 1\left(v_{1}, v_{2}\right) \rightarrow v_{1}}{\# 2\left(v_{1}, v_{2}\right) \rightarrow v_{2}}
\end{gathered}
$$

$$
\overline{\text { let } x=v \text { in } e \rightarrow e\{v / x\}}
$$

## Products (Pairs) and Let

Translation

$$
\begin{aligned}
\mathcal{T} \llbracket x \rrbracket & =x \\
\mathcal{T} \llbracket \lambda x \cdot e \rrbracket & =\lambda x \cdot \mathcal{T} \llbracket e \rrbracket \\
\mathcal{T} \llbracket e_{1} e_{2} \rrbracket & =\mathcal{T} \llbracket e_{1} \rrbracket \mathcal{T} \llbracket e_{2} \rrbracket \\
\mathcal{T} \llbracket\left(e_{1}, e_{2}\right) \rrbracket & =(\lambda x \cdot \lambda y \cdot \lambda f . f x y) \mathcal{T} \llbracket e_{1} \rrbracket \mathcal{T} \llbracket e_{2} \rrbracket \\
\mathcal{T} \llbracket \# 1 e \rrbracket & =\mathcal{T} \llbracket e \rrbracket(\lambda x \cdot \lambda y \cdot x) \\
\mathcal{T} \llbracket \# 2 e \rrbracket & =\mathcal{T} \llbracket e \rrbracket(\lambda x \cdot \lambda y \cdot y)
\end{aligned}
$$

$\mathcal{T} \llbracket$ let $x=e_{1}$ in $e_{2} \rrbracket=\left(\lambda x . \mathcal{T} \llbracket e_{2} \rrbracket\right) \mathcal{T} \llbracket e_{1} \rrbracket$

## Laziness

Consider the call-by-name $\lambda$-calculus...
Syntax

$$
\begin{aligned}
& e::=x \\
& \mid e_{1} e_{2} \\
& \mid \lambda x . e \\
& v::=\lambda x . e
\end{aligned}
$$

Semantics

$$
\frac{e_{1} \rightarrow e_{1}^{\prime}}{e_{1} e_{2} \rightarrow e_{1}^{\prime} e_{2}}
$$

## Laziness

## Translation

$$
\begin{aligned}
\mathcal{T} \llbracket x \rrbracket & =x(\lambda y \cdot y) \\
\mathcal{T} \llbracket \lambda x \cdot e \rrbracket & =\lambda x \cdot \mathcal{T} \llbracket e \rrbracket \\
\mathcal{T} \llbracket e_{1} e_{2} \rrbracket & =\mathcal{T} \llbracket e_{1} \rrbracket\left(\lambda z \cdot \mathcal{T} \llbracket e_{2} \rrbracket\right) \quad z \text { is not a free variable of } e_{2}
\end{aligned}
$$

References

Syntax

$$
\begin{aligned}
& e::=x \\
& \mid \lambda x . e \\
& e_{0} e_{1} \\
& v::=\lambda x . e
\end{aligned}
$$

## References

Syntax

$$
\begin{aligned}
e:: & =x \\
& \mid \lambda x . e \\
& \mid e_{0} e_{1} \\
& \mid \text { ref } e
\end{aligned}
$$

$$
v::=\lambda x \cdot e
$$

## References

Syntax

$$
\begin{aligned}
& e::= x \\
& \mid \lambda x . e \\
& \mid e_{0} e_{1} \\
& \mid \text { ref e } \\
& \mid \text { !e } \\
& \\
& v::=\lambda x . e
\end{aligned}
$$

References

Syntax

$$
\begin{aligned}
& e::= x \\
& \mid \lambda x . e \\
& \mid e_{0} e_{1} \\
& \mid \text { refe } \\
& \mid!e \\
& \mid e_{1}:=e_{2} \\
& \\
& v::=\lambda x . e
\end{aligned}
$$

References

Syntax

$$
\begin{aligned}
e::= & x \\
& \mid \lambda x . e \\
& \mid e_{0} e_{1} \\
& \mid \text { refe } \\
& \mid!e \\
& \mid e_{1}:=e_{2} \\
& \mid \ell \\
v::= & \lambda x . e
\end{aligned}
$$

## References

Syntax

$$
\begin{aligned}
e::= & x \\
& \mid \lambda x . e \\
& \mid e_{0} e_{1} \\
& \mid \text { ref } e \\
& \mid!e \\
& \mid e_{1}:=e_{2} \\
& \mid \ell \\
v::= & \lambda x . e \\
& \mid \ell
\end{aligned}
$$

## References

Evaluation Contexts

$$
\begin{aligned}
E::= & {[\cdot] } \\
& \mid E e \\
& \mid v E
\end{aligned}
$$

## References

Evaluation Contexts

$$
\begin{aligned}
E:: & {[\cdot] } \\
& \mid E e \\
& \mid v E \\
& \mid \operatorname{ref} E
\end{aligned}
$$

## References

Evaluation Contexts

$$
\begin{aligned}
E::= & {[\cdot] } \\
& \mid E e \\
& \mid v E \\
& \mid r \operatorname{ref} E \\
& \mid!E
\end{aligned}
$$

## References

Evaluation Contexts

$$
\begin{aligned}
E:: & {[\cdot] } \\
& \mid E e \\
& \mid v E \\
& \mid \operatorname{ref} E \\
& \mid!E \\
& \mid E:=e
\end{aligned}
$$

## References

Evaluation Contexts

$$
\begin{aligned}
E::= & {[\cdot] } \\
& \mid E e \\
& \mid v E \\
& \mid \operatorname{ref} E \\
& \mid!E \\
& \mid E:=e \\
& \mid v:=E
\end{aligned}
$$

## References

Semantics

$$
\begin{gathered}
\frac{\langle\sigma, e\rangle \rightarrow\left\langle\sigma^{\prime}, e^{\prime}\right\rangle}{\langle\sigma, E[e]\rangle \rightarrow\left\langle\sigma^{\prime}, E\left[e^{\prime}\right]\right\rangle} \quad \overline{\langle\sigma,(\lambda x . e) v\rangle \rightarrow\langle\sigma, e\{v / x\}\rangle} \beta \\
\frac{\ell \notin \operatorname{dom}(\sigma)}{\langle\sigma, \operatorname{ref} v\rangle \rightarrow\langle\sigma[\ell \mapsto v], \ell\rangle} \quad \frac{\sigma(\ell)=v}{\langle\sigma,!\ell\rangle \rightarrow\langle\sigma, v\rangle} \\
\overline{\langle\sigma, \ell:=v\rangle \rightarrow\langle\sigma[\ell \mapsto v], v\rangle}
\end{gathered}
$$

## References

Translation

...left as an exercise to the reader. ;-)

## Adequacy

How do we know if a translation is correct?

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How do we know if a translation is correct?
Every target evaluation should represent a source evaluation...

## Definition (Soundness)

$\forall e \in \operatorname{Exp}_{\text {src }}$. if $\mathcal{T} \llbracket e \rrbracket \rightarrow_{\text {trg }}^{*} v^{\prime}$ then $\exists v . e \rightarrow_{\text {src }}^{*} v$ and $v^{\prime}$ equivalent to $v$

## Adequacy

How do we know if a translation is correct?
Every target evaluation should represent a source evaluation...

## Definition (Soundness)

$\forall e \in \mathbf{E x p}_{\text {src }}$. if $\mathcal{T} \llbracket e \rrbracket \rightarrow_{\text {trg }}^{*} v^{\prime}$ then $\exists v . e \rightarrow_{\text {src }}^{*} v$ and $v^{\prime}$ equivalent to $v$
...and every source evaluation should have a target evaluation:

## Definition (Completeness)

$\forall e \in \mathbf{E x p}_{\text {src }}$. if $e \rightarrow_{\text {src }}^{*} v$ then $\exists v^{\prime} . \mathcal{T} \llbracket e \rrbracket \rightarrow_{\operatorname{trg}}^{*} v^{\prime}$ and $v^{\prime}$ equivalent to $v$

