CS 4110

Programming Languages & Logics

Lecture 16 Programming in the λ -calculus

Review: Church Booleans

We can encode TRUE, FALSE, and IF, as:

$$\mathsf{TRUE} \triangleq \lambda x. \ \lambda y. \ x$$
$$\mathsf{FALSE} \triangleq \lambda x. \ \lambda y. \ y$$
$$\mathsf{IF} \triangleq \lambda b. \ \lambda t. \ \lambda f. \ b \ t \ f$$

This way, IF behaves how it ought to:

IF TRUE $v_t v_f \rightarrow v_t$ IF FALSE $v_t v_f \rightarrow v_f$

 $\overline{0} \triangleq \lambda f. \lambda x. x$ $\overline{1} \triangleq \lambda f. \lambda x. f x$ $\overline{2} \triangleq \lambda f. \lambda x. f(f x)$

SUCC
$$\triangleq \lambda n. \lambda f. \lambda x. f(n f x)$$

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SUCC
$$\triangleq \lambda n. \lambda f. \lambda x. f(n f x)$$

PLUS $\triangleq \lambda n_1. \lambda n_2. n_1$ SUCC n_2

$$\overline{\mathbf{0}} \triangleq \lambda f. \, \lambda x. \, x \overline{\mathbf{1}} \triangleq \lambda f. \, \lambda x. \, f \, x \overline{\mathbf{2}} \triangleq \lambda f. \, \lambda x. \, f \, (f \, x)$$

SUCC
$$\triangleq \lambda n. \lambda f. \lambda x. f(n f x)$$

PLUS $\triangleq \lambda n_1. \lambda n_2. n_1$ SUCC n_2
TIMES $\triangleq \lambda n_1. \lambda n_2. n_1$ (PLUS n_2) $\overline{0}$

$$\overline{\mathbf{0}} \triangleq \lambda f. \lambda x. x \overline{\mathbf{1}} \triangleq \lambda f. \lambda x. f x \overline{\mathbf{2}} \triangleq \lambda f. \lambda x. f (f x)$$

SUCC
$$\triangleq \lambda n. \lambda f. \lambda x. f(n f x)$$

PLUS $\triangleq \lambda n_1. \lambda n_2. n_1$ SUCC n_2
TIMES $\triangleq \lambda n_1. \lambda n_2. n_1$ (PLUS n_2) $\overline{0}$
SZERO $\triangleq \lambda n. n (\lambda z. FALSE)$ TRUE

Recursive Functions

How would we write recursive functions like factorial?

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We'd like to write it like this...

FACT $\triangleq \lambda n$. IF (ISZERO *n*) 1 (TIMES *n* (FACT (PRED *n*)))

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FACT $\triangleq \lambda n$. IF (ISZERO *n*) 1 (TIMES *n* (FACT (PRED *n*)))

In slightly more readable notation this is...

FACT $\triangleq \lambda n$. if n = 0 then 1 else $n \times$ FACT (n - 1)

...but this is an equation, not a definition!

Recursion removal trick

We can perform a "trick" to define a function FACT that satisfies the recursive equation on the previous slide. We can perform a "trick" to define a function FACT that satisfies the recursive equation on the previous slide.

Define a new function FACT' that takes a function *f* as an argument. Then, for "recursive" calls, it uses *f f*:

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Then define FACT as FACT' applied to itself:

 $\mathsf{FACT} \triangleq \mathsf{FACT}' \ \mathsf{FACT}'$

Let's try evaluating FACT on 3...

FACT 3

```
FACT 3 = (FACT' FACT') 3
```

$$\begin{aligned} \mathsf{FACT 3} &= (\mathsf{FACT'} \ \mathsf{FACT'}) \ \mathsf{3} \\ &= ((\lambda f. \ \lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((ff) \ (n-1))) \ \mathsf{FACT'}) \ \mathsf{3} \end{aligned}$$

$$\begin{aligned} \mathsf{FACT 3} &= (\mathsf{FACT'} \ \mathsf{FACT'}) \ 3 \\ &= ((\lambda f. \ \lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((ff) \ (n-1))) \ \mathsf{FACT'}) \ 3 \\ &\to (\lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((\mathsf{FACT'} \ \mathsf{FACT'}) \ (n-1))) \ 3 \end{aligned}$$

$$\begin{aligned} \mathsf{FACT} & 3 = (\mathsf{FACT}' \ \mathsf{FACT}') \ 3 \\ &= ((\lambda f. \ \lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((ff) \ (n-1))) \ \mathbf{FACT}') \ 3 \\ &\to (\lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((\mathsf{FACT}' \ \mathsf{FACT}') \ (n-1))) \ 3 \\ &\to \mathbf{if} \ 3 = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ 3 \times ((\mathsf{FACT}' \ \mathsf{FACT}') \ (3-1)) \end{aligned}$$

$$\begin{aligned} \mathsf{FACT 3} &= (\mathsf{FACT'} \ \mathsf{FACT'}) \ 3 \\ &= ((\lambda f. \ \lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then 1} \ \mathbf{else} \ n \times ((ff) \ (n-1))) \ \mathsf{FACT'}) \ 3 \\ &\to (\lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then 1} \ \mathbf{else} \ n \times ((\mathsf{FACT'} \ \mathsf{FACT'}) \ (n-1))) \ 3 \\ &\to \mathbf{if} \ 3 = 0 \ \mathbf{then 1} \ \mathbf{else} \ 3 \times ((\mathsf{FACT'} \ \mathsf{FACT'}) \ (3-1)) \\ &\to 3 \times ((\mathsf{FACT'} \ \mathsf{FACT'}) \ (3-1)) \end{aligned}$$

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$$\begin{aligned} \mathsf{FACT} &3 = (\mathsf{FACT}' \ \mathsf{FACT}') \ 3 \\ &= ((\lambda f. \ \lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((ff) \ (n-1))) \ \mathsf{FACT}') \ 3 \\ &\to (\lambda n. \ \mathbf{if} \ n = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ n \times ((\mathsf{FACT}' \ \mathsf{FACT}') \ (n-1))) \ 3 \\ &\to \mathbf{if} \ 3 = 0 \ \mathbf{then} \ 1 \ \mathbf{else} \ 3 \times ((\mathsf{FACT}' \ \mathsf{FACT}') \ (3-1)) \\ &\to 3 \times ((\mathsf{FACT}' \ \mathsf{FACT}') \ (3-1)) \\ &= 3 \times (\mathsf{FACT} \ (3-1)) \\ &\to \dots \\ &\to 3 \times 2 \times 1 \times 1 \end{aligned}$$

FACT 3 = (FACT' FACT') 3
=
$$((\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times ((ff) (n - 1))) \text{ FACT'}) 3$$

 $\rightarrow (\lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times ((\text{FACT' FACT'}) (n - 1))) 3$
 $\rightarrow \text{ if } 3 = 0 \text{ then } 1 \text{ else } 3 \times ((\text{FACT' FACT'}) (3 - 1)))$
 $\rightarrow 3 \times ((\text{FACT' FACT'}) (3 - 1))$
 $= 3 \times (\text{FACT } (3 - 1))$
 $\rightarrow \dots$
 $\rightarrow 3 \times 2 \times 1 \times 1$
 $\rightarrow * 6$

Fixed point combinators

Our "trick" requires following human-readable instructions. Write a different function f' that takes itself as an argument and uses self-application for recursive calls, and then define f as f' f'.

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Consider factorial again. It is a fixed point of the following:

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Recall that if g if a fixed point of G, then Gg = g. To see that any fixed point g is a real factorial function, try evaluating it:

$$g\,5 = (G\,g)\,5$$

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How can we generate the fixed point of G?

In denotational semantics, finding fixed points took a lot of math. In the λ -calculus, we just need a suitable combinator...

Y Combinator

The (infamous) Y combinator is defined as

$$\mathsf{Y} \triangleq \lambda f. \left(\lambda x. f(x x)\right) \left(\lambda x. f(x x)\right)$$

We say that Y is a *fixed point combinator* because Y *f* is a fixed point of *f* (for any lambda term *f*).

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What happens when we evaluate Y G under CBV?

Z Combinator

To avoid this issue, we'll use a slight variant of the Y combinator, called Z, which is easier to use under CBV.

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 $\mathsf{Z} \triangleq \lambda f. \left(\lambda x. f(\lambda y. x x y)\right) \left(\lambda x. f(\lambda y. x x y)\right)$

Let's see Z in action, on our function G.

FACT

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FACT

- = Z G
- $= (\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G$
- $\rightarrow \quad (\lambda x. G(\lambda y. x x y))(\lambda x. G(\lambda y. x x y))$

Let's see Z in action, on our function G.

FACT

- = ZG
- $= (\lambda f. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y))) G$
- $\rightarrow (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y))$
- $\rightarrow \quad G\left(\lambda y.\left(\lambda x.\,G\left(\lambda y.\,x\,x\,y\right)\right)\left(\lambda x.\,G\left(\lambda y.\,x\,x\,y\right)\right)y\right)$

Let's see Z in action, on our function G.

FACT = Z G = $(\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y))$

$$= (\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G$$

$$\rightarrow (\lambda x. G(\lambda y. x x y)) (\lambda x. G(\lambda y. x x y))$$

$$\rightarrow \quad G(\lambda y.(\lambda x. G(\lambda y. x x y))(\lambda x. G(\lambda y. x x y))y)$$

 $= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1))) \\ (\lambda y. (\lambda x. G(\lambda y. x x y)) (\lambda x. G(\lambda y. x x y)) y)$

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FACT

= ZG

$$= (\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G$$

$$\rightarrow \quad (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y))$$

- $\rightarrow \quad G\left(\lambda y.\left(\lambda x.G\left(\lambda y.xxy\right)\right)\left(\lambda x.G\left(\lambda y.xxy\right)\right)y\right)$
- $= (\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))$ $(\lambda y. (\lambda x. G(\lambda y. x x y)) (\lambda x. G(\lambda y. x x y)) y)$
- $\rightarrow \lambda n$. if n = 0 then 1

else $n \times ((\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y) (n - 1))$

Let's see Z in action, on our function G.

FACT = Z G= $(\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G$ $\rightarrow (\lambda x. G(\lambda y. x x y)) (\lambda x. G(\lambda y. x x y))$ $\rightarrow G(\lambda y. (\lambda x. G(\lambda y. x x y)) (\lambda x. G(\lambda y. x x y)) y)$ = $(\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))$ $(\lambda y. (\lambda x. G(\lambda y. x x y)) (\lambda x. G(\lambda y. x x y)) y)$ $\rightarrow \lambda n. \text{ if } n = 0 \text{ then } 1$

else $n \times ((\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y) (n - 1))$ =_{β} $\lambda n.$ if n = 0 then 1 else $n \times (\lambda y. (Z G) y) (n - 1)$

Let's see Z in action, on our function G.

FACT 7 G _ $= (\lambda f. (\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y))) G$ \rightarrow ($\lambda x. G(\lambda y. x x y)$) ($\lambda x. G(\lambda y. x x y)$) \rightarrow G ($\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y$) = $(\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))$ $(\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y)$ $\rightarrow \lambda n$, if n = 0 then 1 else $n \times ((\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y) (n-1))$ $=_{\beta} \lambda n$. if n = 0 then 1 else $n \times (\lambda y. (Z G) y) (n - 1)$ $=_{\beta} \lambda n$. if n = 0 then 1 else $n \times ((ZG)(n-1))$

Let's see Z in action, on our function G.

FACT 7 G _ $= (\lambda f. (\lambda x. f (\lambda y. x x y)) (\lambda x. f (\lambda y. x x y))) G$ \rightarrow ($\lambda x. G(\lambda y. x x y)$) ($\lambda x. G(\lambda y. x x y)$) \rightarrow G ($\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y$) = $(\lambda f. \lambda n. \text{ if } n = 0 \text{ then } 1 \text{ else } n \times (f(n-1)))$ $(\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y)$ $\rightarrow \lambda n$, if n = 0 then 1 else $n \times ((\lambda y. (\lambda x. G (\lambda y. x x y)) (\lambda x. G (\lambda y. x x y)) y) (n-1))$ $=_{\beta} \lambda n$. if n = 0 then 1 else $n \times (\lambda y. (Z G) y) (n - 1)$ $=_{\beta} \lambda n$. if n = 0 then 1 else $n \times ((ZG)(n-1))$ λn . if n = 0 then 1 else $n \times (FACT (n - 1))$

Other fixed point combinators

There are many (indeed infinitely many) fixed-point combinators. Here's a cute one:

where

 $L \triangleq \lambda abcdefghijklmnopqstuvwxyzr.$ (r(thisisafixedpointcombinator))

To gain some more intuition for fixed point combinators, let's derive a combinator Θ originally discovered by Turing.

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 $\Theta f = f(\Theta f).$

We can write the following recursive equation:

 $\Theta = \lambda f. f(\Theta f)$

Now use the recursion removal trick:

$$\begin{array}{l} \Theta' & \triangleq \quad \lambda t. \ \lambda f. \ f \ (t \ t \ f) \\ \Theta & \triangleq \quad \Theta' \ \Theta' \end{array}$$

$\mathsf{FACT} = \Theta \, \mathsf{G}$

$FACT = \Theta G$ = (($\lambda t. \lambda f. f(t t f)$) ($\lambda t. \lambda f. f(t t f)$)) G

$FACT = \Theta G$ = (($\lambda t. \lambda f. f(ttf)$) ($\lambda t. \lambda f. f(ttf)$)) G \rightarrow ($\lambda f. f((\lambda t. \lambda f. f(ttf))$ ($\lambda t. \lambda f. f(ttf)$) f)) G

$$FACT = \Theta G$$

= (($\lambda t. \lambda f. f(t t f)$) ($\lambda t. \lambda f. f(t t f)$)) G
 \rightarrow ($\lambda f. f((\lambda t. \lambda f. f(t t f))$ ($\lambda t. \lambda f. f(t t f)$) f)) G
 \rightarrow G (($\lambda t. \lambda f. f(t t f)$) ($\lambda t. \lambda f. f(t t f)$) G)

$\theta \text{ Example}$

$$FACT = \Theta G$$

= $((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f))) G$
 $\rightarrow (\lambda f. f((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) f)) G$
 $\rightarrow G ((\lambda t. \lambda f. f(t t f)) (\lambda t. \lambda f. f(t t f)) G)$
= $G (\Theta G)$

$\theta \text{ Example}$

$$\begin{aligned} \mathsf{FACT} &= \Theta \, G \\ &= \left(\left(\lambda t. \, \lambda f. \, f\left(t \, t \, f\right) \right) \left(\lambda t. \, \lambda f. \, f\left(t \, t \, f\right) \right) \right) G \\ &\to \left(\lambda f. \, f\left((\lambda t. \, \lambda f. \, f\left(t \, t \, f\right)\right) \left(\lambda t. \, \lambda f. \, f\left(t \, t \, f\right) \right) f \right) \right) G \\ &\to G \left(\left(\lambda t. \, \lambda f. \, f\left(t \, t \, f\right) \right) \left(\lambda t. \, \lambda f. \, f\left(t \, t \, f\right) \right) G \right) \\ &= G \left(\Theta \, G \right) \\ &= \left(\lambda f. \, \lambda n. \, \text{if} \, n = 0 \, \text{then} \, 1 \, \text{else} \, n \times \left(f\left(n - 1\right) \right) \right) \left(\Theta \, G \right) \\ &\to \lambda n. \, \text{if} \, n = 0 \, \text{then} \, 1 \, \text{else} \, n \times \left(\left(\Theta \, G \right) \left(n - 1 \right) \right) \\ &= \lambda n. \, \text{if} \, n = 0 \, \text{then} \, 1 \, \text{else} \, n \times \left(\text{FACT} \left(n - 1 \right) \right) \end{aligned}$$

Here are the syntax and CBV semantics of λ -calculus:

$$e ::= x \mid \lambda x. e \mid e_1 e_2$$
$$v ::= \lambda x. e$$

$$rac{e_1
ightarrow e_1'}{e_1 \, e_2
ightarrow e_1' \, e_2} \qquad rac{e
ightarrow e'}{v \, e
ightarrow v \, e'}$$

$$\frac{1}{(\lambda x. e) v \to e\{v/x\}} \beta$$

There are two kinds of rules: *congruence rules* that specify evaluation order and *computation rules* that specify the "interesting" reductions.

Evaluation Contexts

Evaluation contexts let us separate out these two kinds of rules.

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An evaluation context *E* is an expression with a "hole" in it: a single occurrence of the special symbol $[\cdot]$ in place of a subexpression.

 $E ::= [\cdot] \mid E e \mid v E$

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An evaluation context *E* is an expression with a "hole" in it: a single occurrence of the special symbol $[\cdot]$ in place of a subexpression.

 $E ::= [\cdot] \mid E e \mid v E$

We write E[e] to mean the evaluation context E where the hole has been replaced with the expression e.

$$E_1 = [\cdot] (\lambda x. x)$$
$$E_1[\lambda y. y y] = (\lambda y. y y) \lambda x. x$$

$$E_{1} = [\cdot] (\lambda x. x)$$

$$E_{1}[\lambda y. y y] = (\lambda y. y y) \lambda x. x$$

$$E_{2} = (\lambda z. z z) [\cdot]$$

$$E_{2}[\lambda x. \lambda y. x] = (\lambda z. z z) (\lambda x. \lambda y. x)$$

$$E_{1} = [\cdot] (\lambda x. x)$$

$$E_{1}[\lambda y. y y] = (\lambda y. y y) \lambda x. x$$

$$E_{2} = (\lambda z. z z) [\cdot]$$

$$E_{2}[\lambda x. \lambda y. x] = (\lambda z. z z) (\lambda x. \lambda y. x)$$

$$E_{3} = ([\cdot] \lambda x. x x) ((\lambda y. y) (\lambda y. y))$$

$$E_{3}[\lambda f. \lambda g. f g] = ((\lambda f. \lambda g. f g) \lambda x. x x) ((\lambda y. y) (\lambda y. y))$$

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CBV With Evaluation Contexts

With evaluation contexts, we can define the evaluation semantics for the CBV λ -calculus with just two rules: one for evaluation contexts, and one for β -reduction.

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With this syntax:

$$E ::= [\cdot] \mid E e \mid v E$$

The small-step rules are:

$$e
ightarrow e'$$

 $E[e]
ightarrow E[e']$

$$\frac{1}{(\lambda x. e) v \to e\{v/x\}} \beta$$

CBN With Evaluation Contexts

We can also define the semantics of CBN λ -calculus with evaluation contexts.

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For call-by-name, the syntax for evaluation contexts is different:

 $E ::= [\cdot] \mid E e$

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For call-by-name, the syntax for evaluation contexts is different:

 $E::=[\cdot]\mid E\,e$

But the small-step rules are the same:

$$\frac{e \to e'}{E[e] \to E[e']}$$

$$\overline{(\lambda x. e) e' \rightarrow e\{e'/x\}} \ ^{eta}$$