# **CS 3110**

#### Lecture 3: Functions and data

Prof. Clarkson Fall 2014

Today's music: Function by E-40 (Clean remix)

#### Review

#### Last week:

Intro to syntax and semantics of OCaml

#### Today:

- **Functions:** the most important part of functional programming
- Data: datatypes, records, tuples

#### **Function declaration**

Functions: the most important building block in the whole course

- Like Java methods, have arguments and result
- But no classes, this, return, etc.

Example function declaration:

```
(* requires: y>=0 *)
(* returns: x to the power of y *)
let rec pow ((x:int), (y:int)) : int =
  if y=0 then 1
  else x * pow(x,y-1)
```

Note: "rec" is required because the body includes a recursive function call: pow(x,y-1)

### Questions

If we want to understand functions in OCaml, what questions do we need to ask?

Syntax?
Type checking?
Evaluation?

### Function declaration: 3 questions

• **Syntax**: (for now)

```
let rec f((x1:t1), ..., (xn:tn)):t = e
```

- Evaluation:
  - No evaluation to do, yet; just declaring the function
- Type-checking:
  - Conclude that f: (t1 \* ... \* tn) -> t
    if e: t under assumptions:
    - x1:t1, ..., xn:tn (arguments with their types)
    - f: (t1 \* ... \* tn) -> t (for recursion)

#### **Function calls**

A new kind of expression: 3 questions

**Syntax:** (for now)

- Parentheses optional if there is exactly one argument
- Space before left paren is optional

#### **Type-checking:**

```
If:
```

- e0 has some type (t1 \* ... \* tn) -> t
- e1 has type t1, ..., en has type tn

#### Then:

- **e0** (**e1**,...,**en**) has type **t** 

Example: pow(x, y-1) in previous example has type int

#### Function calls, continued

#### **Evaluation:**

1. Evaluate **e**0 to a function

```
let rec x0 ((x1:t1), ..., (xn:tn)) = e
```

- Since call type-checked, result is guaranteed to be a function
- 2. Evaluate arguments to values **v1**, ..., **vn**
- 3. Substitute **vi** for **xi** in **e** -- again, TRICKY -- producing expression **e** '
- 4. Evaluate **e** ' to a value **v**, which is result

## **Example functions**

```
let rec pow ((x:int), (y:int)) : int =
   if y=0 then 1
   else x * pow(x,y-1)

let cube (x:int) : int =
   pow (x,3)

let sixtyfour = cube 4

let fortytwo = pow(2,4) + pow(4,2) + cube(2) + 2
```

Longer examples in the notes—study them!

```
(* requires: y>=0 *)
(* returns: x to the power of y *)
let rec pow ((x:int), (y:int)) : int =
  if y=0 then 1
  else x * pow(x,y-1)
```

```
pow(3,2)
```

```
(* requires: y>=0 *)
 (* returns: x to the power of y *)
 let rec pow ((x:int), (y:int)) : int =
   if y=0 then 1
   else x * pow(x,y-1)
pow(3,2)
  --> 333
A. 9
B. if y=0 then 1 else x*pow(x,y-1)
C.2*pow(3,2)
D. if 2=0 then 1 else 3*pow(3,2-1)
```

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pow(3,2)
--> if 2=0 then 1 else 3 * pow(3,2-1)
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   else x * pow(x,y-1)

pow(3,2)
   --> if 2=0 then 1 else 3 * pow(3,2-1)
   --> ???
```

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pow(3,2)
  --> if 2=0 then 1 else 3 * pow(3,2-1)
  --> 333
A. false
B. if false then 1 else 3*pow(3,2-1)
C. 3*pow(3,2-1)
D. 3*3
```

```
(* requires: y>=0 *)
 (* returns: x to the power of y *)
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   --> if 2=0 then 1 else 3 * pow(3,2-1)
   --> if false then 1 else 3*pow(3,2-1)
```

### Example function evaluation

```
(* requires: y>=0 *)
 (* returns: x to the power of y *)
 let rec pow ((x:int), (y:int)) : int =
   if y=0 then 1
   else x * pow(x,y-1)
pow(3,2)
  --> if 2=0 then 1 else 3 * pow(3,2-1)
 --> if false then 1 else 3 * pow(3,2-1)
 --> 3 * pow(3,2-1)
 --> 3 * pow(3,1)
 --> 3 * (if 1=0 then 1 else 3 * pow(3,1-1))
 --> 3 * (if false then 1 else 3 * pow(3,1-1))
 --> 3 * (3 * pow(3,1-1))
 --> 3 * (3 * pow(3,0))
 --> 3 * (3 * (if 0=0 then 1 else 3 * pow(3,0-1)))
 --> 3 * (3 * (if true then 1 else 3 * pow(3,0-1)))
 --> 3 * (3 * 1)
 --> 3 * 3
  --> 9
```

## Alternative function syntax

All three are equivalent:

```
let abs (x : int) : int =
  if x<0 then -x else x

let abs : int -> int =
  function x -> if x<0 then -x else x

let abs : int -> int =
  fun x -> if x<0 then -x else x</pre>
```

(and you could leave out the types, too)

## Omitting argument types

When argument type omitted, so are extra parens:

```
let rec pow ((x:int), (y:int)) : int =
 if y=0 then 1
 else x * pow(x,y-1)
let rec pow' (x, y) : int =
  if y=0 then 1
 else x * pow(x,y-1)
let cube (x : int) : int =
 pow(x,3)
let cube' x : int =
 pow(x,3)
```

## Some gotchas

#### Three common "gotchas":

- The use of \* in type syntax is not multiplication
  - Example: int \* int -> int
  - In expressions, \* is multiplication: x \* pow(x, y-1)
- Order matters: cannot refer to later function bindings from earlier
  - So helper functions must come before their uses
  - Need and construct for mutual recursion
- Inscrutable error messages if you mess up functionargument syntax

## **Function specifications**

**Specification**: contract between function and rest of the code about how function will behave

```
(* requires: precondition *)
(* returns: postcondition *)
let f(x) = ...
```

## **Function specifications**

**Postcondition:** Predicate that is guaranteed to hold when function returns

- Responsibility: Function must ensure that postcondition holds
- Function names usually give a clue as to postcondition

```
(* requires: ... *)
(* returns: the lowercase character
    corresponding to c *)
let lowercase (c:char) : char = ...
```

## **Function specifications**

**Precondition:** Predicate that is assumed to hold when function is called

 Responsibility: Programmer who calls function must ensure that precondition holds

```
(* requires: c is an uppercase letter *)
(* returns: the lowercase character
    corresponding to c *)
let lowercase (c: char) : char = ...
```

- If precondition doesn't hold, function is allowed to behave arbitrarily
- Robust implementations try to do something sane though
  - e.g., check precondition and immediately fail if it doesn't hold
- If function has no particular precondition, omit **requires** comment

Given this code, which are permissible behaviors for lowercase ('?')?

```
(* requires: c is an uppercase letter *)
(* returns: the lowercase character
    corresponding to c *)
let lowercase (c: char) : char = ...
```

- A. It can throw an exception
- B. Return '?'
- C. Return "zardoz"
- D. A and B
- E. A, B, and C

Given this code, which are permissible behaviors for lowercase ('?')?

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#### **FUNCTIONS...DATA**

#### Two new kinds of data

- **Datatypes** (*one-of* types)
- **Records, tuples** (each-of types)

### **Datatype declaration**

• New sort of declaration (variable declaration, function declaration): type declaration

```
type mybool = Myfalse | Mytrue
```

- Creates a one-of type named mybool
- Creates two constructors named Mytrue and Myfalse
  - Those are also values of type mybool
- In fact, that's effectively how Booleans are defined in OCaml:

```
type bool = false | true
```

## **Datatype for Days**

```
(* similar to an enum in Java or C *)
type day = Sun | Mon | Tue | Wed
         | Thu | Fri | Sat
(* returns: the day of the week for d *)
let day to int (d : day) =
    if d=Sun then 1
    else if d=Mon then 2
    else if d=Tue then 3
    else if d=Wed then 4
    else if d=Thu then 5
    else if d=Fri then 6
    else (* d=Sat *) 7
```

But there's a much more idiomatic way of expressing this in OCaml...

## **Datatype for Days**

```
let day_to_int (d : day) =
    match d with
    Sun -> 1
    | Mon -> 2
    Tue -> 3
    Wed -> 4
    | Thu -> 5
    | Fri -> 6
    | Sat -> 7
```

Pattern matching: more beautiful idiom than nested if expressions

#### Datatype semantics

- We've seen syntax for datatype declarations, pattern matching
- What about type checking, evaluation?
  - ...hold that thought!

#### **Record declaration**

Also declared with type declaration:

```
type time = {hour: int; min: int; ampm: string}
```

- Creates a each-of type named time
- To build a record:

```
{hour=10; min=10; ampm="am"}
```

- order of *fields* doesn't matter; could write

```
{min=10; ampm="am"; hour=10}
```

• To access fields of record variable t:

```
t.min
```

#### Record expressions

```
• Syntax: {f1 = e1; ...; fn = en}
```

#### Evaluation:

```
- If e1--> v1, and e2--> v2, and ... en--> vn
```

- Then  $\{f1 = e1; ...; fn = en\}$ --> $\{f1 = v1, ..., fn = vn\}$
- Result is a record value

#### Type-checking:

```
- If e1: t1 and e2: t2 and ... en: tn,
```

- and if t is a declared type of the form {f1:t1, ..., fn:tn}
- then  $\{f1 = e1; ...; fn = en\}: t$

#### Record field access

• Syntax: e.f

Evaluation:

```
- |fe^{--}\rangle \{f = v, ...\}
```

- Then e.f-->v

Type-checking:

```
- If e: t1
```

- and if t1 is a declared type of the form {f:t2, ...}
- then **e** . **f** : **t**2

## Datatypes vs. records

	Declare	Build/ construct	Access/ destruct
Datatype	type	Constructor name	Pattern matching with match
Record	type	Record expression with {}	Field selection with dot operator .

### Question 4

Which of the following would be better represented with records rather than datatypes?

- A. Coins, which can be pennies, nickels, dimes, or quarters
- B. Students, who have names and NetIDs
- C. A *plated dessert*, which has a sauce, a creamy component, and a crunchy component
- D. A and C
- E. B and C

### Question 4

Which of the following would be better represented with records rather than datatypes?

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#### Your turn!

- Part of your development as a programmer is learning new language features on your own
- Here's your chance to practice:
  - Learn pairs, tuples, and unit
  - The remaining slides will help you
  - We'll start the next lecture with some clicker questions about them!

## By name vs. by position

- Fields of record are identified by name
  - order we write fields in expression is irrelevant
- Opposite choice: identify by position
  - e.g., "Would the student named NN. step forward?"
     vs. "Would the student in seat n step forward?"
- You're accustomed to both:
  - Java object fields accessed by name
  - Java method arguments passed by position (but accessed in method body by name)
- OCaml has something you might not have seen:
  - A kind of data accessed by position

### **Pairs**

A **pair** of data: two pieces of data glued together e.g.,

- (1,2)(true, "Hello")
- ("cs", 3110)

Note: looks a lot like the arguments passed to a 2-argument function

We need a way to build pairs and a way to access the pieces

## Pairs: building

- Syntax: (e1,e2)
- Evaluation:
  - If e1-->v1 and e2-->v2
  - Then (e1,e2) --> (v1,v2)
  - A pair of values is itself a value
- Type-checking:
  - If e1:t1 and e2:t2,
  - then (e1,e2):t1\*t2
  - A new kind of type, the pair type
  - (Though we've seen \* before in function types...)

## Pairs: accessing

- Syntax: fst e and snd e
  - Projection functions
- Evaluation:
  - |fe--> (v1, v2)|
  - then **fst e --> v1**
  - and snd e --> v2
- Type-checking:
  - If e: ta\*tb,
  - then fst e has type ta
  - and snd e has type tb

## **Tuples**

Actually, you can have tuples with more than two parts

- A new feature: a generalization of pairs
- Syntax, semantics are straightforward, except...
- (e1,e2,...,en)
- t1 \* t2 \* ... \* tn
- fst e, snd e, ???

Instead of generalizing projection functions, use pattern matching...

### Pattern-matching tuples

```
let sum_triple (triple:int*int*int) =
  let (x, y, z) = triple
  in x + y + z
```

- (x, y, z) is a pattern
  - because it's on the LHS of equals in **let**
- Evaluation (intuitively):
  - Value on RHS of equals is "matched" against pattern
  - Each variable in pattern is bound to "matching" part of value

## Pattern-matching records

The same syntax works for records:

```
type stooges = {larry:int; moe:int; curly:int}

let sum_stooges (s:stooges) =
   let {larry=x; moe=y; curly=z} = s
   in x + y + z
```

## By name vs. by position, again

- Little difference between (4,7,9) and {f=4;g=7;h=9}
  - Tuples a little shorter
  - Records a little easier to remember "what is where"
    - Names are self-documenting
  - Generally a matter of taste, but for many (4? 8? 12?)
     fields, a record is usually a better choice

# Datatypes vs. records vs. tuples

	Declare	Build/construct	Access/destruct
Datatype	type	Constructor name	Pattern matching with match
Record	type	Record expression with {}	Pattern matching with <b>let</b> OR field selection with dot operator .
Tuple	N/A	Tuple expression with ()	Pattern matching with let OR fst or snd

### Unit

- Can actually have a tuple () with no components whatsoever
  - Think of it as a degenerate tuple
  - Or, like a Boolean that can only have one value
- "Unit" is
  - a value written ()
  - and a type written unit
- Might seem dumb now; will be useful later!

Please hold still for 1 more minute

#### **WRAP-UP FOR TODAY**

## Registration

#### If you (still) want in:

- Keep attending and doing problem sets
- Email Course Administrator with your full name and NetID by the end of today
- You will be placed in "Waiting Set". NO PROMISES.
- Tomorrow, I begin working through the Waiting Set

## **Upcoming events**

- PS 0 is out now, PS1 comes out Thursday
- No recitations on Tuesday (today), there are recitations Wednesday and Thursday
- Clarkson office hours this week: TR 1:30-2:30
- TA office hours and consulting start soon; times and places TBA

We trynna function.

# **THIS IS 3110**