



# TREES

Lecture 12  
CS2110 – Spring 2018

# Important Announcements

2

- A4 is out now and due two weeks from today. Have fun, and start early!

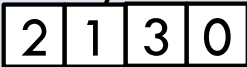
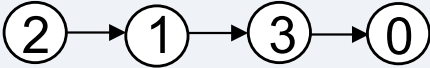
# Data Structures

3

- There are different ways of storing data, called data structures
- Each data structure has operations that it is good at and operations that it is bad at
- For any application, you want to choose a data structure that is good at the things you do often

# Example Data Structures

4

Data Structure	add(val x)	lookup(int i)
Array 	$O(n)$	$O(1)$
Linked List 	$O(1)$	$O(n)$

# The Problem of Search

5



# Example Data Structures

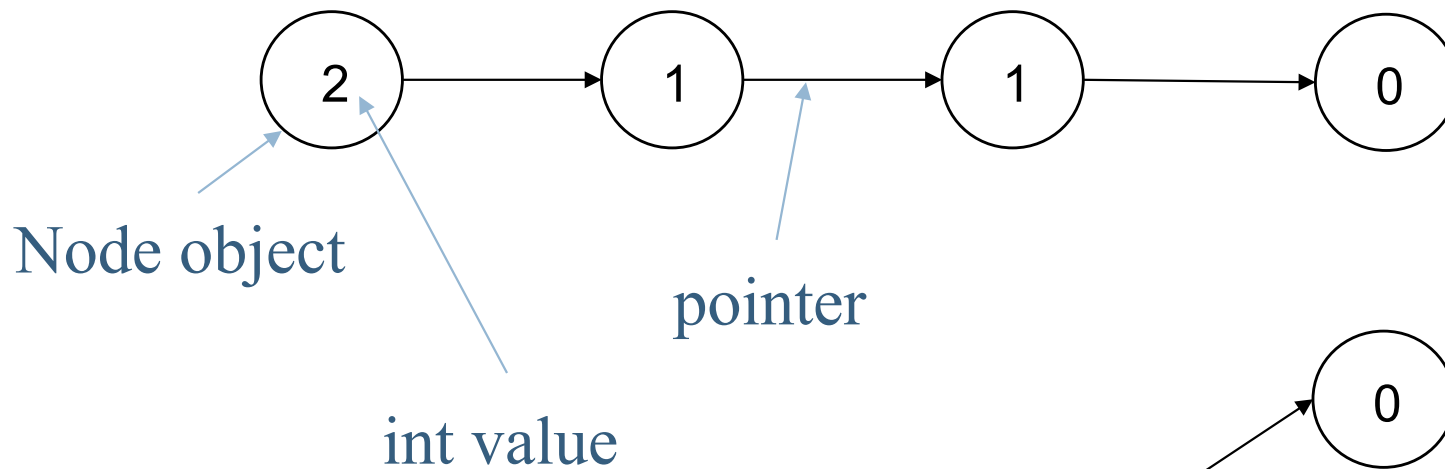
6

Data Structure	add(val x)	lookup(int i)	search(val x)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$

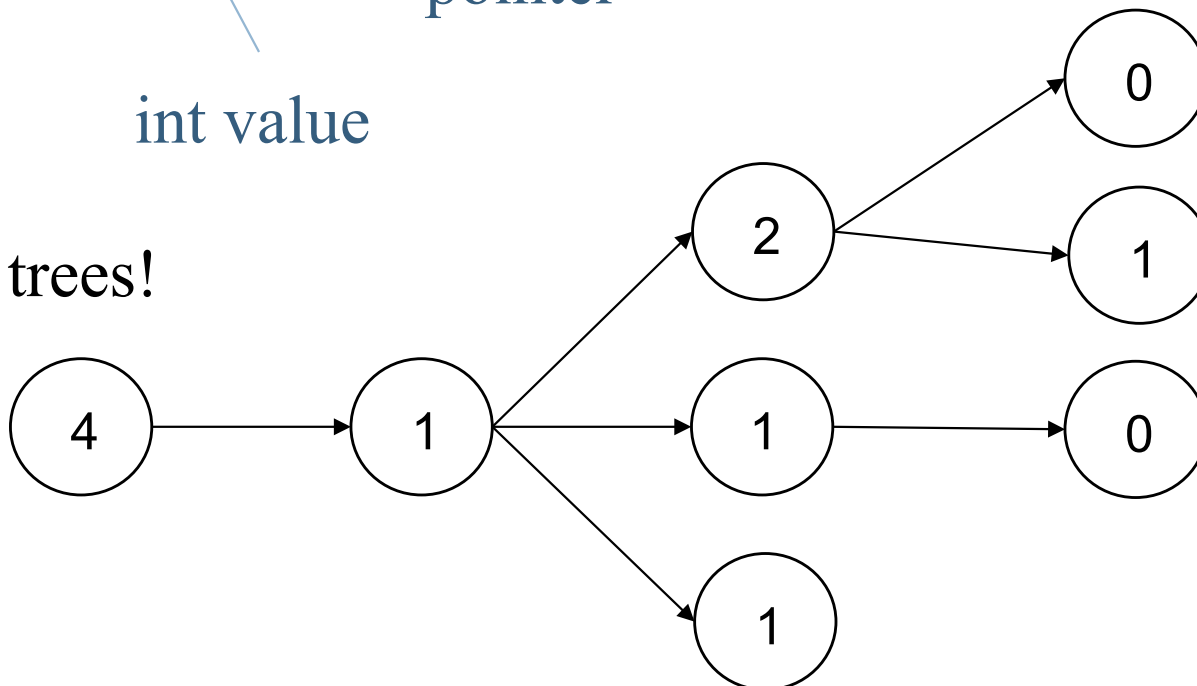
# Tree

7

Singly linked list:



Today: trees!

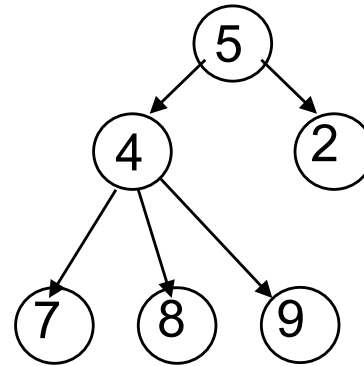


# Tree Overview

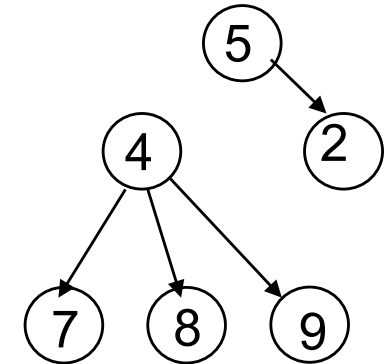
8

*Tree*: data structure with nodes, similar to linked list

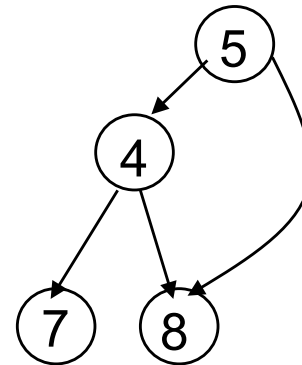
- Each node may have zero or more *successors* (children)
- Each node has exactly one *predecessor* (parent) except the *root*, which has none
- All nodes are reachable from *root*



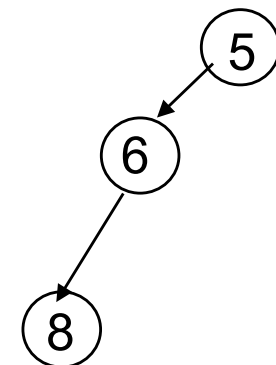
A tree



Not a tree



Not a tree

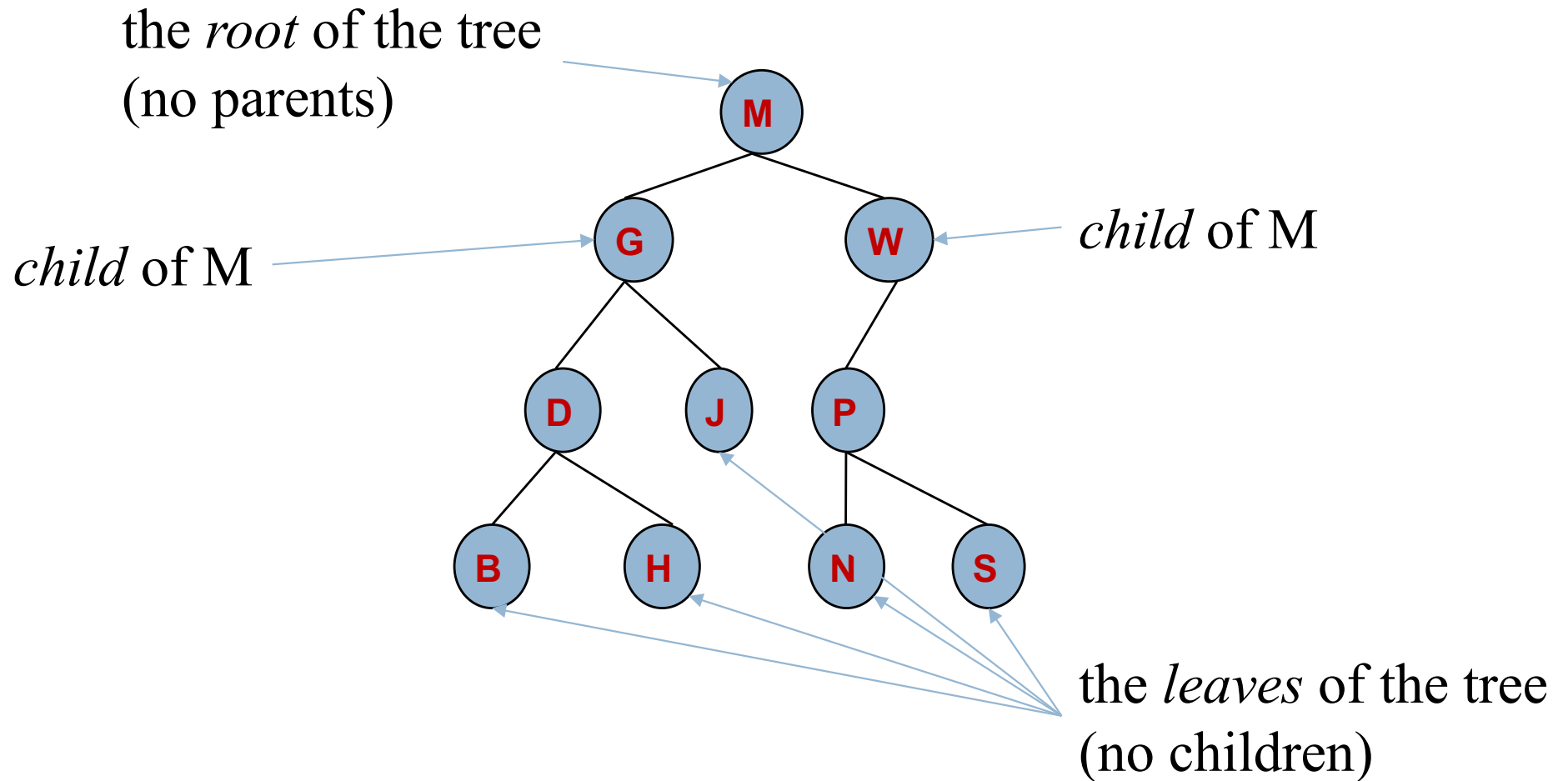


A tree



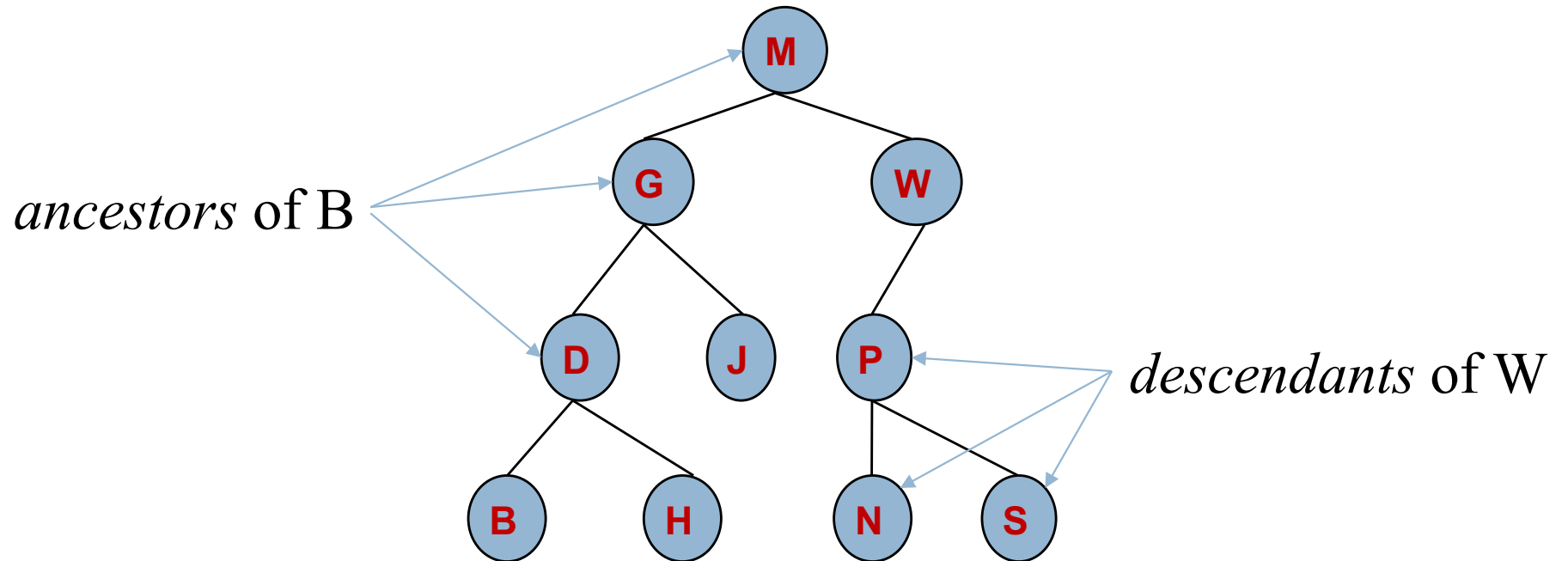
# Tree Terminology

9



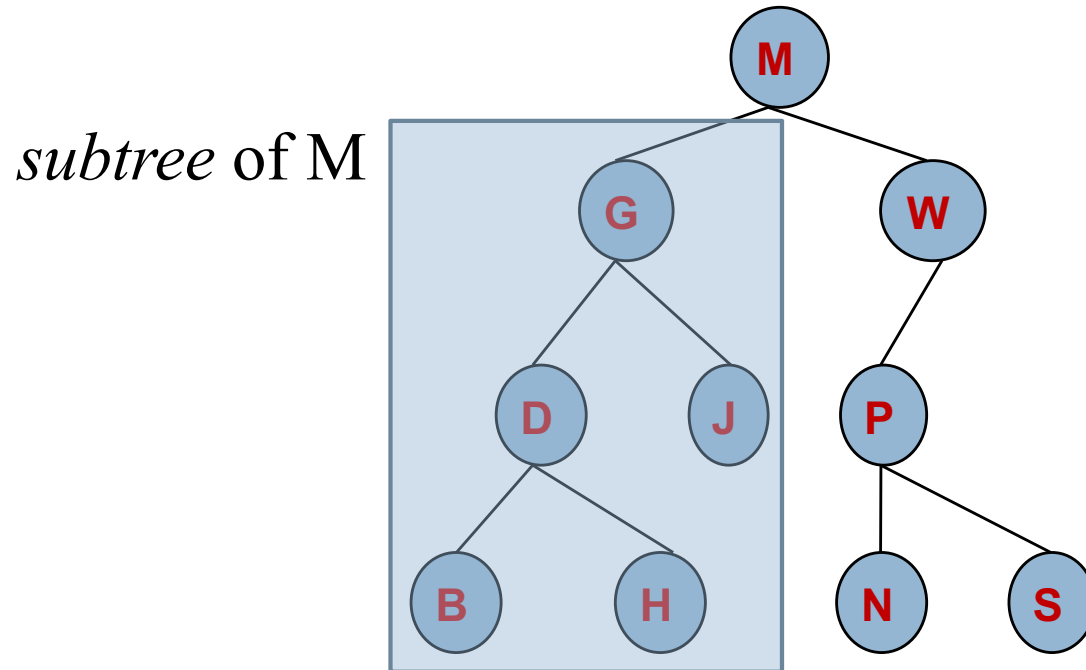
# Tree Terminology

10



# Tree Terminology

11

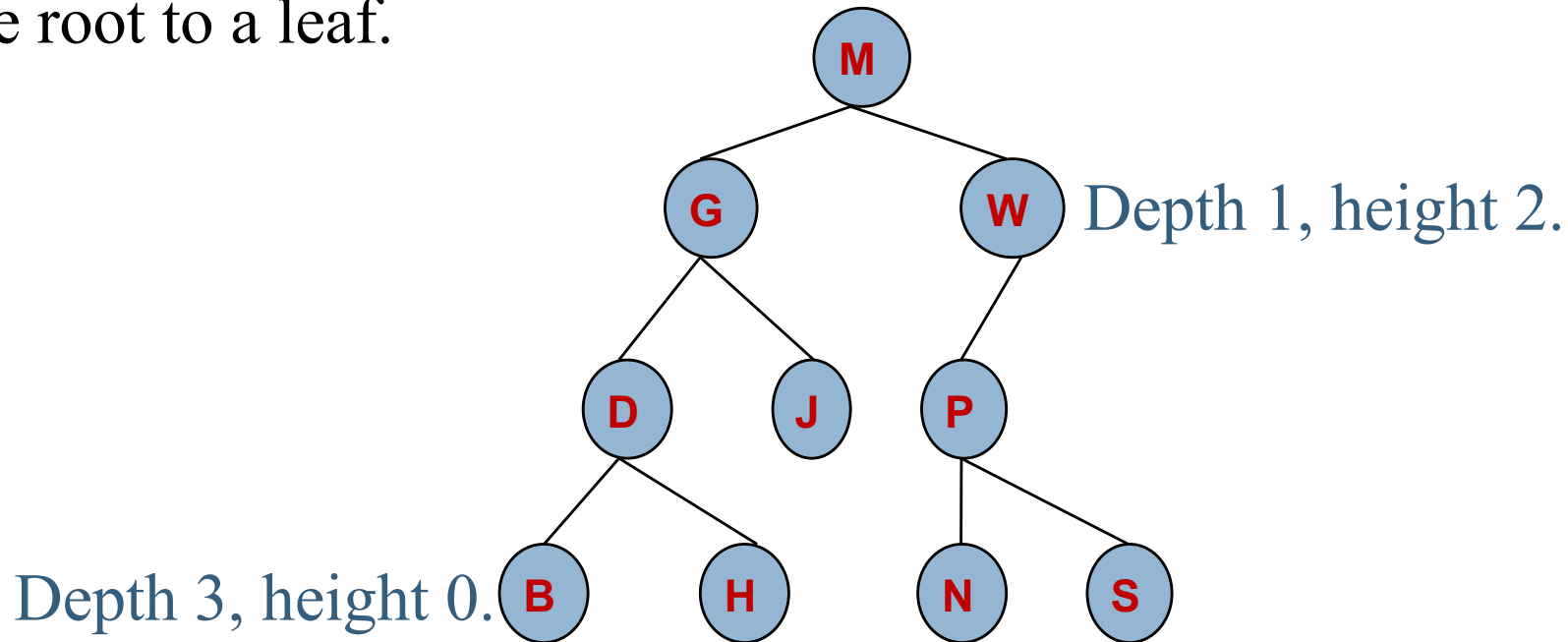


# Tree Terminology

12

A node's *depth* is the length of the path to the root.

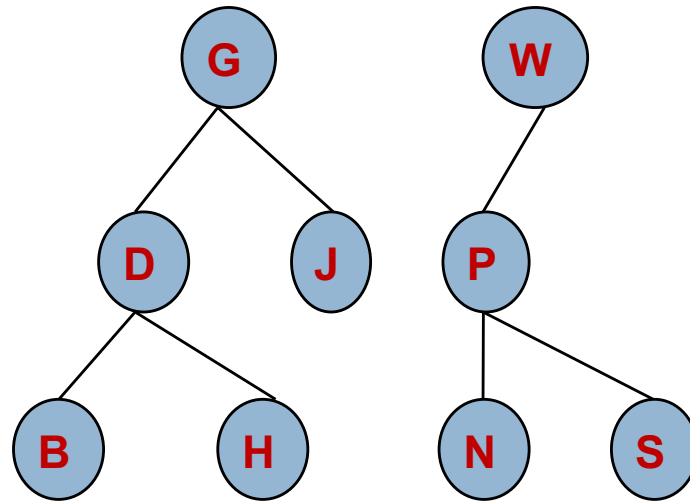
A tree's (or subtree's) *height* is the length of the longest path from the root to a leaf.



# Tree Terminology

13

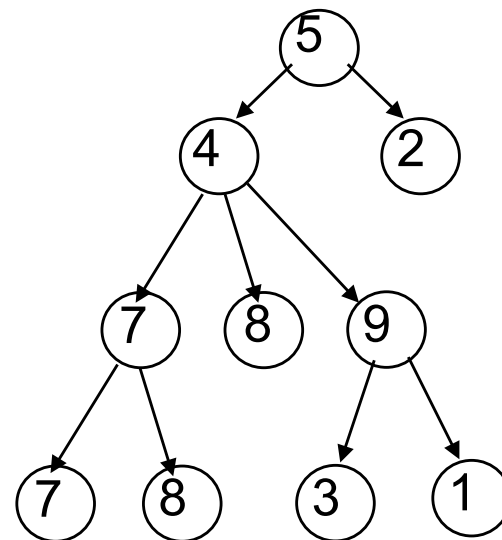
Multiple trees: a *forest*.



# Class for general tree nodes

```
class GTreeNode<T> {  
    private T value;  
    private List<GTreeNode<T>> children;  
    //appropriate constructors, getters,  
    //setters, etc.  
}
```

Parent contains a list of  
its children



General  
tree

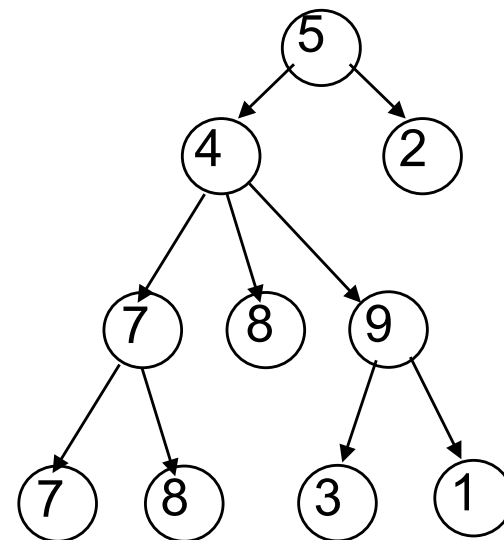
# Class for general tree nodes

```
class GTreeNode<T> {  
    private T value;  
    private List<GTreeNode<T>> children;  
    //appropriate constructors, getters,  
    //setters, etc.  
}
```

Java.util.List is an interface!

It defines the methods that all implementation must implement.

Whoever writes this class gets to decide what implementation to use —  
ArrayList? LinkedList? Etc.?



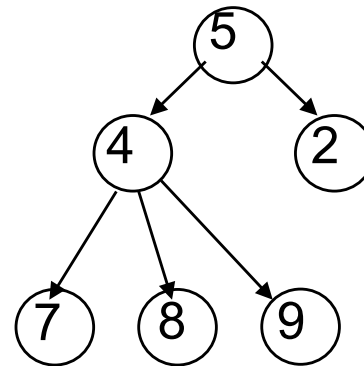
General  
tree

# Binary Trees

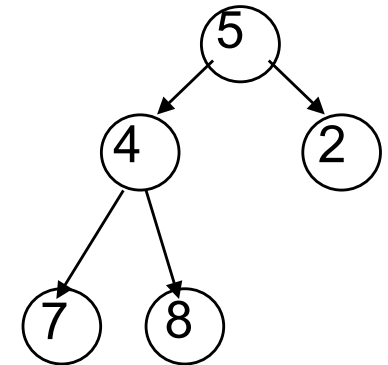
16

A *binary tree* is a particularly important kind of tree where every node has at most two children.

In a binary tree, the two children are called the *left* and *right* children.



Not a binary tree  
(a *general tree*)



Binary tree

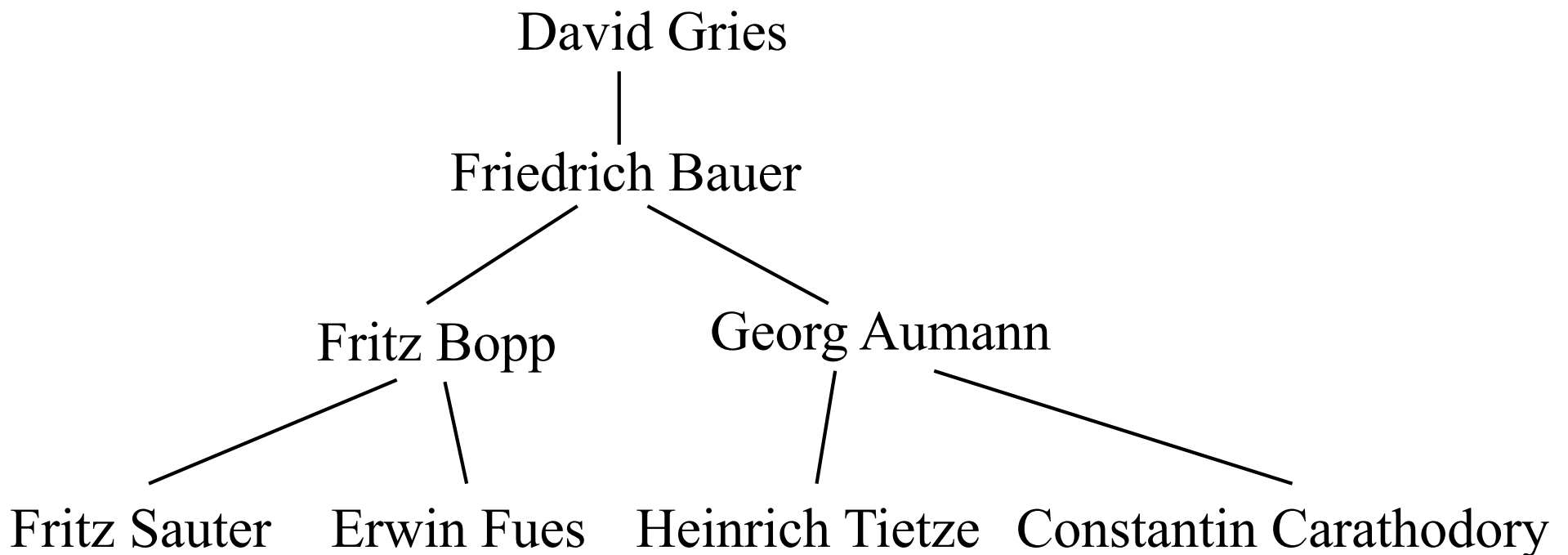


# Binary trees were in A1!

17

You have seen a binary tree in A1.

A PhD object has one or two advisors. (Confusingly, the advisors are the “children.”)



# Class for binary tree node

18

```
class TreeNode<T> {  
    private T value;  
    private TreeNode<T> left, right;  
  
    /** Constructor: one-node tree with datum x */  
    public TreeNode (T d) { datum= d; left= null; right= null;}  
  
    /** Constr: Tree with root value x, left tree l, right tree r */  
    public TreeNode (T d, TreeNode<T> l, TreeNode<T> r) {  
        datum= d; left= l; right= r;  
    }  
}
```

Either might be null if  
the subtree is empty.

more methods: getValue, setValue,  
getLeft, setLeft, etc.

# Binary versus general tree

19

In a binary tree, each node has up to two pointers: to the left subtree and to the right subtree:

- ▣ One or both could be **null**, meaning the subtree is empty  
(remember, a tree is a set of nodes)

In a general tree, a node can have any number of child nodes (and they need not be ordered)

- ▣ Very useful in some situations ...
- ▣ ... one of which may be in an assignment!

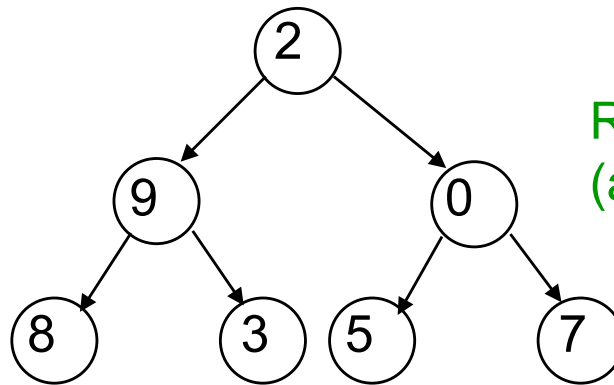
# A Tree is a Recursive Thing

20

A **binary tree** is either `null` or an object consisting of a value, a left **binary tree**, and a right **binary tree**.

# Looking at trees recursively

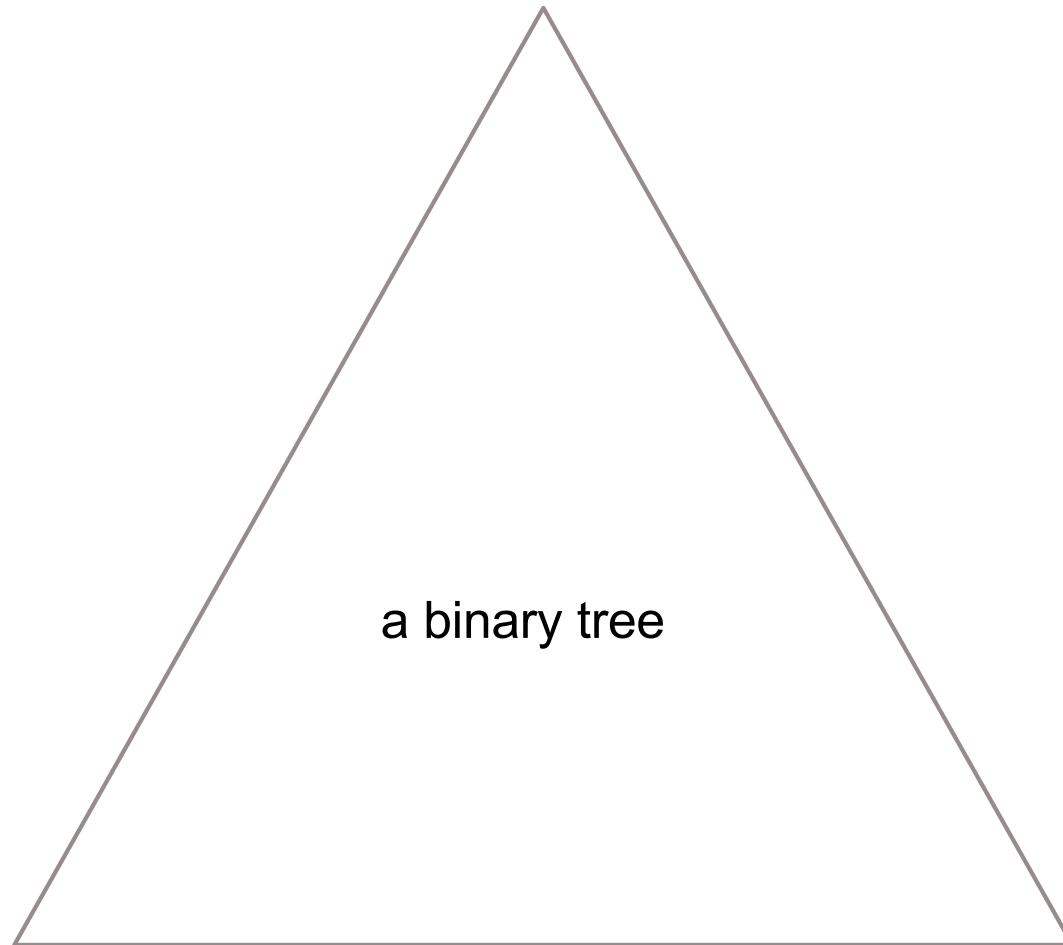
Binary tree



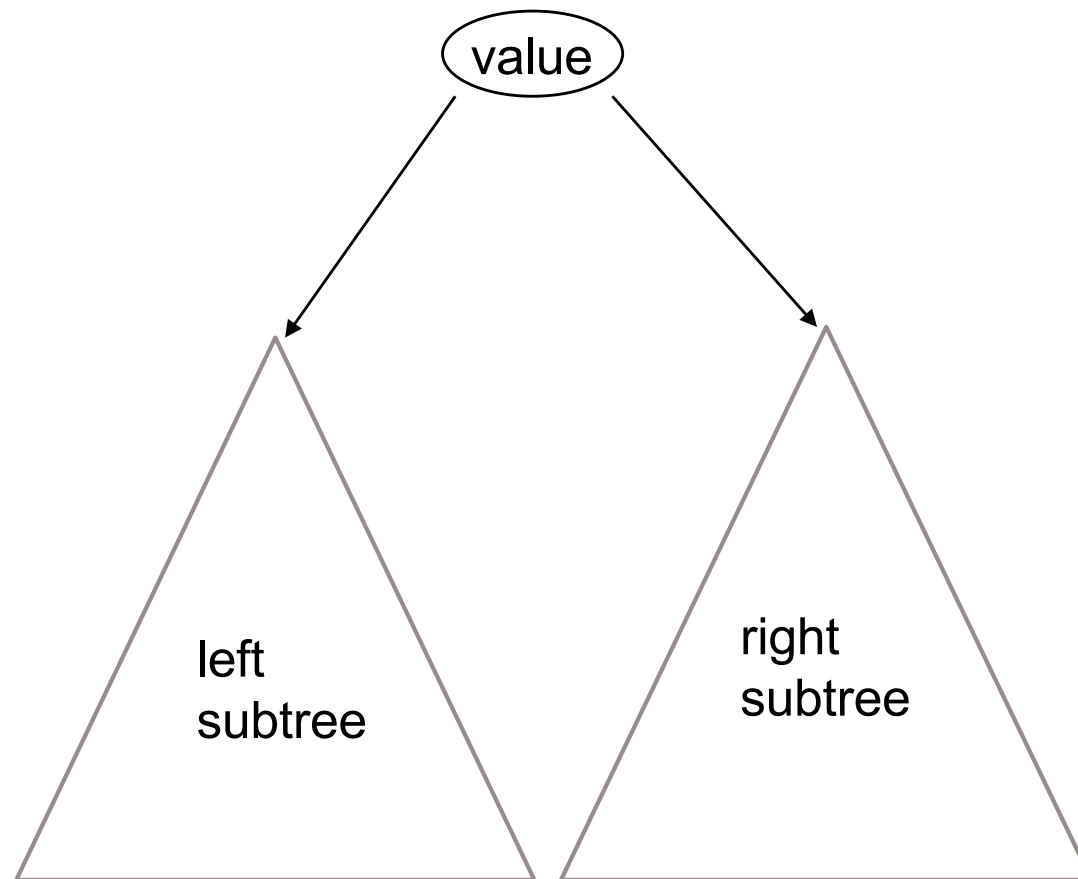
Right subtree  
(also a binary tree)

Left subtree,  
which is a binary tree too

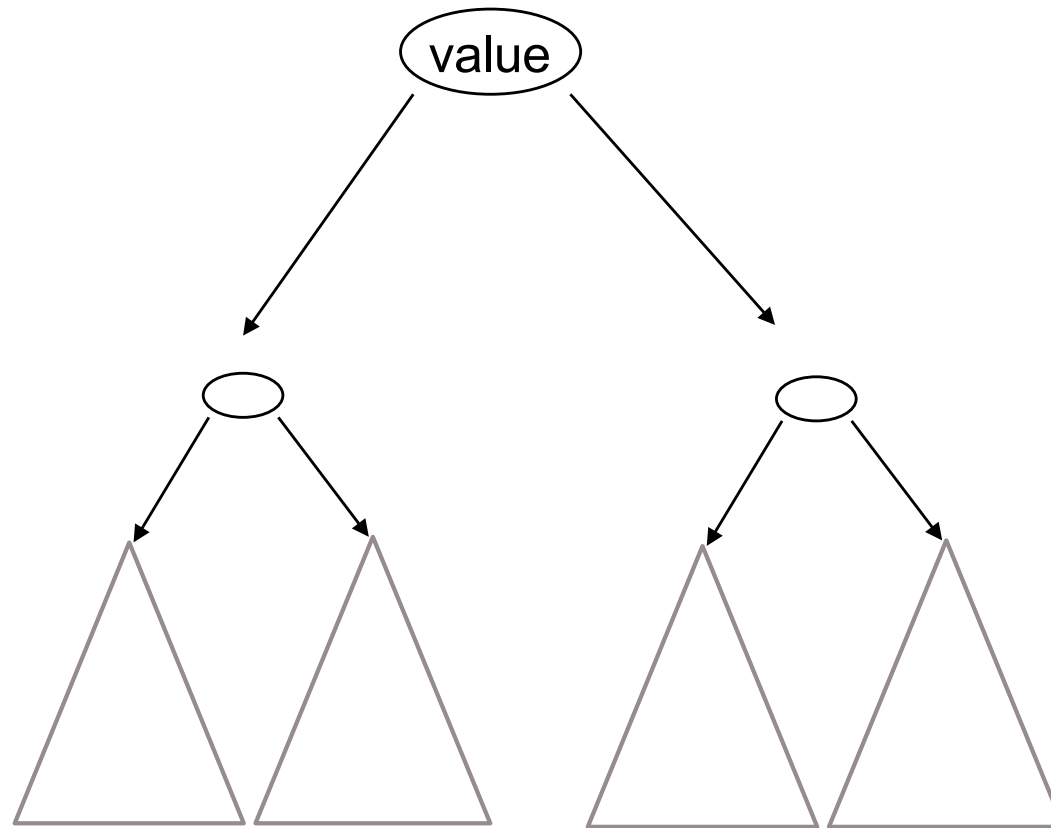
# Looking at trees recursively



# Looking at trees recursively



# Looking at trees recursively





# A Recipe for Recursive Functions

25

Base case:

If the input is “easy,” just solve the problem directly.

Recursive case:

Get a smaller part of the input (or several parts).

Call the function on the smaller value(s).

Use the recursive result to build a solution for the full input.

# Recursive Functions on Binary Trees

26

Base case:

empty tree (null)  
or, possibly, a leaf

Recursive case:

Call the function on **each subtree**.

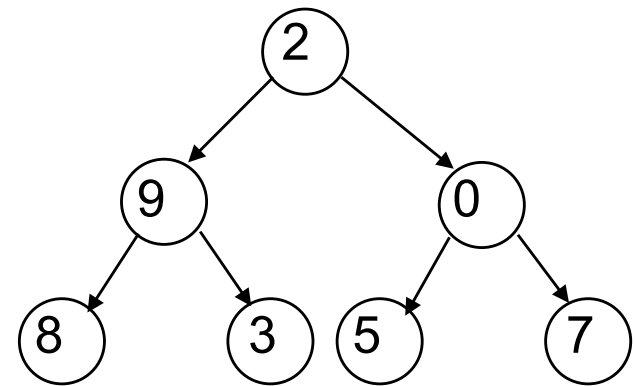
Use the recursive result to build a solution for the full input.

# Searching in a Binary Tree

27

```
/** Return true iff x is the datum in a node of tree t*/  
public static boolean treeSearch(T x, TreeNode<T> t) {  
    if (t == null) return false;  
    if (x.equals(t.datum)) return true;  
    return treeSearch(x, t.left) || treeSearch(x, t.right);  
}
```

- Analog of linear search in lists:  
given tree and an object, find out if  
object is stored in tree
- Easy to write recursively, harder to  
write iteratively



# Searching in a Binary Tree

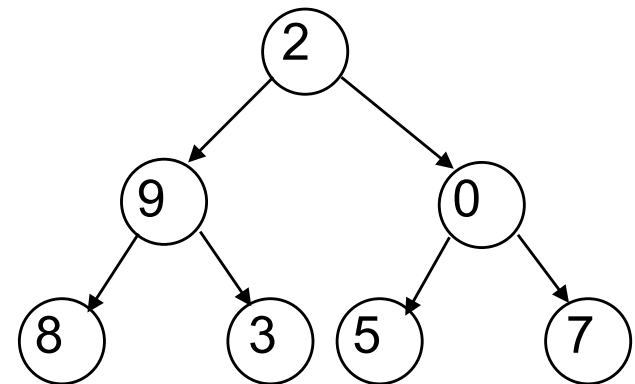
28

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}
```

**VERY IMPORTANT!**

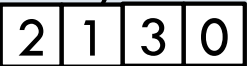
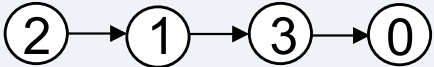
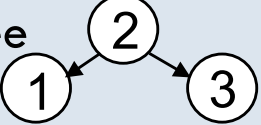
We sometimes talk of  $t$  as the root of the tree.

But we also use  $t$  to denote the whole tree.



# Comparing Data Structures

29

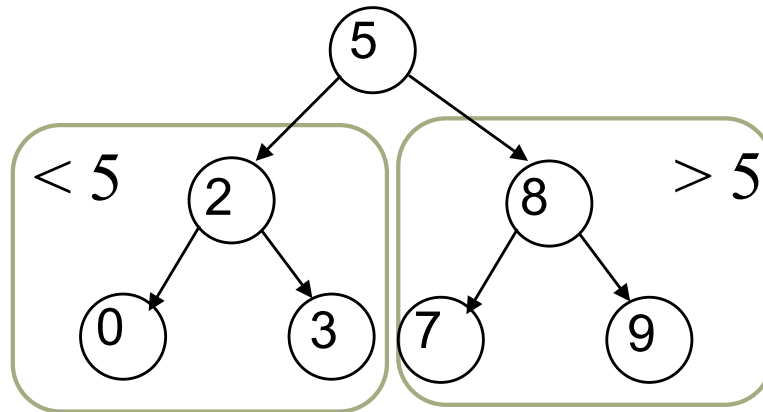
Data Structure	add(val x)	lookup(int i)	search(val x)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$
Binary Tree 	$O(1)$	$O(n)$	$O(n)$

# Binary Search Tree (BST)

30

A *binary search tree* is a binary tree that is **ordered** and **has no duplicate values**. In other words, for every node:

- All nodes in the **left** subtree have values that are **less** than the value in that node, and
- All values in the **right** subtree are **greater**.



A BST is the key to making search way faster.

# Building a BST

31

- To insert a new item:
  - Pretend to look for the item
  - Put the new node in the place where you fall off the tree

# Building a BST

32

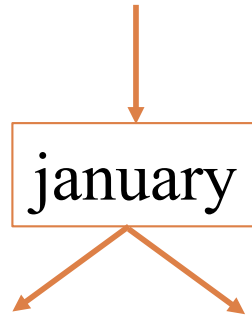


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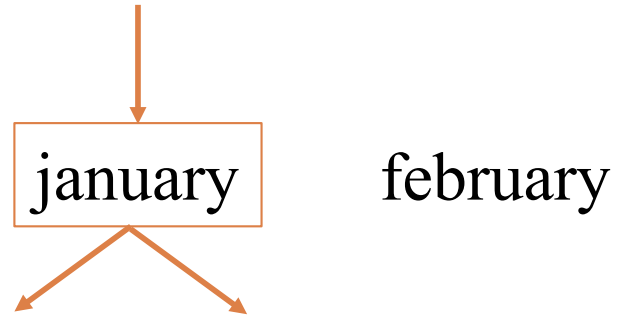
# Building a BST

33



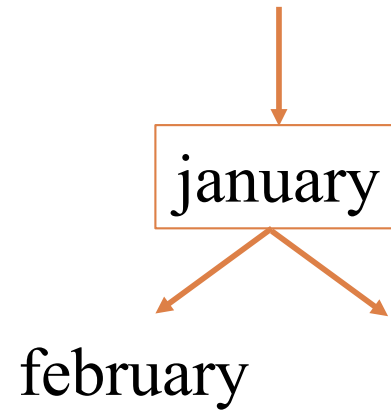
# Building a BST

34



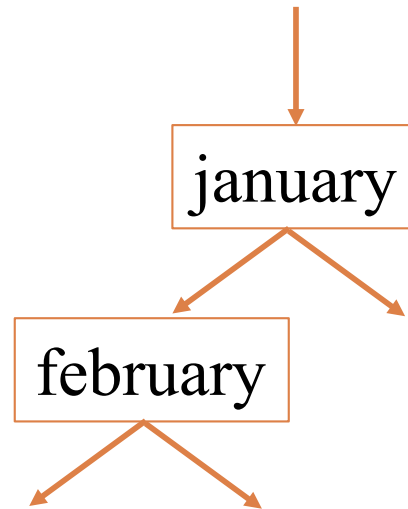
# Building a BST

35



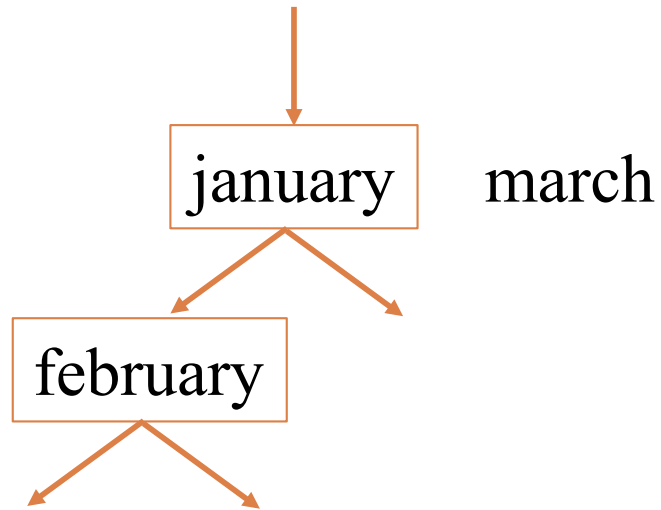
# Building a BST

36



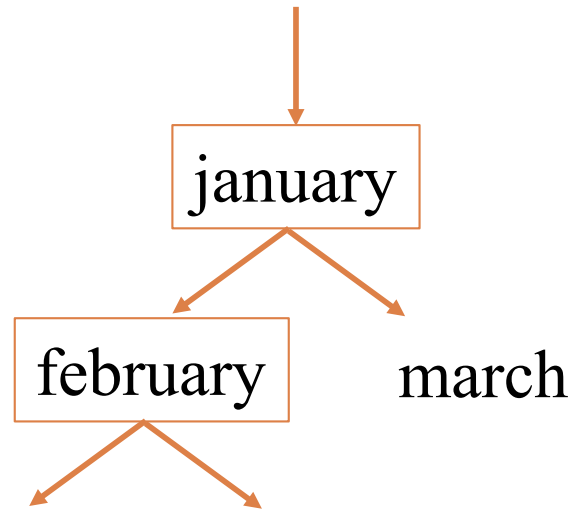
# Building a BST

37



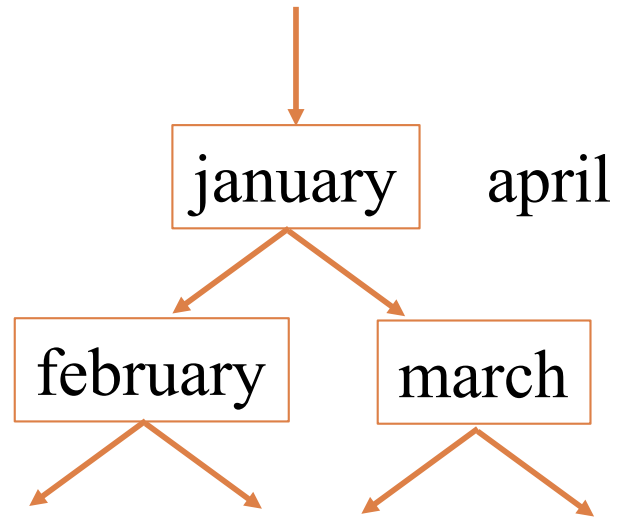
# Building a BST

38



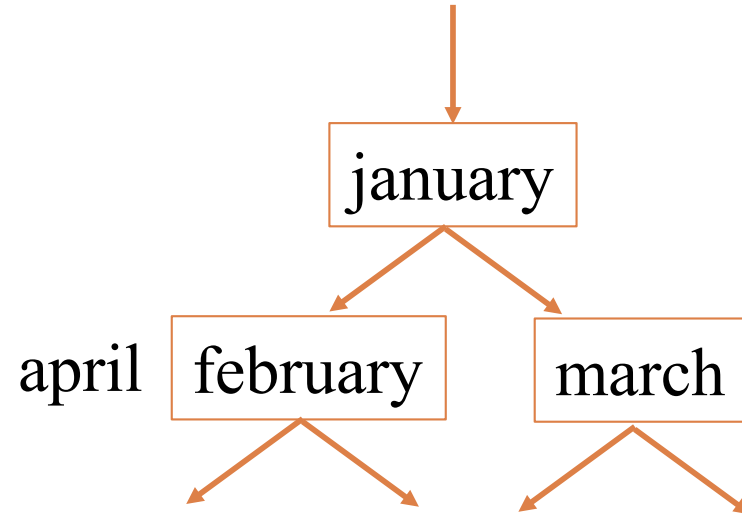
# Building a BST

39



# Building a BST

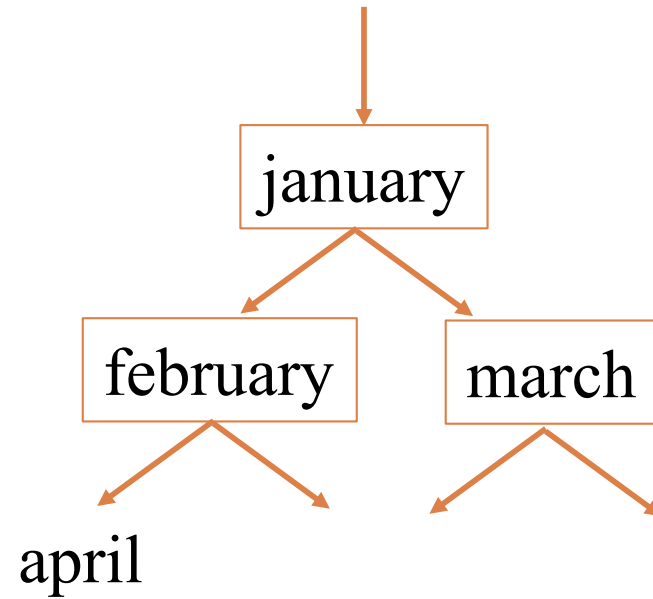
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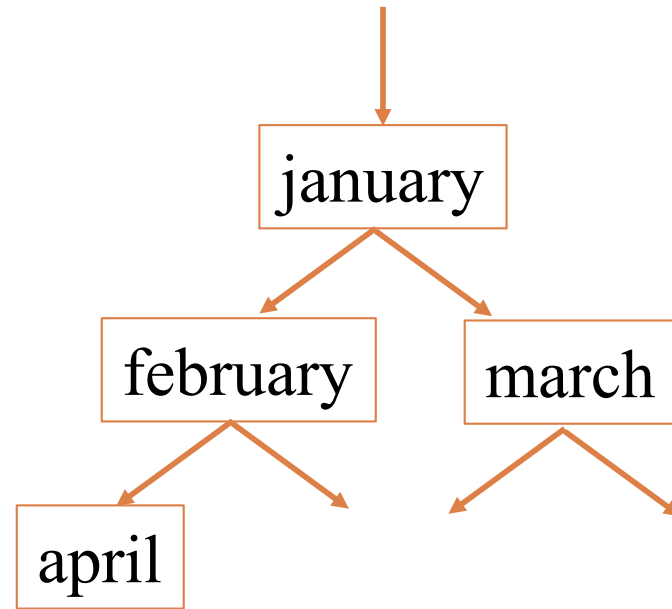
# Building a BST

41



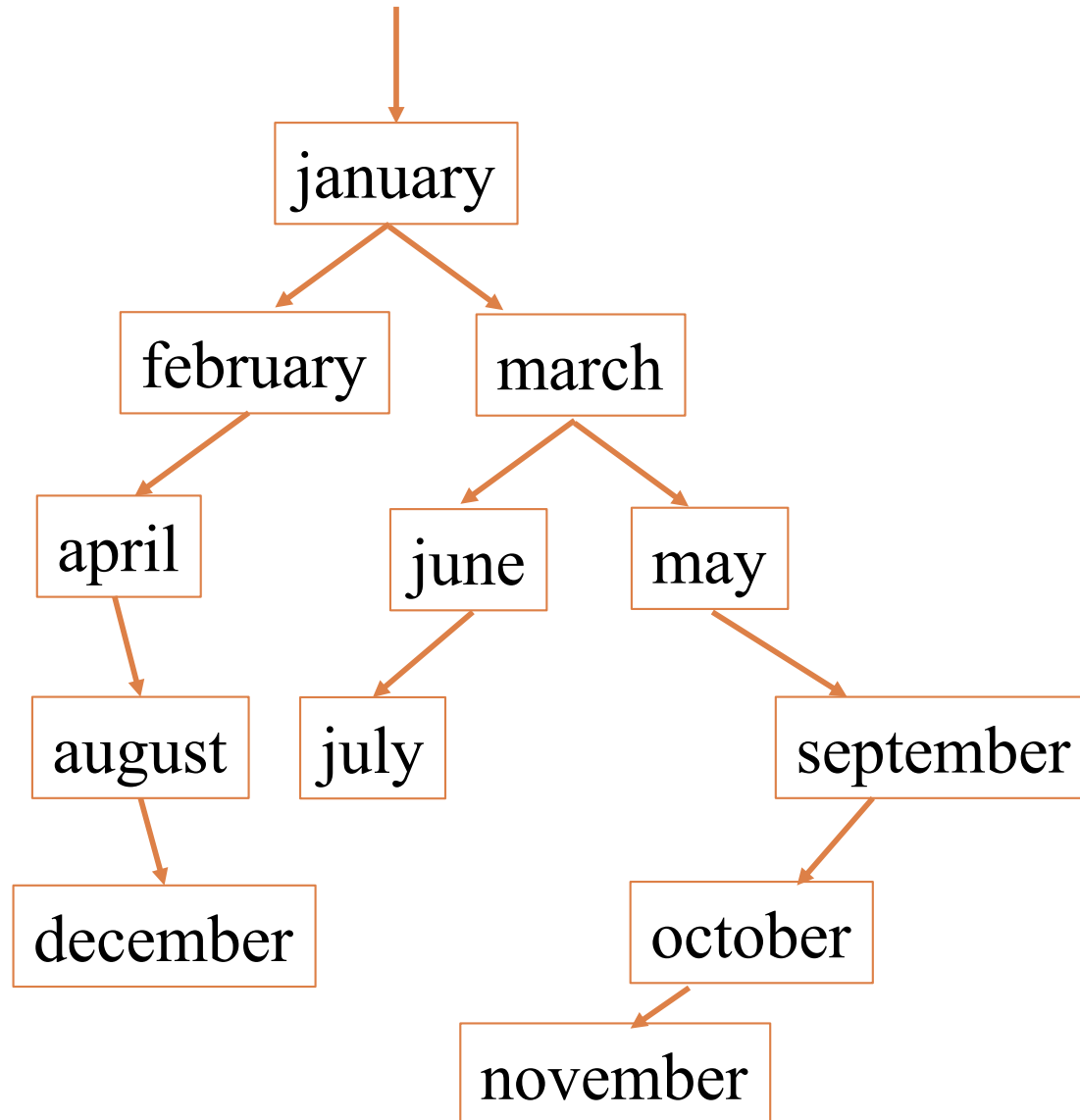
# Building a BST

42



# Building a BST

43



# Printing contents of BST

44

Because of ordering rules for a BST, it's easy to print the items in alphabetical order

- ▣ Recursively print left subtree
- ▣ Print the node
- ▣ Recursively print right subtree

```
/** Print BST t in alpha order */  
private static void print(TreeNode<T> t) {  
    if (t == null) return;  
    print(t.left);  
    System.out.print(t.value);  
    print(t.right);  
}
```

# Tree traversals

45

“Walking” over the whole tree is a **tree traversal**

- ▣ Done often enough that there are standard names

Previous example:  
**in-order** traversal

- **Process left subtree**
- **Process root**
- **Process right subtree**

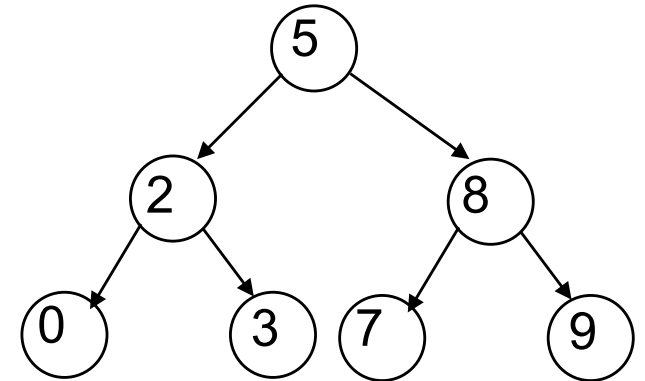
Note: Can do other processing besides printing

Other standard kinds of traversals

- **preorder** traversal
  - ◆ **Process root**
  - ◆ **Process left subtree**
  - ◆ **Process right subtree**
- **postorder** traversal
  - ◆ **Process left subtree**
  - ◆ **Process right subtree**
  - ◆ **Process root**
- **level-order** traversal
  - ◆ Not recursive: uses a queue (we’ll cover this later)

# Binary Search Tree (BST)

46



Compare binary tree to binary search tree:

```
boolean searchBT(n, v):  
  if n==null, return false  
  if n.v == v, return true  
  return searchBST(n.left, v)  
    || searchBST(n.right, v)
```

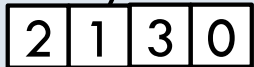

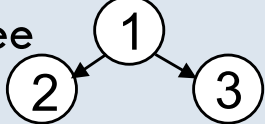
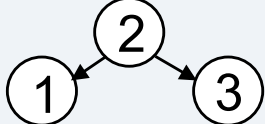
2 recursive calls

```
boolean searchBST(n, v):  
  if n==null, return false  
  if n.v == v, return true  
  if v < n.v  
    return searchBST(n.left, v)  
  else  
    return searchBST(n.right, v)
```

1 recursive call

# Comparing Data Structures

47

Data Structure	add(val x)	lookup(int i)	search(val x)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$
Binary Tree 	$O(1)$	$O(n)$	$O(n)$
BST 	$O(\text{depth})$	$O(\text{depth})$	$O(\text{depth})$

# Inserting in Alphabetical Order

48

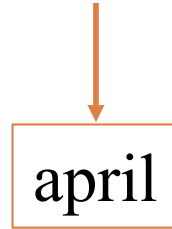


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# Inserting in Alphabetical Order

49



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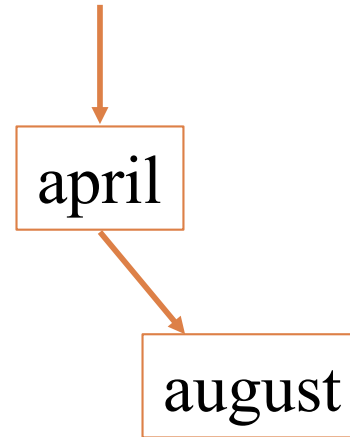
# Inserting in Alphabetical Order

50



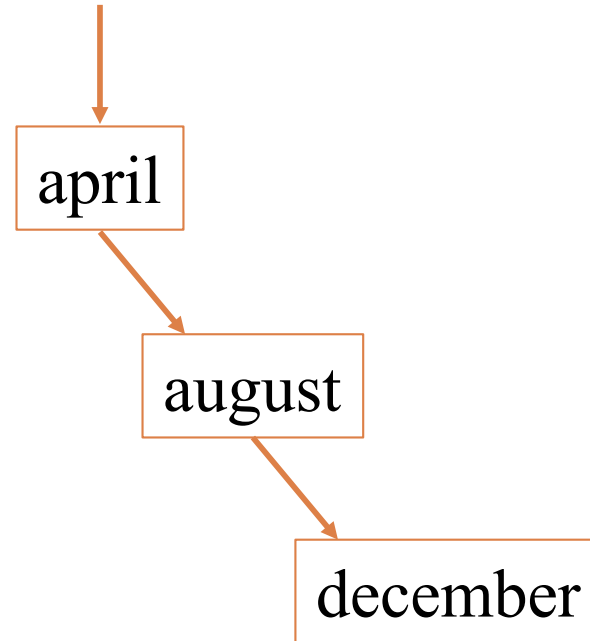
# Inserting in Alphabetical Order

51



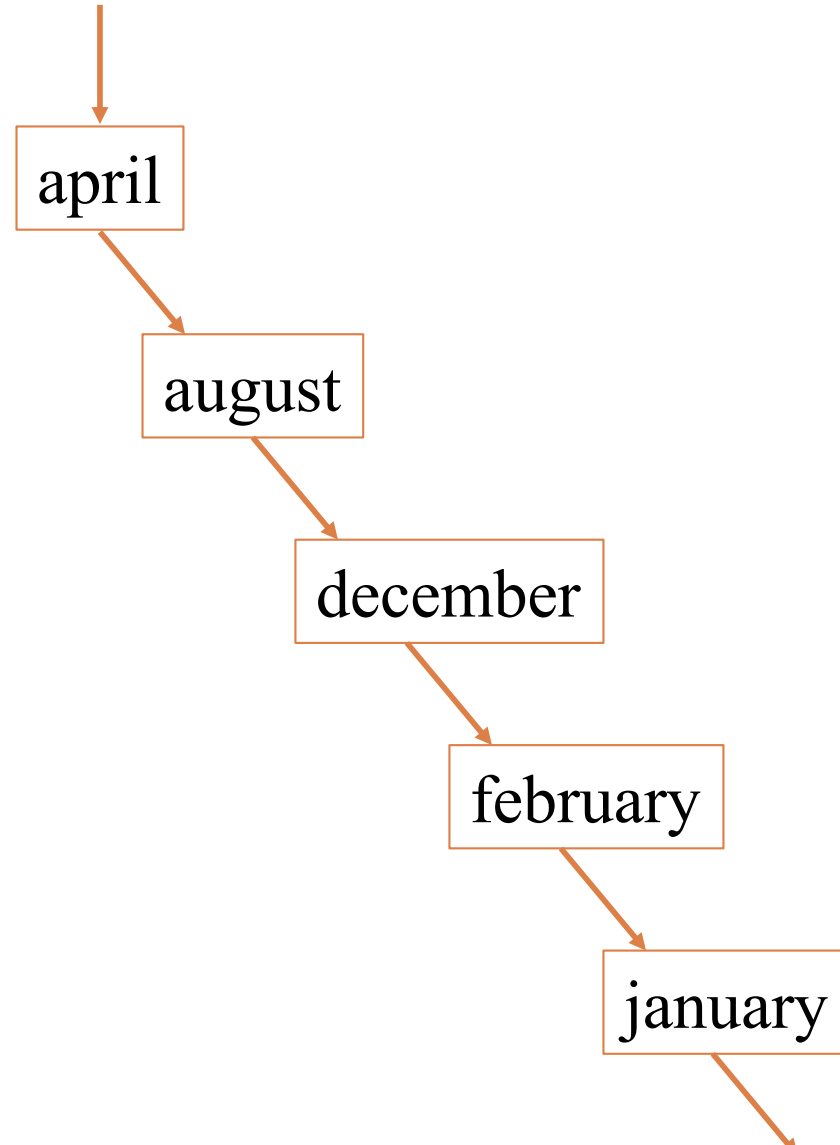
# Inserting in Alphabetical Order

52



# Inserting in Alphabetical Order

53



# Insertion Order Matters

- A *balanced* binary tree is one where the two subtrees of any node are about the same size.
- Searching a binary search tree takes  $O(h)$  time, where  $h$  is the height of the tree.
- In a balanced binary search tree, this is  $O(\log n)$ .
- But if you insert data in sorted order, the tree becomes imbalanced, so searching is  $O(n)$ .

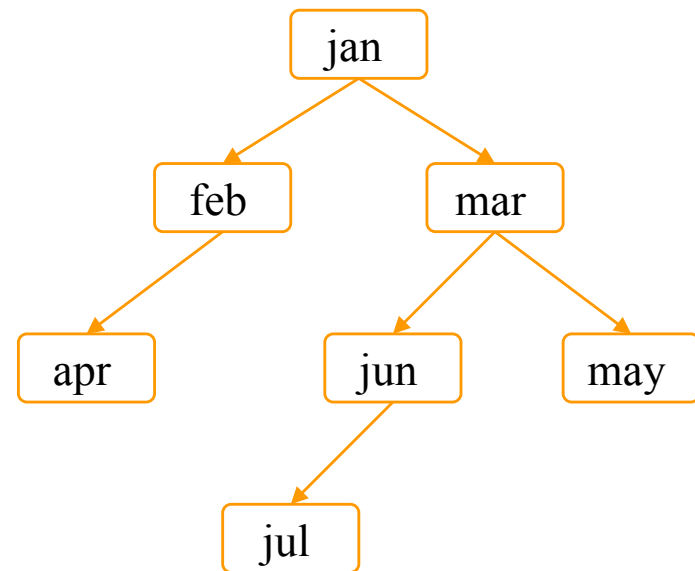
# Things to think about

55

What if we want to *delete* data from a BST?

A BST works great as long as it's *balanced*.

There are kinds of trees that can *automatically* keep themselves balanced as you insert things!



# Useful facts about binary trees

56

Max # of nodes at depth  $d$ :  $2^d$

If height of tree is  $h$

- min # of nodes:  $h + 1$
- max # of nodes in tree:  
 $2^0 + \dots + 2^h = 2^{h+1} - 1$

**Complete binary tree**

- All levels of tree down to a certain depth are completely filled

