



**TREES**

Lecture 12  
CS2110 – Spring 2017

### Important Announcements

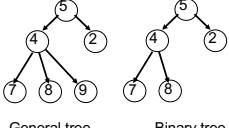
- **A4 is out! Due two weeks from today. Follow the timetable and enjoy a stress-free A4 experience!**
- Mid-semester TA evaluations are open; please participate!
  - Your feedback can help our staff improve YOUR experience for the rest of this semester.
- Next week's recitation is canceled!
  - All Tuesday sections will be office hours instead (held in same room as recitation unless noted on Piazza)

### Tree Overview

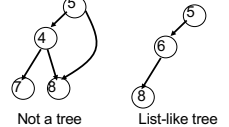
Tree: data structure with nodes, similar to linked list

- Each node may have zero or more successors (children)
- Each node has exactly one predecessor (parent) except the root, which has none
- All nodes are reachable from root

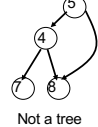
**Binary tree:** tree in which each node can have at most two children: a left child and a right child



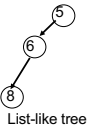
General tree



Binary tree



Not a tree



List-like tree

### Binary trees were in A1!

You have seen a binary tree in A1.

A PhD object phd has one or two advisors.  
Here is an intellectual ancestral tree!

```

graph TD
    phd --- ad1
    phd --- ad2
    ad1 --- ad1
    ad1 --- ad2
    ad2 --- ad1
    
```

### Tree terminology

M: **root** of this tree

G: **root** of the **left subtree** of M

B, H, J, N, S: **leaves** (they have no children)

N: **left child** of P; S: **right child** of P

P: **parent** of N

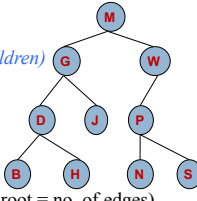
M and G: **ancestors** of D

P, N, S: **descendants** of W

J is at **depth 2** (i.e. length of path from root = no. of edges)

The subtree rooted at W has **height** (i.e. length of longest path to a leaf) of 2

A collection of several trees is called a ...?



### Class for binary tree node

```

class TreeNode<T> {
private T datum;
private TreeNode<T> left, right;

/** Constructor: one-node tree with datum x */
public TreeNode (T d) { datum= d; left= null; right= null;}

/** Constr: Tree with root value x, left tree l, right tree r */
public TreeNode (T d, TreeNode<T> l, TreeNode<T> r) {
datum= d; left= l; right= r;
}
}
    
```

Points to left subtree  
(null if empty)

Points to right subtree  
(null if empty)

more methods: getValue, setValue, getLeft, setLeft, etc.

### Binary versus general tree

In a binary tree, each node has up to two pointers: to the left subtree and to the right subtree:

- One or both could be **null**, meaning the subtree is empty (remember, a tree is a set of nodes)

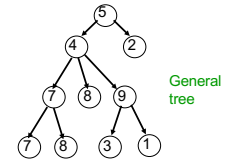
In a general tree, a node can have any number of child nodes (and they need not be ordered)

- Very useful in some situations ...
- ... one of which may be in an assignment!

### Class for general tree nodes

```
class GTreeNode<T> {
    private T datum;
    private List<GTreeNode<T>> children;
    //appropriate constructors, getters,
    //setters, etc.
}
```

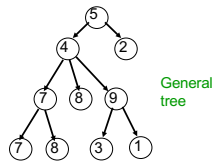
Parent contains a list of its children



### Class for general tree nodes

```
class GTreeNode<T> {
    private T datum;
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    //appropriate constructors, getters,
    //setters, etc.
}
```

Java.util.List is an interface!  
It defines the methods that all implementation must implement.  
Whoever writes this class gets to decide what implementation to use — ArrayList? LinkedList? Etc.?



### Applications of Tree: Syntax Trees

- Most languages (natural and computer) have a recursive, hierarchical structure
- This structure is *implicit* in ordinary textual representation
- Recursive structure can be made *explicit* by representing sentences in the language as trees: **Abstract Syntax Trees (ASTs)**
- ASTs are easier to optimize, generate code from, etc. than textual representation
- A **parser** converts textual representations to AST

### Applications of Tree: Syntax Trees

In textual representation: Parentheses show hierarchical structure

Text: -34  
Tree Representation:

In tree representation: Hierarchy is explicit in the structure of the tree

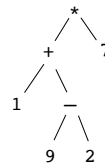
- (2 + 3)

We'll talk more about expressions and trees in next lecture

((2+3) + (5+7))

### Got that?

(1 + (9 - 2)) \* 7



- F** \*, +, and 7 are ancestors of 1
- T** 9's parent is -
- F** The tree's height is 4
- T** 1 is a leaf node
- T** 9 is at depth 3
- F** The root is 7

### Recursion on trees

13

Trees are defined recursively:

A **binary tree** is either

(1) empty

or

(2) a value (called the root value),  
a left **binary tree**, and a right **binary tree**

### Recursion on trees

14

Trees are defined recursively, so recursive methods can be written to process trees in an obvious way.

Base case

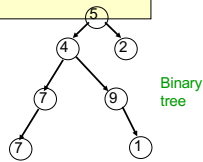
- empty tree (null)
- leaf

Recursive case

- solve problem on each subtree
- put solutions together to get solution for full tree

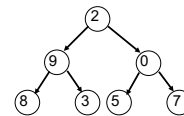
### Class for binary tree nodes

```
class BinTreeNode<T> {
    private T datum;
    private BinTreeNode<T> left;
    private BinTreeNode<T> right;
    //appropriate constructors, getters,
    //setters, etc.
}
```

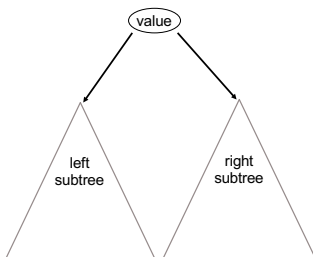


### Looking at trees recursively

Binary tree



### Looking at trees recursively

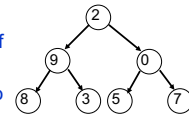


### Searching in a Binary Tree

18

```
/** Return true iff x is the datum in a node of tree t*/
public static boolean treeSearch(T x, Tree<T> t) {
    if (t == null) return false;
    if (x.equals(t.datum)) return true;
    return treeSearch(x, t.left) || treeSearch(x, t.right);
}
```

- Analog of linear search in lists: given tree and an object, find out if object is stored in tree
- Easy to write recursively, harder to write iteratively



## Searching in a Binary Tree

19

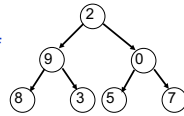
```

/** Return true iff x is the datum in a node of tree t*/
public static boolean treeSearch(T x, TreeNode<T> t) {
    if (t == null) return false;
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}
    
```

**VERY IMPORTANT!**

We sometimes talk of *t* as the root of the tree.

But we also use *t* to denote the whole tree.



## Some useful methods – what do they do?

20

```

/** Method A ??? */
public static boolean A(Node n) {
    return n != null && n.left == null && n.right == null;
}

/** Method B ??? */
public static int B(Node n) {
    if (n == null) return -1;
    return 1 + Math.max(B(n.left), B(n.right));
}

/** Method C ??? */
public static int C(Node n) {
    if (n == null) return 0;
    return 1 + C(n.left) + C(n.right);
}
    
```

## Some useful methods

21

```

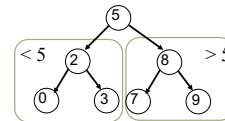
/** Return true iff node n is a leaf */
public static boolean isLeaf(Node n) {
    return n != null && n.left == null && n.right == null;
}

/** Return height of node n (postorder traversal) */
public static int height(Node n) {
    if (n == null) return -1; //empty tree
    return 1 + Math.max(height(n.left), height(n.right));
}

/** Return number of nodes in n (postorder traversal) */
public static int numNodes(Node n) {
    if (n == null) return 0;
    return 1 + numNodes(n.left) + numNodes(n.right);
}
    
```

## Binary Search Tree (BST)

If the tree data is *ordered* and has *no duplicate values*:  
 in every subtree,  
 All *left* descendants of a node come *before* the node  
 All *right* descendants of a node come *after* the node  
 Search can be made MUCH faster



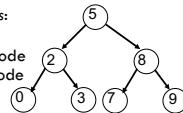
## Binary Search Tree (BST)

23

If the tree data is *ordered* and has *no duplicate values*:  
 in every subtree,

All *left* descendants of a node come *before* the node  
 All *right* descendants of a node come *after* the node  
 Search can be made MUCH faster

Compare binary tree to binary search tree:



```

boolean searchBT(n, v):
    if n==null, return false
    if n.v == v, return true
    return searchBT(n.left, v)
    || searchBT(n.right, v)
    
```

2 recursive calls

```

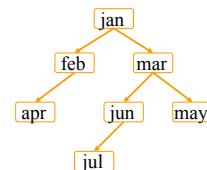
boolean searchBST(n, v):
    if n==null, return false
    if n.v == v, return true
    if v < n.v
        return searchBST(n.left, v)
    else
        return searchBST(n.right, v)
    
```

1 recursive call

## Building a BST

24

- To insert a new item
  - Pretend to look for the item
  - Put the new node in the place where you fall off the tree
- This can be done using either recursion or iteration
- Example
  - Tree uses *alphabetical order*
  - Months appear for insertion in *calendar order*



### What can go wrong?

A BST makes searches very fast, unless...

- Nodes are inserted in increasing order
- In this case, we're basically building a linked list (with some extra wasted space for the left fields, which aren't being used)

BST works great if data arrives in random order

### Printing contents of BST

Because of ordering rules for a BST, it's easy to print the items in alphabetical order

- Recursively print left subtree
- Print the node
- Recursively print right subtree

```

/** Print BST t in alpha order */
private static void print(TreeNode<T> t) {
    if (t == null) return;
    print(t.left);
    System.out.print(t.datum);
    print(t.right);
}
    
```

### Tree traversals

"Walking" over the whole tree is a **tree traversal**

- Done often enough that there are standard names

Previous example: **in-order traversal**

- Process left subtree
- Process root
- Process right subtree

Note: Can do other processing besides printing

**Other standard kinds of traversals**

- preorder traversal**
  - Process root
  - Process left subtree
  - Process right subtree
- postorder traversal**
  - Process left subtree
  - Process right subtree
  - Process root
- level-order traversal**
  - Not recursive: uses a queue (we'll cover this later)

### Useful facts about binary trees

Max # of nodes at depth  $d$ :  $2^d$

If height of tree is  $h$

- min # of nodes:  $h + 1$
- max # of nodes in tree:  $2^0 + \dots + 2^h = 2^{h+1} - 1$

**Complete binary tree**

- All levels of tree down to a certain depth are completely filled

### Things to think about

What if we want to delete data from a BST?

A BST works great as long as it's **balanced**

How can we keep it balanced? *This turns out to be hard enough to motivate us to create other kinds of trees*

### Tree Summary

- A tree is a recursive data structure
  - Each node has 0 or more successors (*children*)
  - Each node except the root has exactly one predecessor (*parent*)
  - All nodes are reachable from the root
  - A node with no children (or empty children) is called a *leaf*
- Special case: **binary tree**
  - Binary tree nodes have a left and a right child
  - Either or both children can be empty (null)
- Trees are useful in many situations, including exposing the recursive structure of natural language and computer programs