

# Recitation 9

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Analysis of Algorithms and Inductive Proofs

1

Big O

## Review: Big O definition

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$f(n)$  is  $O(g(n))$

iff

There exists  $c > 0$  and  $N > 0$  such that:

$f(n) \leq c * g(n)$  for  $n \geq N$

2

## Example: $n+6$ is $O(n)$

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<p><math>n + 6</math> ---this is <math>f(n)</math></p> <p><math>\leq</math> &lt;if <math>6 \leq n</math>, write as&gt;</p> <p><math>n + n</math></p> <p><math>=</math> &lt;arith&gt;</p> <p><math>2 * n</math></p> <p>&lt;choose <math>c = 2</math>&gt;</p> <p><math>= c * n</math> ---this is <math>c * g(n)</math></p> <p>So choose <math>c = 2</math> and <math>N = 6</math></p>	<p><math>f(n)</math> is <math>O(g(n))</math>: There exist <math>c &gt; 0, N &gt; 0</math> such that:</p> <p style="color: red;"><math>f(n) \leq c * g(n)</math> for <math>n \geq N</math></p>
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3

Big O

## Review: Big O

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Is used to classify algorithms by how they respond to changes in input size  $n$ .

**Important vocabulary:**

- Constant time:  $O(1)$
- Logarithmic time:  $O(\log n)$
- Linear time:  $O(n)$
- Quadratic time:  $O(n^2)$
- Exponential time:  $O(2^n)$

4

Big O

## Review: Big O

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1. $\log(n) + 20$	is	$O(\log(n))$	(logarithmic)
2. $n + \log(n)$	is	$O(n)$	(linear)
3. $n/2$ and $3 * n$	are	$O(n)$	
4. $n * \log(n) + n$	is	$O(n * \log(n))$	
5. $n^2 + 2 * n + 6$	is	$O(n^2)$	(quadratic)
6. $n^3 + n^2$	is	$O(n^3)$	(cubic)
7. $2^n + n^5$	is	$O(2^n)$	(exponential)

5

# Merge Sort

6

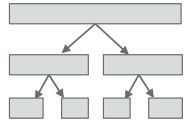
Merge Sort

## Runtime of merge sort

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```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}
    
```



`mS` is `mergeSort` for readability

7

Merge Sort

## Runtime of merge sort

---

```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}
    
```

- We will *count* the number of comparisons mS makes
- Use  $T(n)$  for the number of array element comparisons that mS makes on an array segment of size  $n$

`mS` is `mergeSort` for readability

8

Merge Sort

## Runtime of merge sort


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```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}
    
```

$T(0) = 0$

$T(1) = 0$



Use  $T(n)$  for the number of array element comparisons that mergeSort makes on an array of size  $n$

9

Merge Sort

## Runtime of merge sort

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```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}
    
```

$T(e+1-h)$  comparisons =  $T(n/2)$

$T(k-e)$  comparisons =  $T(n/2)$

How long does merge take?

10

Merge Sort

## Runtime of merge

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**pseudocode for merge**

```

/** Pre: b[h..e] and b[e+1..k] are already sorted */
merge(Comparable[] b, int h, int e, int k)
    Copy both segments
    While both copies are non-empty
        Compare the first element of each segment
        Set the next element of b to the smaller value
        Remove the smaller element from its segment
    
```

One comparison, one add, one remove

$k-h$  loops must empty one segment

Runtime is  $O(k-h)$

11

Merge Sort

## Runtime of merge sort

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```

/** Sort b[h..k]. */
public static void mS(Comparable[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    mS(b, h, e);
    mS(b, e+1, k);
    merge(b, h, e, k);
}
    
```

**Recursive Case:**

$T(n) = 2T(n/2) + O(n)$

12

Merge Sort

## Runtime

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We determined that

$$T(1) = 0$$

$$T(n) = 2T(n/2) + n \text{ for } n > 1$$

We will prove that

$$T(n) = n \log_2 n \text{ (or } n \lg n \text{ for short)}$$

13

Merge Sort

## Recursion tree

14

Merge Sort

## Proof by induction

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To prove  $T(n) = n \lg n$ , we can assume true for smaller values of  $n$  (like recursion)

$$\begin{aligned}
 T(n) &= 2T(n/2) + n \\
 &= 2(n/2) \lg(n/2) + n \\
 &= n(\lg n - \lg 2) + n \quad \leftarrow \text{Property of logarithms} \\
 &= n(\lg n - 1) + n \\
 &= n \lg n - n + n \quad \leftarrow \log_2 2 = 1 \\
 &= n \lg n
 \end{aligned}$$

15

# Heap Sort

16

Heap Sort

## Heap Sort

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Very simple idea:

1. Turn the array into a max-heap
2. Pull each element out

```

/** Sort b */
public static void heapSort(Comparable[] b) {
    heapify(b);
    for (int i = b.length-1; i >= 0; i--) {
        b[i] = poll(b, i);
    }
}
    
```

17

Heap Sort

## Heap Sort

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```

/** Sort b */
public static void heapSort(Comparable[] b) {
    heapify(b);
    for (int i = b.length-1; i >= 0; i--) {
        b[i] = poll(b, i);
    }
}
    
```

Why does it have to be a max-heap?

18

Heap Sort

## Heap Sort runtime

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```
/** Sort b */
public static void heapSort(Comparable[] b) {
    heapify(b);
    for (int i = b.length-1; i >= 0; i--) {
        b[i] = poll(b, i);
    }
}
```

$O(n \lg n)$

$O(\lg n)$

loops n times

**Total runtime:**  
 $O(n \lg n) + n \cdot O(\lg n) = O(n \lg n)$

19