



BINARY SEARCH AND LOOP INVARIANTS

Lecture 12A
CS2110 – Spring 2014

Develop binary search in sorted array b for v

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pre: b

0	?	b.length
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post: b

0	h	b.length
<= v	> v	

Example:

pre: b

0	4	5	6	7	b.length					
2	2	4	4	4	4	7	9	9	9	9

If v is 4, 5, or 6, h is 5 └─┘ └─┘ If v is 7 or 8, h is 6

If v in b, h is index of rightmost occurrence of v.
If v not in b, h is index before where it belongs.

Develop binary search in sorted array b for v

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pre: b

0	?	b.length
---	---	----------

post: b

0	h	b.length
<= v	> v	

Better than Binary search in last lecture because it

- (1) Finds not a random occurrence of v but the rightmost one. Useful in some situations
- (2) If v is not in b, it gives useful information: it belongs between b[h] and b[h+1]
- (3) Works also when array is empty!

Develop binary search in sorted array b for v

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pre: b

0	?	b.length
---	---	----------

Store a value in h to make this true:

post: b

0	h	b.length
<= v	> v	

Get loop invariant by combining pre- and post-conditions, adding variable t to mark the other boundary

inv: b

0	h	t	b.length
<= v	?	> v	

How does it start (what makes the invariant true)?

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pre: b

0	?	b.length
---	---	----------

inv: b

0	h	t	b.length
<= v	?	> v	

Make first and last partitions empty:

h = -1; t = b.length;

When does it end (when does invariant look like postcondition)?

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post: b

0	h	b.length
<= v	> v	

inv: b

0	h	t	b.length
<= v	?	> v	

```

h = -1; t = b.length;
while ( h != t-1 ) {
}
                    
```

Stop when ? section is empty. That is when h = t-1.
Therefore, continue as long as h != t-1.

How does body make progress toward termination (cut ? in half) and keep invariant true?

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inv: b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

$h = -1; t = b.length;$
while ($h \neq t - 1$) {
int $e = (h+t)/2;$
}

Let e be index of middle value of ? Section. Maybe we can set h or t to e , cutting ? section in half

How does body make progress toward termination (cut ? in half) and keep invariant true?

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inv: b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

b $\begin{array}{|c|c|c|} \hline \leq v & \leq v & > v \\ \hline \end{array}$ $b.length$

$h = -1; t = b.length;$
while ($h \neq t - 1$) {
int $e = (h+t)/2;$
if ($b[e] \leq v$) $h = e;$
}

If $b[e] \leq v$, then so is every value to its left, since the array is sorted. Therefore, $h = e$; keeps the invariant true.

How does body make progress toward termination (cut ? in half) and keep invariant true?

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inv: b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

$h = -1; t = b.length;$
while ($h \neq t - 1$) {
int $e = (h+t)/2;$
if ($b[e] \leq v$) $h = e;$
else $t = e;$
}

If $b[e] > v$, then so is every value to its right, since the array is sorted. Therefore, $t = e$; keeps the invariant true.

Loop invariants

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We used the concept of a **loop invariant** in developing algorithms to reverse a linked list and do a **binary search on a sorted array**.

pre: b $\begin{array}{|c|} \hline ? \\ \hline \end{array}$ $b.length$

post: b $\begin{array}{|c|c|} \hline \leq v & > v \\ \hline \end{array}$ $b.length$

inv: b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

Loop invariant: Important part of every formal system for proving loops correct.

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Extremely useful tool in *developing* a loop. Create (first draft of) invariant from pre- and post-conditions, then develop the parts of the loop from precondition, postcondition, invariant.

pre: b $\begin{array}{|c|} \hline ? \\ \hline \end{array}$ $b.length$

post: b $\begin{array}{|c|c|} \hline \leq v & > v \\ \hline \end{array}$ $b.length$

inv: b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

Loop invariant: Important part of every formal system for proving loops correct.

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Invariant can be written in English, mathematics, diagrams, or mixtures of these. The important points are **precision, clarity**.

inv: b $\begin{array}{|c|c|c|} \hline \leq v & ? & > v \\ \hline \end{array}$ $b.length$

inv: $b[0..h] \leq v < b[t..b.length-1]$

inv: $b[0..h] \leq v < b[t..]$

inv: everything in $b[0..h]$ is at most v , everything in $b[t..]$ is greater than v

About notation $b[h..k]$. $b[h..k]$ has $k+1-h$ elements

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$[h..h+3]$	4 elements	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="padding: 0 5px;">h</td><td style="padding: 0 5px;">$h+1$</td><td style="padding: 0 5px;">$h+2$</td><td style="padding: 0 5px;">$h+3$</td></tr></table>	h	$h+1$	$h+2$	$h+3$
h	$h+1$	$h+2$	$h+3$			
$[h..h+2]$	3 elements					
$[h..h+1]$	2 elements					
$[h..h]$	1 element					
$[h..h-1]$	How many elements?					

Convention: The notation $b[h..k]$ is used only when $h \leq k+1$.
 For example, $b[0..-2]$ is not allowed.

When $h = k+1$, $b[h..k]$ denotes the empty segment starting at $b[h]$.

Use the formula: 0!

Developing loop from pre, post, inv: 4 loopy questions

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```

// pre
init
// inv
while ( b ) {
  // inv && b
  Ensure inv remains true;
  progress
  // inv
}
// inv && ! b
// post
  
```

1. How does it start? What **init** makes invariant true?
2. When can it stop? Choose b so that $inv \ \&\& \ !b$ implies **post**
3. How does body make progress toward termination?
4. How do we make sure invariant is maintained?