

# CS 1114:

## Sorting and selection (part two)

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*(notes modified from Noah Snaveley, Spring 2009)*



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## Recap from last time

- We looked at the “trimmed mean” problem for locating the lightstick
  - Remove 5% of points on all sides, find centroid
- This is a version of a more general problem:
  - Finding the  $k^{\text{th}}$  largest element in an array
  - Also called the “selection” problem
- To solve this, we try first solving the sorting problem (then getting the  $k^{\text{th}}$  largest is easy)
  - How fast is this algorithm?



# Is this the best we can do?

- Let's try a different approach
- Suppose we tell all the actors
  - shorter than 5.5' to move to the left side of the room
- and all actors
  - taller than 5.5' to move to the right side of the room
  - (actors who are exactly 5.5' move to the middle)

[ 6.0 5.4 5.5 6.2 5.3 5.0 5.9 ]

↓

[ 5.4 5.3 5.0 5.5 6.0 6.2 5.9 ]



## Sorting, 2<sup>nd</sup> attempt

[ 6.0 5.4 5.5 6.2 5.3 5.0 5.9 ]

↓

[ 5.4 5.3 5.0 | 5.5 | 6.0 6.2 5.9 ]

< 5.5' | > 5.5'

- Not quite done, but it's a start
- We've put every element on the correct side of 5.5' (the *pivot*)
- What next?
- Do this again on each side: ask shorter group to pivot on (say) 5.3', taller group to pivot on 6.0'
- *Divide and conquer*



# How do we select the pivot?

- How did we know to select 5.5' as the pivot?
- Answer: average-ish human height
- In general, we might not know a good value
- Solution: just pick some value from the array (say, the first one)



## Quicksort

This algorithm is called *quicksort*

1. Pick an element (**pivot**)
2. Compare every element to the pivot and **partition** the array into elements  $<$  pivot and  $>$  pivot
3. Quicksort these smaller arrays separately



# Quicksort example

Select pivot [ 10 13 41 6 51 11 3 ]

Partition [ 6 3 10 13 41 51 11 ]

Select pivot [ 6 3 ] 10 [ 13 41 51 11 ]

Partition [ 3 6 ] 10 [ 11 13 41 51 ]

Select pivot [ 3 ] 6 10 [ 11 ] 13 [ 41 51 ]

Partition 3 6 10 11 13 [ 41 51 ]

Select pivot 3 6 10 11 13 41 [ 51 ]

Done 3 6 10 11 13 41 51



## Quicksort – pseudo-code

```
function [ S ] = quicksort(A)
% Sort an array using quicksort
n = length(A);
if n <= 1
    S = A; return;
end

pivot = A(1); % Choose the pivot
smaller = []; equal = []; larger = [];

% Compare all elements to the pivot:
%   Add all elements smaller than pivot to 'smaller'
%   Add all elements equal to pivot to 'equal'
%   Add all elements larger than pivot to 'larger'

% Sort 'smaller' and 'larger' separately
smaller = quicksort(smaller); larger = quicksort(larger); % This
is called recursion
S = [ smaller equal larger ];
```



# Quicksort and the pivot

- There are lots of ways to make quicksort fast, for example by swapping elements
  - We will cover these in section



# Quicksort and the pivot

- With a bad pivot this algorithm does quite poorly
  - Suppose we happen to always pick the smallest element of the array?
  - What does this remind you of?
  - Number of comparisons will be  $O(n^2)$
- When can the bad case easily happen?
- The worst case occurs when the array is already sorted
  - We could choose the average element instead of the first element



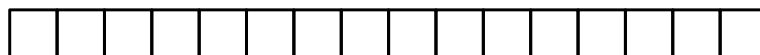
# Quicksort: best case

- With a good choice of pivot the algorithm does quite well
- What is the best possible case?
  - Selecting the median (how easy is this to find?)
- How many comparisons will we do?
  - Every time `quicksort` is called, we have to:
    - **Compare all elements to the pivot**



## How many comparisons? (best case)

- Suppose `length(A) == n`



- Round 1: Compare  $n$  elements to the pivot  
... now break the array in half, quicksort the two halves ...



- Round 2: For each half, compare  $n / 2$  elements to each pivot (total # comparisons =  $n$ )

... now break each half into halves ...



- Round 3: For each quarter, compare  $n / 4$  elements to each pivot (total # comparisons =  $n$ )



# How many comparisons? (best case)

Suppose  $\text{length}(A) == n$



Round 1: Compare  $n$  elements to the pivot

... now break the array in half, quicksort the two halves ...



Round 2: For each half, compare  $n / 2$  elements to the pivot (total # comparisons = ?)

... now break each half into halves ...



Round 3: For each quarter, compare  $n / 4$  elements to the pivot (total # comparisons = ?)

⋮

How many rounds will this run for?



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# How many comparisons? (best case)

- During each round, we do a total of  $n$  comparisons
- There are  $\log n$  rounds
- The total number of comparisons is  $n \log n$
- In the best case quicksort is  $O(n \log n)$



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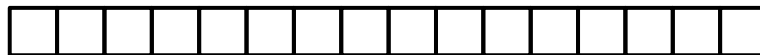
# Can we expect to be lucky?

- Performance depends on the input
- “Unlucky pivots” (worst-case) give  $O(n^2)$  performance
- “Lucky pivots” give  $O(n \log n)$  performance
- For random inputs we get “lucky enough” – expected runtime on a random array is  $O(n \log n)$
- Can we do better?



## Another sort of sort (*step 1, decompose*)

- Suppose  $\text{length}(A) == n$



- Round 1: Break the array in half



- Round 2: Now break each half in half ...



- Round  $\log(n)$ : Now have a lot ( $n$ ) of small arrays!





## Another sort of sort (step 2, recombine)

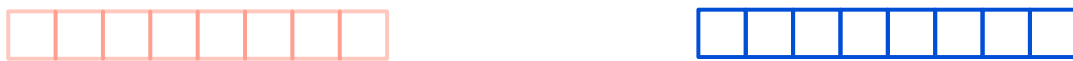
- It's easy to sort an array of length one! So ...



- Round 1: Merge them pairwise in the correct order



- Round 2: Now merge these...



- Round  $\log(n)$ : Now we have one sorted array!



## Back to the selection problem

- Can solve with sorting
- Is there a better way?
- Rev. Charles L. Dodgson's problem
  - Based on how to run a tennis tournament
  - Specifically, how to award 2<sup>nd</sup> prize fairly
- We'll dodge this until next time .....

