CS1110 Final Exam Review Session 1 Spring 2017

Loop Invariants & Sequence Algorithms

# On the Exam (May 18<sup>th</sup> 9am, Barton):

- String, list, and dictionary processing (for loops)
- Testing and debugging
- Objects, classes (+ subclasses and inheritance)
- Name resolution
- Frames and the call stack
- Recursion
- While loops & invariants
- Sequence and sorting algorithms

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- While loops & invariants
- Sequence and sorting algorithms

### **Notes on Range Notation**

- Pay attention to range:
  a..b or a+1..b or a...b-1 or ...
- This affects the loop condition!
  - Range a..b-1, has condition k < b</p>
  - Range a..b, has condition  $k \le b + 1$
- Note that a..a-1 denotes an empty range
  There are no values in it
- Note: b[a:b] in python represents b[a..b-1]

# **DOs and DON'Ts #1**

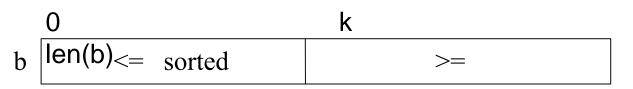
- DO use variables given in the invariant.
- DON'T use other variables.

# invariant: b[h..] contains the sum of c[h..] and d[k..],

# except that the carry into position k-1 is in 'carry' while

# Okay to use b, c, d, h, k, and carry # Anything else should be 'local' to while points will cost you points

# **Horizontal Notation for Sequences**



Example of an assertion about an sequence b. It asserts that:

- 1. b[0..k–1] is sorted (i.e. its values are in ascending order)
- 2. Everything in b[0..k–1] is  $\leq$  everything in b[k..len(b)–1]



Given index h of the first element of a segment and index k of the element that follows that segment, the number of values in the segment is k - h.

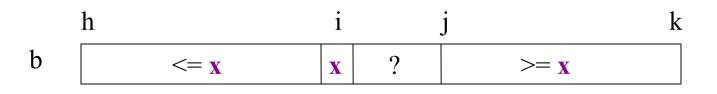
b[h ... k - 1] has k - h elements in it.

h h+1

(h+1) - h = 1

# **DOs and DON'Ts #2**

• **DON'T** put variables directly above vertical line.



- Where is j?
- Is it unknown or >= x?
- Lines are BETWEEN elements and hence have no index associated with them

#### What we'll ask you to do on the exam

- Write body of a loop to satisfy a given invariant.
- Given an invariant with code, identify all errors.
- Given an example, rewrite it with new invariant.

• You will NOT be responsible for coming up with your own invariants during this timed exam (e.g. as on A5 in generate food grid).

- Suppose you were trying to sum up all of the elements of a list b:
  - Even if we were constrained to only use while loops there are many possible solutions...

i = 0
tot = 0
# inv #1: total is sum of b[0..i-1]
while i < len(b):
 tot += b[i]
 i += 1
return tot</pre>

```
i = 0
tot = 0
# inv #1: total is sum of b[0..i-1]
while i < len(b):
  tot += b[i]
  i += 1
return tot
i = -1
tot = 0
# inv #2: total is sum of b[0..i]
while i < len(b) -1:
  tot += b[i+1]
  i += 1
return tot
```

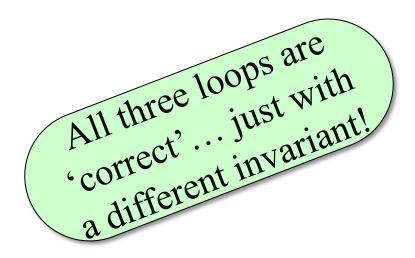
tot = 0
# inv #1: total is sum of b[0..i-1]
while i < len(b):
 tot += b[i]
 i += 1
return tot</pre>

i = -1

i = 0

tot = 0
# inv #2: total is sum of b[0..i]
while i < len(b) -1:
 tot += b[i+1]
 i += 1
return tot</pre>

i = len(b)
tot = 0
# inv #3: total is sum of b[i..len(b)-1]
while i > 0:
 tot += b[i-1]
 i -= 1
return tot



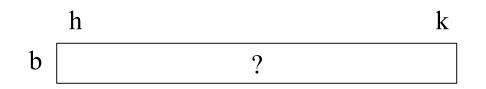
- Suppose you were trying to sum up all of the elements of a list b:
  - Even if we were constrained to only use while loops there are many possible solutions...
- An invariant is a <u>theoretical tool</u> to help you understand that a loop is <u>working correctly</u>
- Invariants also help you <u>design complicated</u> <u>loops</u> (Including Assignment 5!) by telling the programmer the state of <u>what has been done</u>.

# Definitions

- What's an <u>Invariant</u>?
  - An assertion that is supposed to "always" be true
  - If temporarily invalidated, must make it true again
- Loop Invariant An assertion that should be true before and after every iteration of the loop
  - E.g. tot is the sum of elements b[0..i-1].
  - References the loop variables (tot and i loop vars)
- Class Invariant assertion on value of attribute
  - E.g. [int, 0...maxValue]

### **Algorithm Inputs**

- We may specify that the list in the algorithm is
  - b[0..len(b)-1] or
  - a segment b[h..k] or
  - a segment b[m..n-1]
- Work with whatever is given!



- How many elements are in this array?
  - b[h..k] has k+1-h elements

### **Steps to Tackle many invariant problems**

- 1. Identify Range: [0..len(b) -1], [h..k], other?
- 2. Identify Loop variables and direction(s) of processing
- 3. Draw Box Diagram for the Invariant
- 4. "Push" invariant boundary lines to Precondition state to find the initialization conditions
- 5. "Push" invariant boundary lines to Postcondition state to find the termination condition
- 6. Flip the termination condition to its opposite to get the while loop condition (Use strict inequalities: <, >, or !=; not <= or >=)
- 7. Identify the next element to process (i? i+1? i-1?)
- 8. Write inside of loop to process next element and make progress

## A Simple Example

```
def sum_htok(b, h, k):
  """Sum all the elements in a list from position h to (and including)
  position k """
  I =
  tot =
  # inv: tot is the sum of b[i+1..k]
  while
  # post: tot is the sum of b[h..k]
  return tot
```

## A Simple Example

```
def sum_htok(b, h, k):
  """Sum all the elements in a list from position h to (and including)
   position k """
  \mathbf{i} = \mathbf{k}
  tot = 0
  # inv: tot is the sum of b[i+1..k]
   while i > h - 1:
       tot += b[i]
        i = i - 1
   # post: tot is the sum of b[h..k]
   return tot
```

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j-1] \le x = b[j] \le b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                     k
        h
                             t
                   1
inv: b
                       ???
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
j =
q =
# inv: b[h..j-1] <= x = b[j] <=
b[q+1..k]
while :</pre>
```

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j-1] \le x = b[j] \le b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                     k
        h
                             t
                   1
inv: b
                       ???
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
j =
q =
# inv: b[h..j-1] <= x = b[j] <=
b[q+1..k]
while
:</pre>
```

# post: 
$$b[h..j-1] \le x = b[j] \le b[j+1..k] h j q k$$
  
inv:  $b \le x x ??? \ge x$ 

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j-1] \le x = b[j] \le b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                     k
        h
                             t
                   1
inv: b
                       ???
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
j = h
q = k
# inv: b[h..j-1] <= x = b[j] <=
b[q+1..k]
while j < q:</pre>
```

# post: 
$$b[h..j-1] \le x = b[j] \le b[j+1..k] h j q k$$
  
inv:  $b \le x x ??? \ge x$ 

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j-1] \le x = b[j] \le b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[i+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
        h
                                     k
                             t
                   1
                       ???
inv: b
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
\mathbf{i} = \mathbf{h}
\mathbf{q} = \mathbf{k}
# inv: b[h..j–1] <= x = b[j] <=
b[q+1..k]
while j < q:
   if b[j+1] <= b[j]:
      swap b[j] and b[j+1]
      j = j+1
   else:
      swap b[j+1] and b[q]
      q=q-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k] h j
                                       k
                             q
  inv: b
                          ???
            <= x
                                  >= x
                     X
```

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j-1] \le x = b[j] \le b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                     k
        h
                             t
                   1
inv: b
                       ???
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
    j =
    m =
# inv: b[h..j-1] <= x = b[j] <=
    b[j+1..m]
while :</pre>
```

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j–1] <= x = b[j] <= b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                    k
        h
                             t
                   1
                       ???
inv: b
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
 i = h
m = h
# inv: b[h..j–1] <= x = b[j] <=
b[j+1..m]
while
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k] h
                   i
                                    k
                             m
                                 ???
  inv: b
           <= x
                        >= x
                   X
```

```
# Make invariant true at start
# Make invariant true at start
i = h
                                             i = h
t = k + 1
                                            m = h
# inv: b[h..j–1] <= x = b[j] <= b[t..k]
                                            # inv: b[h..j–1] <= x = b[j] <=
                                            b[j+1..m]
while j < t-1:
                                            while m < k:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                            # post: b[h..j–1] <= x = b[j] <=
                                            b[j+1..k] h
                                   k
       h
                            t
                                                                 1
                  1
                                                                          m
                      ???
inv: b
                                              inv: b
          <= x
                                                        <= x
                                                                     >= x
                              >= \mathbf{x}
                  X
                                                                X
```

k

???

```
# Make invariant true at start
i = h
t = k + 1
# inv: b[h..j-1] \le x = b[j] \le b[t..k]
while j < t-1:
  if b[j+1] <= b[j]:
     swap b[j] and b[j+1]
     j = j+1
  else:
     swap b[j+1] and b[t-1]
     t=t-1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k]
                                     k
        h
                             t
                   1
                       ???
inv: b
          <= x
                               >= \mathbf{x}
                   X
```

```
# Make invariant true at start
 i = h
m = h
# inv: b[h..j–1] <= x = b[j] <=
b[j+1..m]
while m < k:
  if b[m+1] <= b[j]:
     swap b[j] and b[m+1]
     swap b[j+1] and b[m+1]
     m = m+1; j=j+1
  else:
     m = m + 1
# post: b[h..j–1] <= x = b[j] <=
b[j+1..k] h
                                   k
                   1
                            m
                                ???
  inv: b
                       >= x
           <= x
                   X
```

### **DNF – ID broken invariants**

```
def dnf (b, h, k):
  ""Returns: partition points as a tuple (i,j)""
  t = h; i = k+1, j = k;
  # inv: b[h..t] < 0, b[t+1..i-1] ?, b[i..j] = 0, b[j+1..k] > 0
  while t < i:
     if b[i-1] < 0:
       swap(b,i-1,t)
       t = t+1
     elif b[i -1] == 0:
       i = i - 1
     else:
       swap(b,i-1,j)
       i = i-1; j = j-1
  # post: b[h..i-1] < 0, b[i..j] = 0, b[j+1..k] > 0
  return (i, j)
                                      Final Exam Review Part 1
5/14/17
```

### **DNF – ID broken invariants**

```
def dnf (b, h, k):
  ""Returns: partition points as a tuple (i,j)""
  t = h h - 1; i = k + 1, j = k;
  # inv: b[h..t] < 0, b[t+1..i-1] ?, b[i..j] = 0, b[j+1..k] > 0
  while t < i t + 1 < i:
     if b[i-1] < 0:
       swap(b,i-1,t t+1)
       t = t+1
     elif b[i -1] == 0:
       i = i - 1
     else:
        swap(b,i-1,j)
       i = i-1; j = j-1
  # post: b[h..i-1] < 0, b[i..j] = 0, b[j+1..k] > 0
  return (i, j)
                                      Final Exam Review Part 1
5/14/17
```

# **Other Searching & Sorting**

- <u>Mergesort / Quicksort:</u> Partition on each side of the list and then merge back together
- <u>Selection sort:</u> find minimum value in part of the list, swap it with next element to check
- <u>Linear search</u>: check each next element, if you found what you're looking for return.
- <u>Binary search</u>: on a <u>sorted</u> list, look at middle element, and then look at the side where the element might fall if middle not what you want

### **Good Luck!**