

Lecture 27

Sorting

Announcements for This Lecture

Prelim/Finals

- Prelims in **handback room**
 - Gates Hall 216
 - Open 12-4pm each day

- **Final: Dec 9th 7:00-9:30pm**
 - Study guide is posted
 - Announce reviews on Thurs.
- **Conflict with Final time?**
 - Submit to conflict to CMS **by this THURSDAY!**

Assignments/Lab

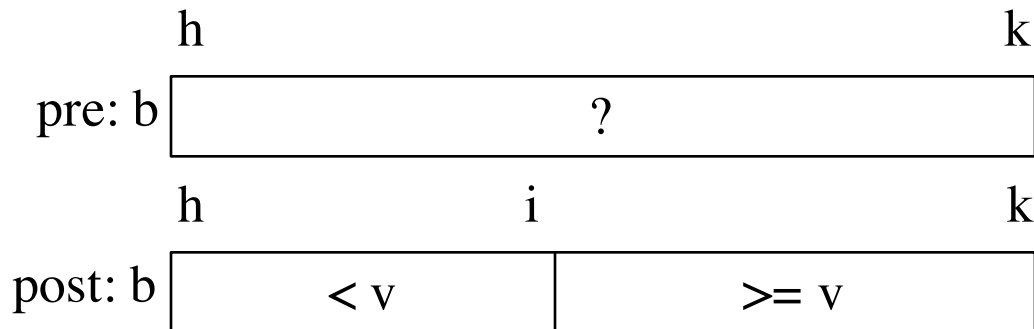
- **A6** is now graded.
 - **Mean:** 89, **Median:** 94
 - **Std Deviation:** 14.2
 - Mean/Median **Time:** 12 hrs
- **A7** is due next **Dec. 11**
 - Will grade if turn in Sun.
- **Lab 13** is *optional*
 - Good study for the final
 - Consultant hours still open

Binary Search

- **Vague:** Look for v in **sorted** sequence segment $b[h..k]$.

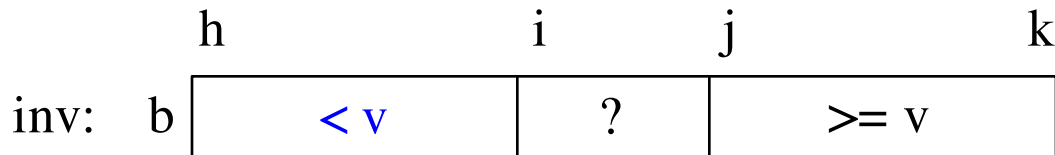
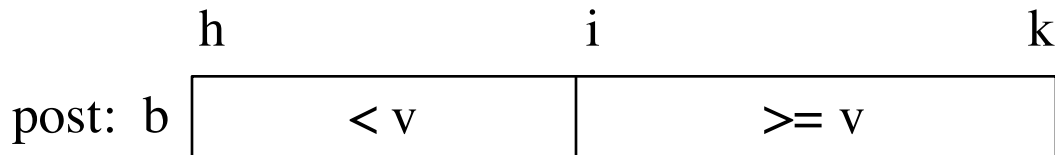
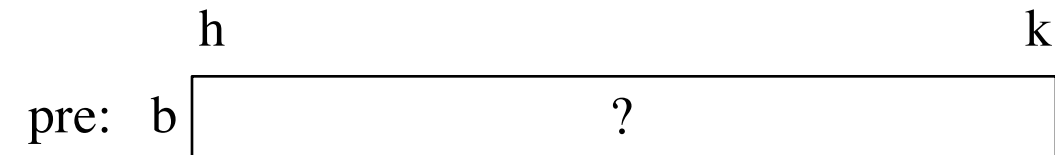
Binary Search

- **Vague:** Look for v in **sorted** sequence segment $b[h..k]$.
- **Better:**
 - **Precondition:** $b[h..k-1]$ is sorted (in ascending order).
 - **Postcondition:** $b[h..i] < v$ and $v \leq b[i+1..k]$
- Below, the array is in non-descending order:



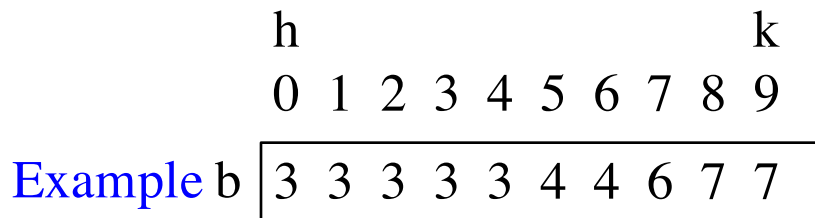
Binary Search

- Look for value v in **sorted** segment $b[h..k]$



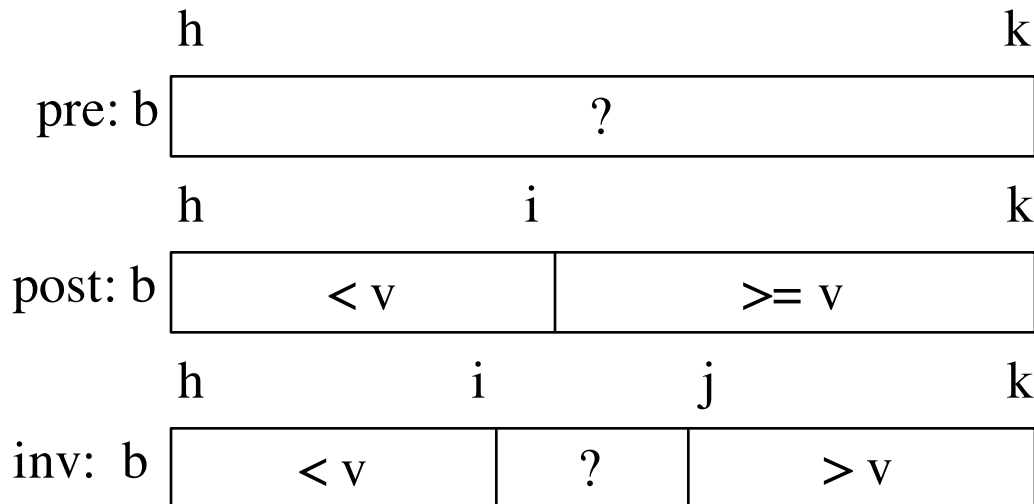
New statement of the invariant guarantees that we get **leftmost** position of v if found

- if v is 3, set i to 0
- if v is 4, set i to 5
- if v is 5, set i to 7
- if v is 8, set i to 10



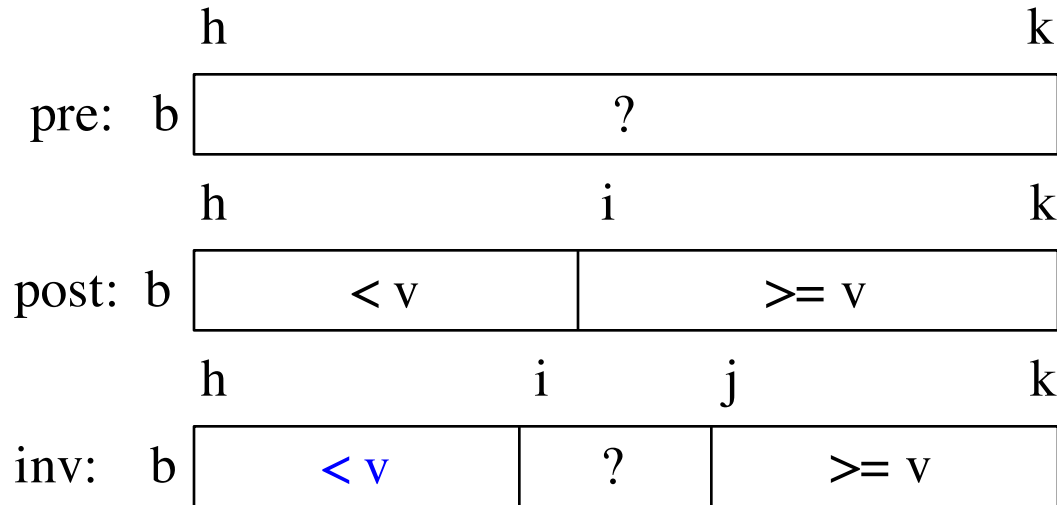
Binary Search

- **Vague:** Look for v in **sorted** sequence segment $b[h..k]$.
- **Better:**
 - **Precondition:** $b[h..k-1]$ is sorted (in ascending order).
 - **Postcondition:** $b[h..i] \leq v$ and $v < b[i+1..k]$
- Below, the array is in non-descending order:



Called **binary search** because each iteration of the loop cuts the array segment still to be processed in half

Binary Search



New statement of the invariant guarantees that we get **leftmost** position of v if found

$i = h; j = k + 1;$

while $i \neq j:$

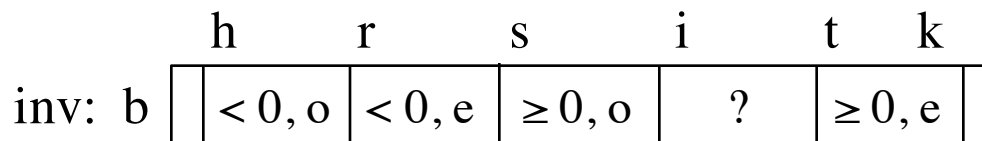
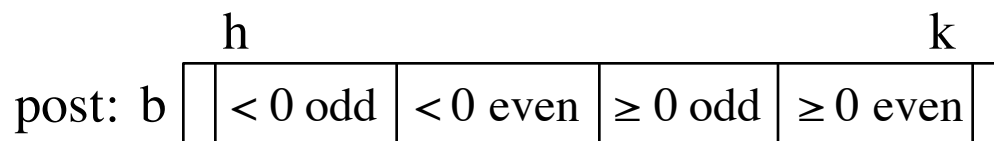
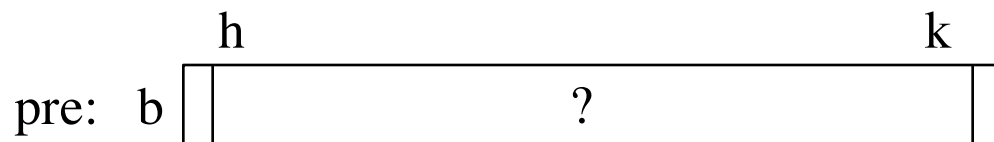
Looking at $b[i]$ gives **linear search from left**.

Looking at $b[j-1]$ gives **linear search from right**.

Looking at middle: $b[(i+j)/2]$ gives **binary search**.

Flag of Mauritius

- Now we have four colors!
 - Negatives: 'red' = odd, 'purple' = even
 - Positives: 'yellow' = odd, 'green' = even



Flag of Mauritius

$< 0, o$	$< 0, e$	$\geq 0, o$?	$\geq 0, e$
h	r	s	i	t k
-1 -3	-2 -4	7 5	-5 -6 1 0	2 4

h	r	s	i	t	k
-1 -3	-5 -4	7 5	-2 -6 1 0	2	4



One swap is not good enough

Flag of Mauritius

< 0, o	< 0, e	≥ 0, o	?	≥ 0, e
h	r	s	i	t k
-1 -3	-2 -4	7 5	-5 -6 1 0	2 4

h	r	s	i	t	k
-1 -3	-5 -4	-2 5	7 -6 1 0	2	4



Need two swaps
for two spaces

Flag of Mauritius

$< 0, o$		$< 0, e$		$\geq 0, o$?				$\geq 0, e$	
h		r		s		i				t k	
-1	-3	-2	-4	7	5	-5	-6	1	0	2	4

h		r		s		i				t k	
-1	-3	-5	-4	-2	5	7	-6	1	0	2	4

And adjust the loop variables

Flag of Mauritius

	< 0, o	< 0, e	?	≥ 0, e
h		r=s	i	t k
	-1 -3 -7	-4 -2 -6	-5 1 0	2 4

h	r=s	i	t	k
-1 -3 -7	-5 -2 -6	-4 1 0	2	4

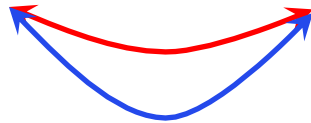


BUT NOT ALWAYS!

Flag of Mauritius

	< 0, o	< 0, e	?	≥ 0, e
h		r=s	i	t k
	-1 -3 -7	-4 -2 -6	-5 1 0	2 4

h	r=s	i	t	k
-1 -3 -7	-4 -2 -6	-5 1 0	2	4



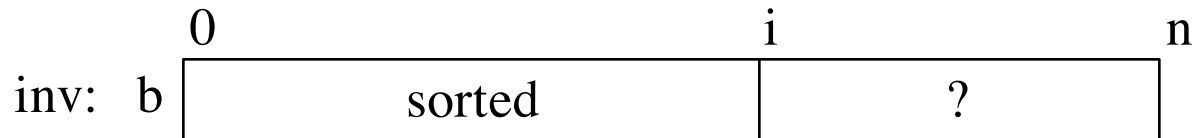
BUT NOT ALWAYS!

Have to check if second swap is okay

Sorting: Arranging in Ascending Order



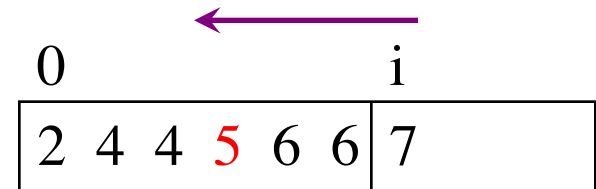
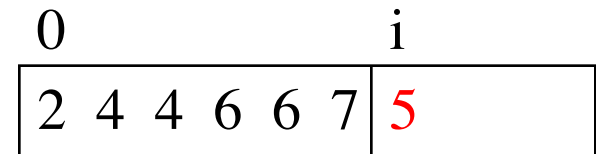
Insertion Sort:



$i = 0$

while $i < n$:

Push $b[i]$ down into its
sorted position in $b[0..i]$
 $i = i + 1$



Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

```
    j = i
```

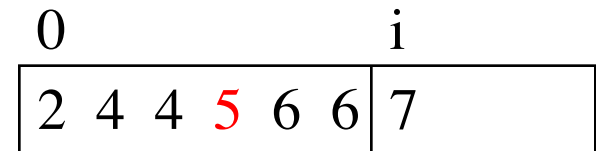
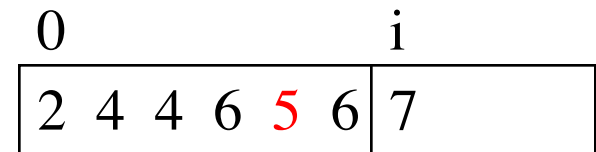
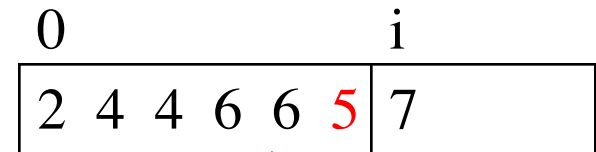
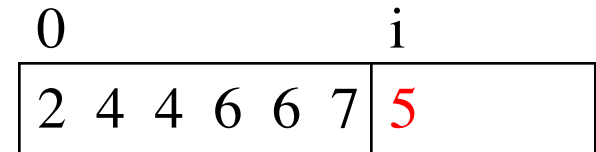
```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the
lecture about lists



The Importance of Helper Functions

```
i = 0
while i < n:
    push_down(b,i)
    i = i+1

def push_down(b, i):
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            swap(b,j-1,j)
        j = j-1
```

VS

```
i = 0
while i < n:
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            temp = b[j]
            b[j] = b[j-1]
            b[j-1] = temp
        j = j - 1
    i = i + 1
```

Can you understand
all this code below?

Insertion Sort: Performance

```
def push_down(b, i):
```

```
    """Push value at position i into
    sorted position in b[0..i-1]"""
```

```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

- $b[0..i-1]$: i elements
- Worst case:
 - $i = 0$: 0 swaps
 - $i = 1$: 1 swap
 - $i = 2$: 2 swaps
- Pushdown is in a loop
 - Called for i in $0..n$
 - i swaps each time

Insertion sort is
an n^2 algorithm

Total Swaps: $0 + 1 + 2 + 3 + \dots + (n-1) = (n-1)*n/2$

Algorithm “Complexity”

- **Given:** a list of length n and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	$n=10$	$n=100$	$n=1000$
n	0.01 s	0.1 s	1 s
$n \log n$	0.016 s	0.32 s	4.79 s
n^2	0.1 s	10 s	16.7 m
n^3	1 s	16.7 m	11.6 d
2^n	1 s	4×10^{19} y	3×10^{290} y

Major Topic in 2110: Beyond scope of this course

Sorting: Changing the Invariant

pre: b $\begin{array}{|c|} \hline 0 \qquad \qquad \qquad n \\ \hline \end{array}$?

post: b $\begin{array}{|c|} \hline 0 \qquad \qquad \qquad n \\ \hline \end{array}$ sorted

Selection Sort:

inv: b $\begin{array}{|c|c|} \hline 0 \qquad \qquad \qquad i \qquad \qquad \qquad n \\ \hline \end{array}$ sorted, $\leq b[i..]$ $\geq b[0..i-1]$

First segment always contains smaller values

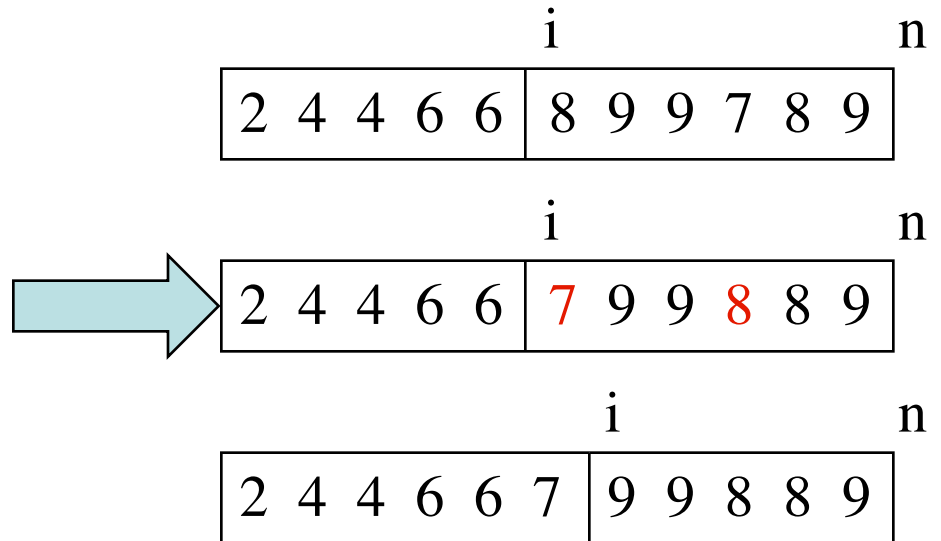
$i = 0$

while $i < n$:

Find minimum in $b[i..]$

Move it to position i

$i = i + 1$



Sorting: Changing the Invariant

pre: b

?

post: b

sorted

Selection Sort:

inv: b

sorted, $\leq b[i..]$	$\geq b[0..i-1]$
-----------------------	------------------

First segment always contains smaller values

$i = 0$

while $i < n$:

$j = \text{index of min of } b[i..n-1]$

swap(b, i, j)

$i = i + 1$

i n

2 4 4 6 6	8 9 9 7 8 9
-----------	-------------

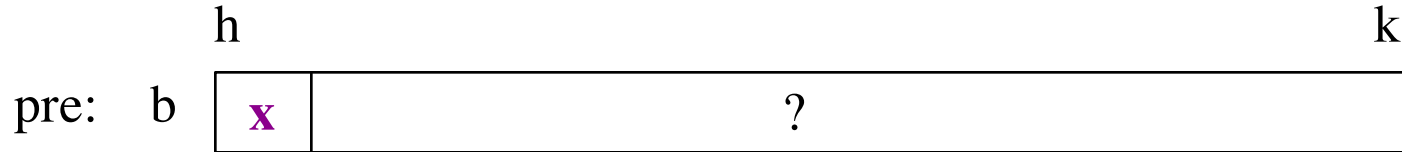
i n

2 4 4 6 6	7 9 9 8 8 9
-----------	-------------

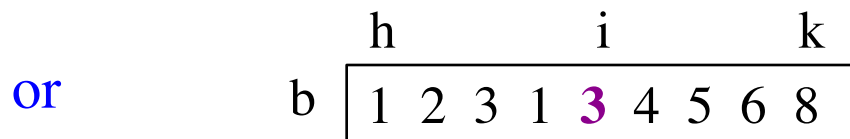
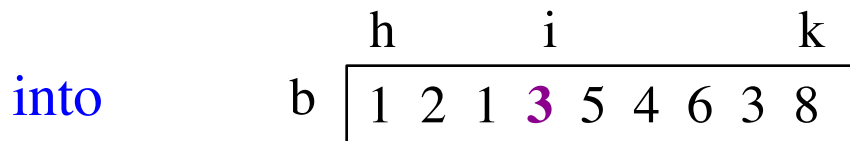
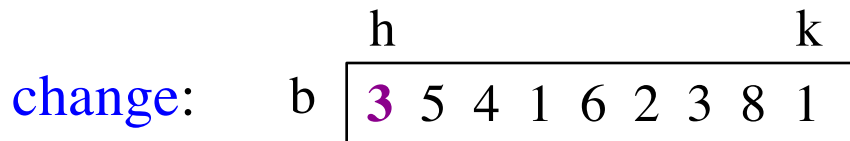
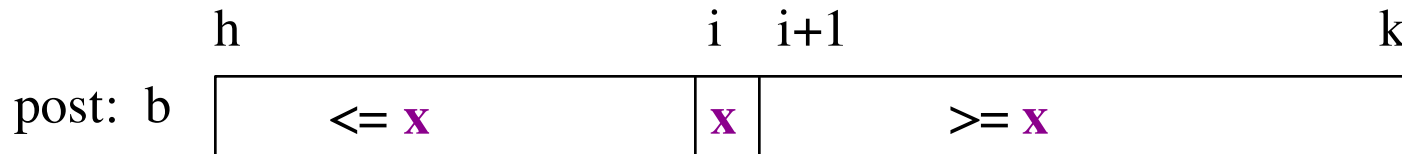
Selection sort also is an n^2 algorithm

Partition Algorithm

- Given a list segment $b[h..k]$ with some value x in $b[h]$:



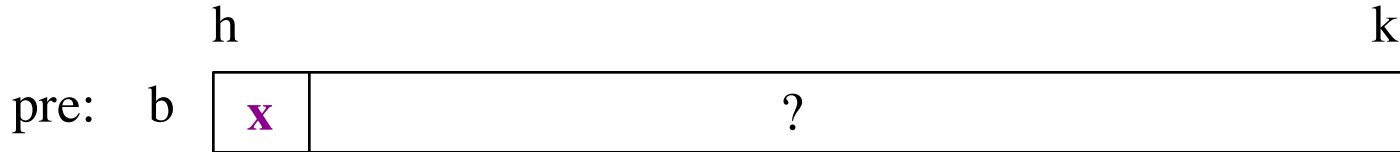
- Swap elements of $b[h..k]$ and store in j to truthify post:



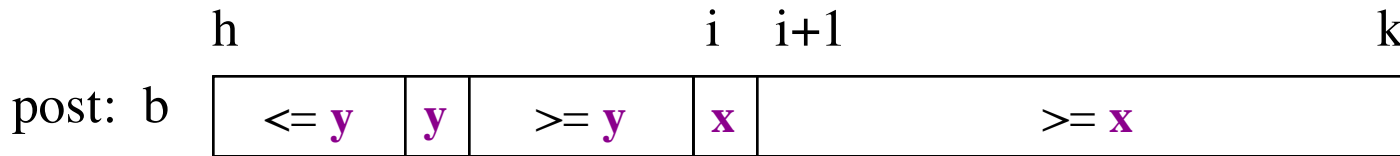
- x is called the **pivot value**
 - x is not a program variable
 - denotes value initially in $b[h]$

Sorting with Partitions

- Given a list segment $b[h..k]$ with some value x in $b[h]$:



- Swap elements of $b[h..k]$ and store in j to truthify post:



Partition Recursively

Recursive partitions = sorting

- Called **QuickSort** (why???)
- Popular, fast sorting technique

QuickSort

```
def quick_sort(b, h, k):
```

```
    """Sort the array fragment b[h..k]"""
```

```
    if b[h..k] has fewer than 2 elements:
```

```
        return
```

```
    j = partition(b, h, k)
```

```
    # b[h..j-1] <= b[j] <= b[j+1..k]
```

```
    # Sort b[h..j-1] and b[j+1..k]
```

```
    quick_sort(b, h, j-1)
```

```
    quick_sort(b, j+1, k)
```

- **Worst Case:**

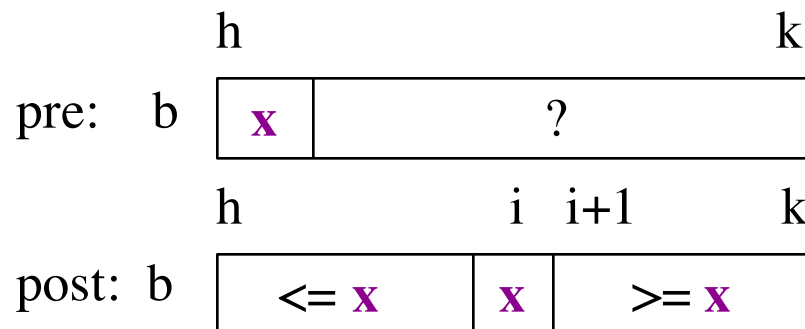
array already sorted

- Or almost sorted
- n^2 in that case

- **Average Case:**

array is scrambled

- $n \log n$ in that case
- Best sorting time!



Final Word About Algorithms

- **Algorithm:**

- Step-by-step way to do something
- Not tied to specific language

List Diagrams

- **Implementation:**

- An algorithm in a specific language
- Many times, not the “hard part”

Demo Code

- Higher Level Computer Science courses:

- We teach advanced algorithms (pictures)
- Implementation you learn on your own