

Lecture 22

Loop Invariants

Announcements for This Lecture

Assignments

- A6 due in one week
 - Dataset should be done
 - Get on track this weekend
 - **Next Week**: ClusterGroup
- A7 will be last assignment
 - Due after classes over
 - Posted before Thanksgiving
 - Lab next week
- **No lab** week of Turkey Day

Prelim 2

- Thursday, 7:30-9pm
 - A–Sh (Statler Aud)
 - Si–X (Statler 196)
 - Y–Z (Statler 198)
 - SDS received e-mail
- **Make-up** is Friday
 - Only if submitted conflict
 - Also received e-mail
- Graded on Saturday

Recall: Important Terminology

- **assertion**: true-false statement placed in a program to *assert* that it is true at that point
 - Can either be a **comment**, or an **assert** command
- **invariant**: assertion supposed to "always" be true
 - If temporarily invalidated, must make it true again
 - **Example**: class invariants and class methods
- **loop invariant**: assertion supposed to be true before and after each iteration of the loop
- **iteration of a loop**: one execution of its body

Assertions versus Asserts

- **Assertions prevent bugs**

- Help you keep track of what you are doing

- **Also track down bugs**

- Make it easier to check belief/code mismatches

- The **assert** statement is a (type of) assertion

- One you are **enforcing**
- Cannot always convert a comment to an assert

x is the sum of 1..n

The root of all bugs!

Comment form of the assertion.

x	?	n	1
x	?	n	3
x	?	n	0

Preconditions & Postconditions

precondition

```
# x = sum of 1..n-1  
x = x + n  
n = n + 1  
# x = sum of 1..n-1
```

postcondition

- **Precondition:** assertion placed before a segment
- **Postcondition:** assertion placed after a segment

1 2 3 4 5 6 7 8
 ⁿ
 └───┘
 |

x contains the sum of these (6)

1 2 3 4 5 6 7 8
 ⁿ
 └───┘
 |

x contains the sum of these (10)

Relationship Between Two

If **precondition** is true, then **postcondition** will be true

Solving a Problem

precondition

```
# x = sum of 1..n
```

```
n = n + 1
```

```
# x = sum of 1..n
```

postcondition

What statement do you put here to make the postcondition true?

A: $x = x + 1$

B: $x = x + n$

C: $x = x + n + 1$

D: None of the above

E: I don't know

Solving a Problem

precondition

$x = \text{sum of } 1..n$

$n = n + 1$

$x = \text{sum of } 1..n$

postcondition

What statement do you put here to make the postcondition true?

A: $x = x + 1$

B: $x = x + n$

C: $x = x + n+1$

D: None of the above

E: I don't know

Remember the new value of n

Invariants: Assertions That Do Not Change

- **Loop Invariant:** an assertion that is true before and after each iteration (execution of repetend)

$x = 0; i = 2$

while $i \leq 5$:

$x = x + i*i$

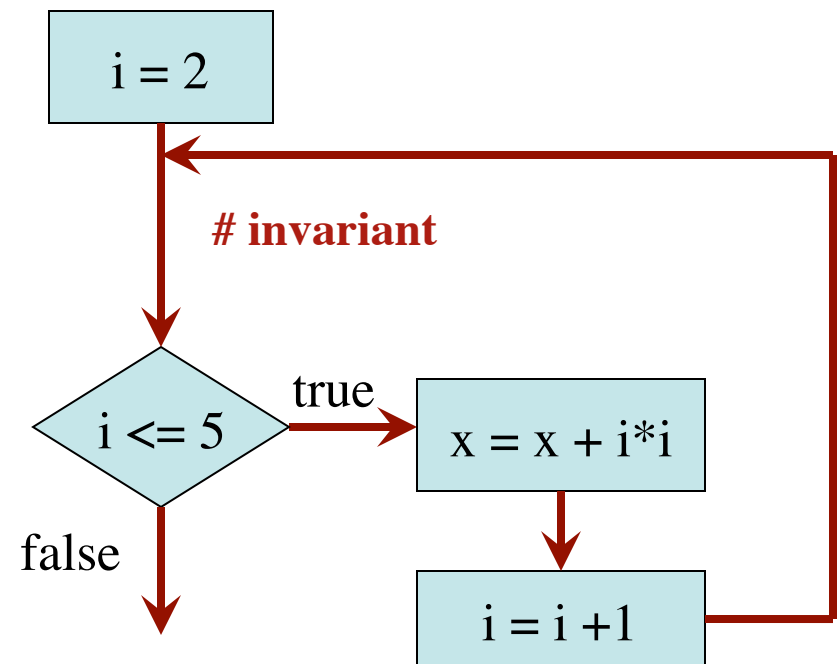
$i = i + 1$

$x = \text{sum of squares of } 2..5$

Invariant:

$x = \text{sum of squares of } 2..i-1$

in terms of the range of integers
that have been processed so far



The loop processes the range 2..5

Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

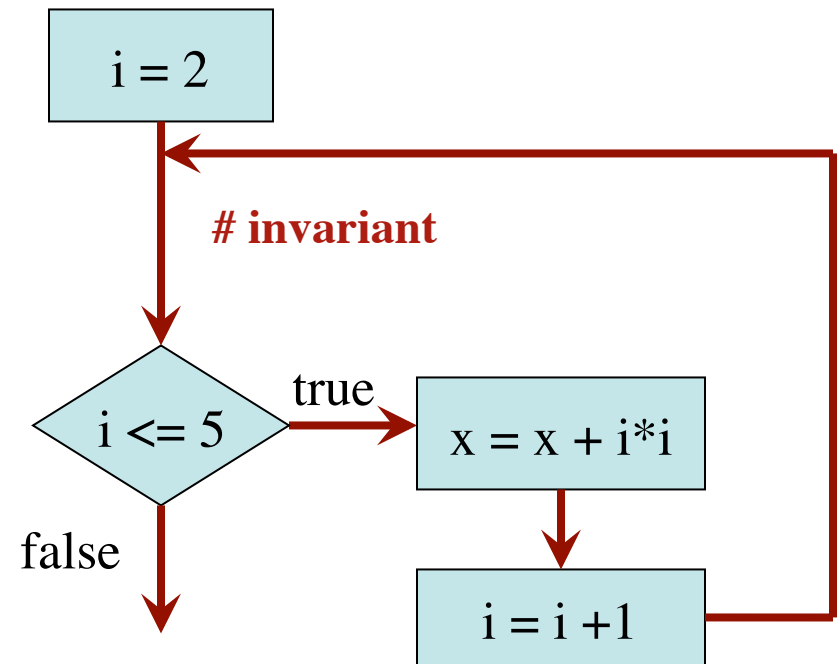
Post: $x = \text{sum of squares of } 2..5$

Integers that have
been processed:

Range $2..i-1$:

x 0

i ?



Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

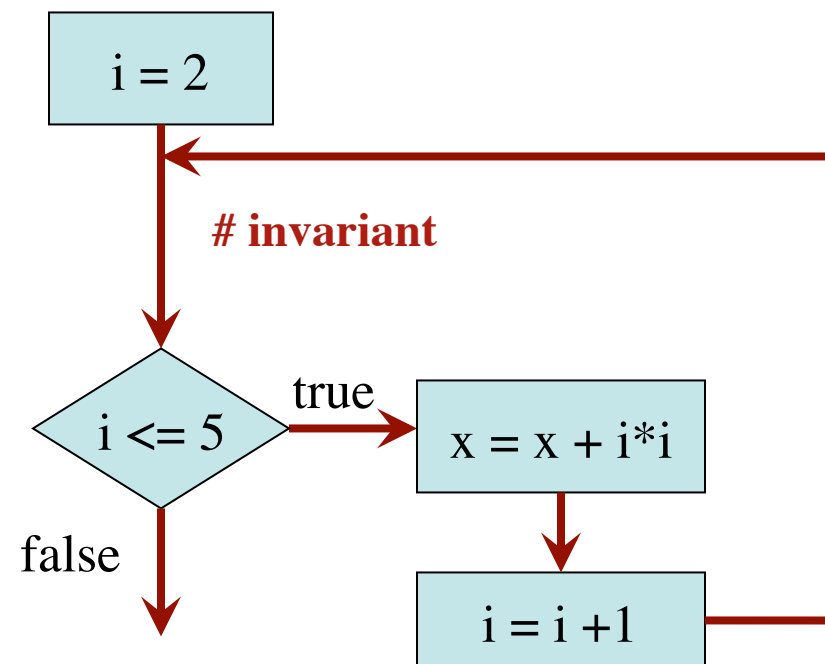
Post: $x = \text{sum of squares of } 2..5$

Integers that have
been processed:

Range $2..i-1$: **2..1 (empty)**

x 0

i ~~2~~ 2



Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

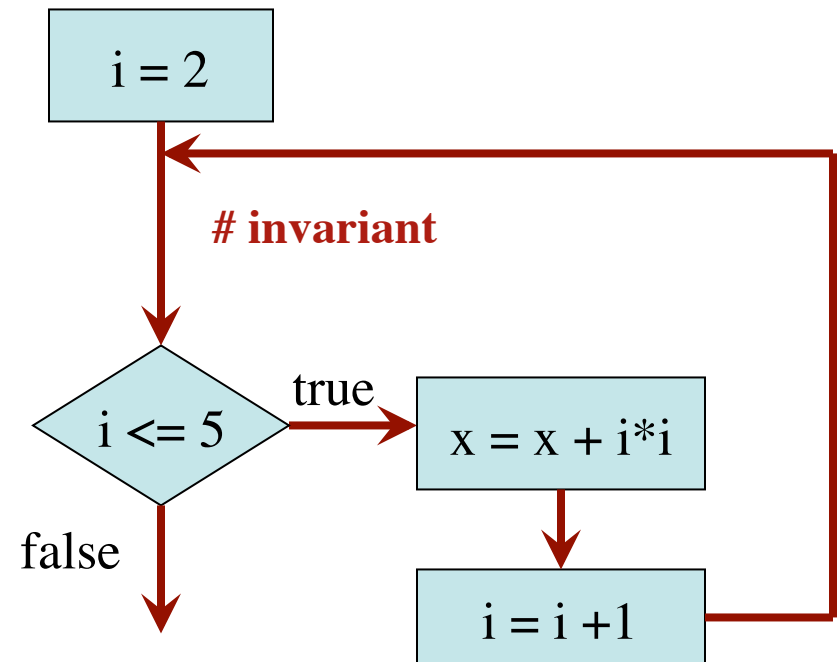
Post: $x = \text{sum of squares of } 2..5$

Integers that have
been processed: 2

Range $2..i-1$: 2..2

x ~~0~~ 4

i ~~2~~ ~~3~~ 3



Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

Post: $x = \text{sum of squares of } 2..5$

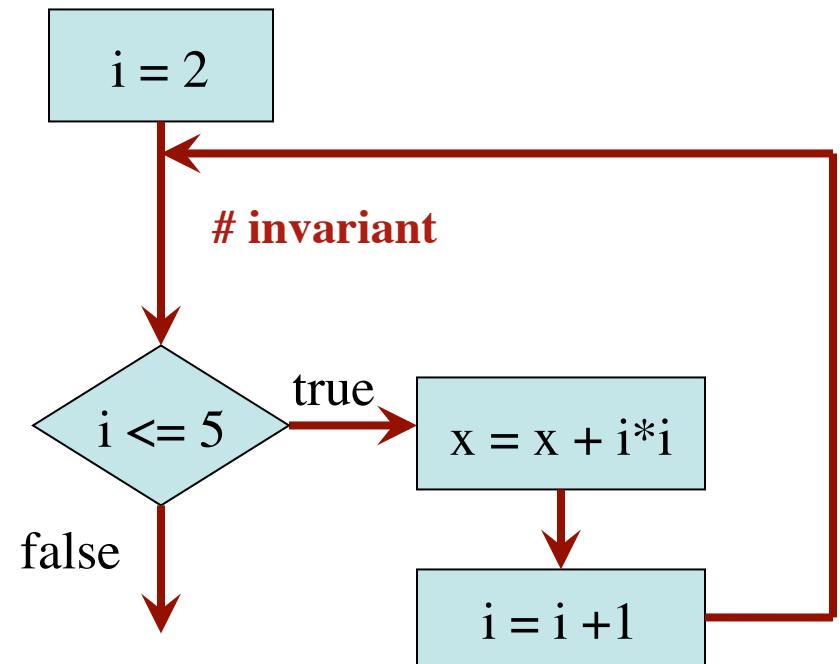
Integers that have

been processed: 2, 3

Range $2..i-1$: 2..3

x ~~0~~ ~~5~~ 13

i ~~2~~ ~~3~~ ~~4~~ 4



Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

Post: $x = \text{sum of squares of } 2..5$

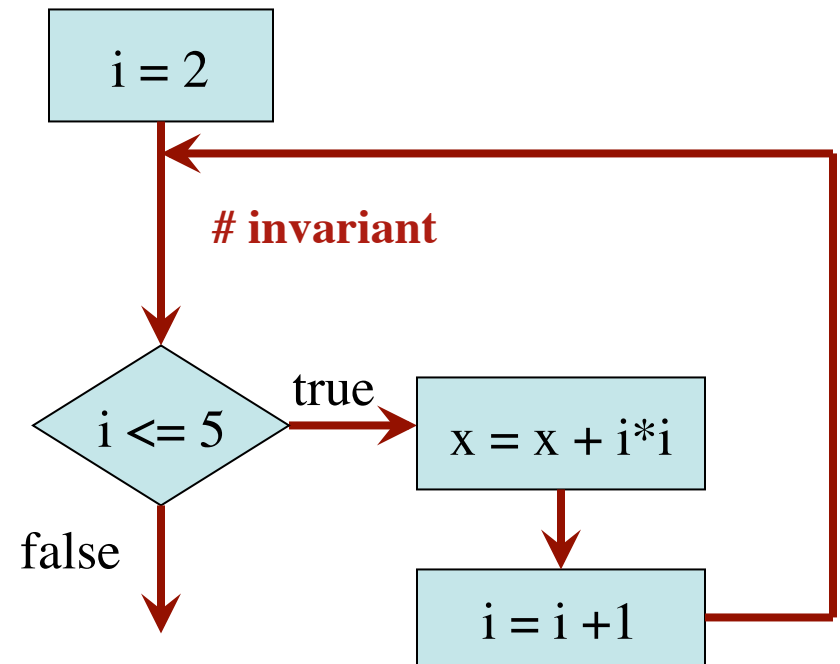
Integers that have

been processed: 2, 3, 4

Range $2..i-1$: 2..4

x ~~0~~ ~~4~~ ~~13~~ 29

i ~~2~~ ~~3~~ ~~4~~ ~~5~~ 5



Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

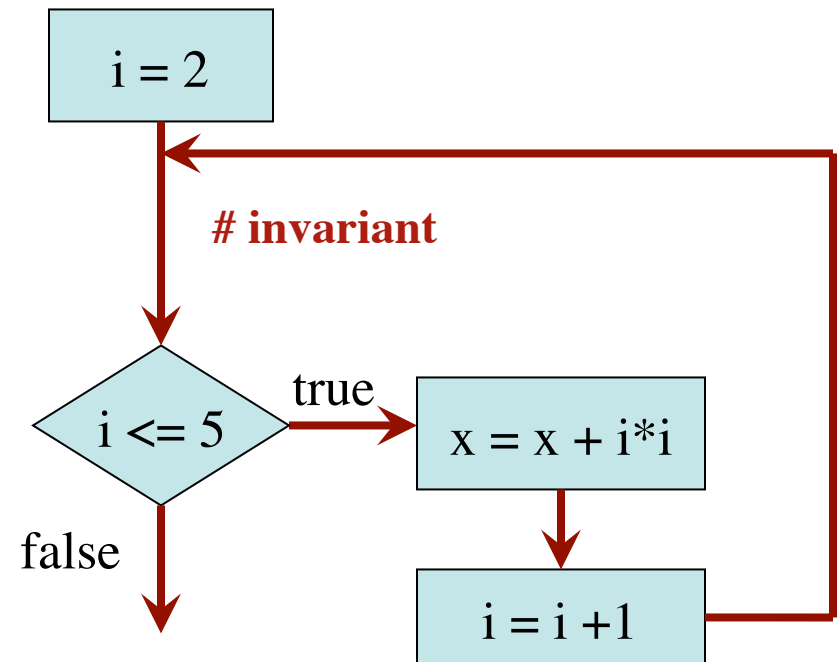
Post: $x = \text{sum of squares of } 2..5$

Integers that have
been processed: 2, 3, 4, 5

Range $2..i-1$: 2..5

x ~~0~~ ~~1~~ ~~3~~ ~~9~~ 54

i ~~2~~ ~~3~~ ~~4~~ ~~5~~ ~~6~~ 6



Invariants: Assertions That Do Not Change

$x = 0; i = 2$

Inv: $x = \text{sum of squares of } 2..i-1$

while $i \leq 5$:

$x = x + i*i$

$i = i + 1$

Post: $x = \text{sum of squares of } 2..5$

Integers that have

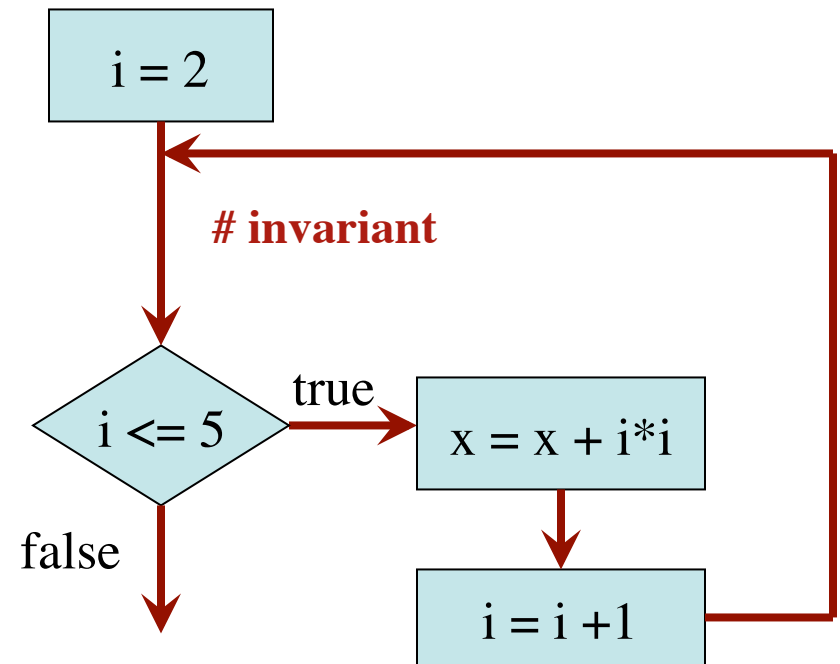
been processed: 2, 3, 4, 5

Range $2..i-1$: 2..5

Invariant was always true just before test of loop condition. So it's true when loop terminates

x ~~0~~ ~~4~~ ~~13~~ ~~29~~ 54

i ~~2~~ ~~3~~ ~~4~~ ~~5~~ ~~6~~ 6



The loop processes the range 2..5

Designing Integer while-loops

Process integers in a..b

Command to do something

inv: integers in a..k-1 have been processed

k = a

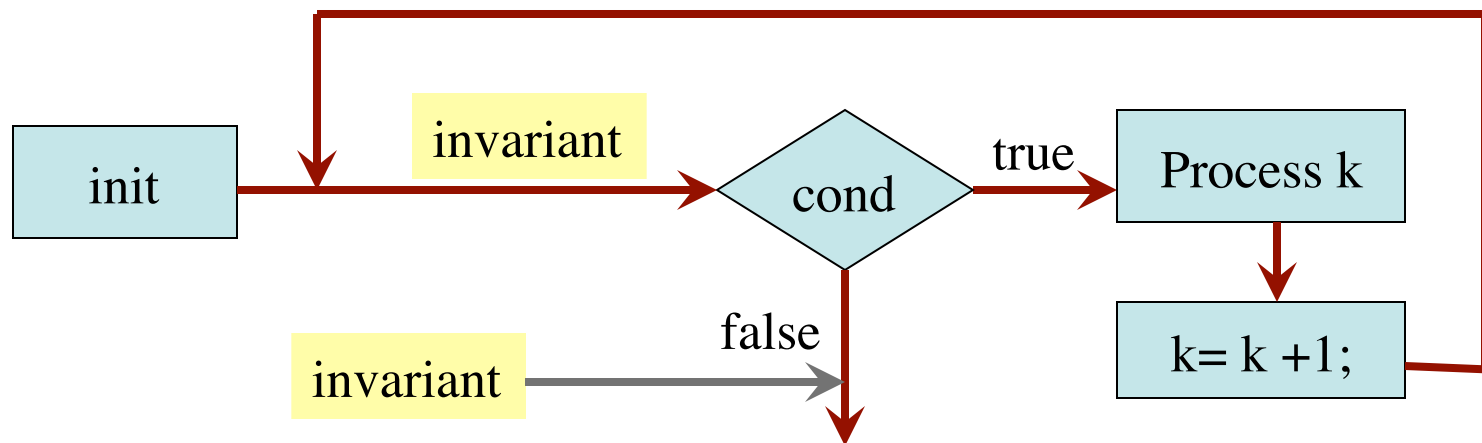
while k <= b:

 process integer k

 k = k + 1

post: integers in a..b have been processed

Equivalent postcondition



Designing Integer while-loops

1. Recognize that a range of integers $b..c$ has to be processed
 2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
 4. Write loop invariant
 5. Figure out any initialization
 6. Implement the repetend (process k)
-

Designing Integer while-loops

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Process $b..c$

Postcondition: range $b..c$ has been processed

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Process $b..c$

while $k \leq c$:

$k = k + 1$

Postcondition: range $b..c$ has been processed

Designing Integer while-loops

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Process $b..c$

Invariant: range $b..k-1$ has been processed

while $k \leq c$:

$k = k + 1$

Postcondition: range $b..c$ has been processed

Designing Integer while-loops

1. Recognize that a range of integers $b..c$ has to be processed
 2. Write the command and equivalent postcondition
 3. Write the basic part of the while-loop
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 5. Figure out any initialization
 6. Implement the repetend (process k)
-

Process $b..c$

Initialize variables (if necessary) to make invariant true

Invariant: range $b..k-1$ has been processed

while $k \leq c$:

 # Process k

$k = k + 1$

Postcondition: range $b..c$ has been processed

Finding an Invariant

Command to do something

Make b True if n is prime, False otherwise

b is True if no int in 2..n-1 divides n, False otherwise

Equivalent postcondition

What is the invariant?

Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

What is the invariant?

Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
# invariant: b is True if no int in 2..k-1 divides n, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

What is the invariant?

1 2 3 ... k-1 k k+1 ... n

Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
b = True
```

```
k = 2
```

```
# invariant: b is True if no int in 2..k-1 divides n, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

1 2 3 ... k-1 k k+1 ... n

What is the invariant?

Finding an Invariant

Command to do something

```
# Make b True if n is prime, False otherwise
```

```
b = True
```

```
k = 2
```

```
# invariant: b is True if no int in 2..k-1 divides n, False otherwise
```

```
while k < n:
```

```
    # Process k;
```

```
    if n % k == 0:
```

```
        b = False
```

```
    k = k + 1
```

```
# b is True if no int in 2..n-1 divides n, False otherwise
```

Equivalent postcondition

1 2 3 ... k-1 k k+1 ... n

What is the invariant?

Finding an Invariant

set x to # adjacent equal pairs in s

Command to do something

for s = 'ebeee', x = 2

while k < len(s):

 # Process k

 k = k + 1

x = # adjacent equal pairs in s[0..len(s)-1]

Equivalent postcondition

k: next integer to process.

Which have been processed?

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

Finding an Invariant

set x to # adjacent equal pairs in s

Command to do something

for s = 'ebeee', x = 2

while k < len(s):

 # Process k

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Equivalent postcondition

k: next integer to process.

Which have been processed?

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

What is the invariant?

A: x = no. adj. equal pairs in s[1..k]

B: x = no. adj. equal pairs in s[0..k]

C: x = no. adj. equal pairs in s[1..k-1]

D: x = no. adj. equal pairs in s[0..k-1]

E: I don't know

Finding an Invariant

```
# set x to # adjacent equal pairs in s
```

Command to do something

```
# inv: x = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    # Process k
```

```
    k = k + 1
```

```
# x = # adjacent equal pairs in s[0..len(s)-1]
```

```
for s = 'ebeee', x = 2
```

Equivalent postcondition

k: next integer to process.

Which have been processed?

A: 0..k

B: 1..k

C: 0..k-1

D: 1..k-1

E: I don't know

What is the invariant?

A: x = no. adj. equal pairs in s[1..k]

B: x = no. adj. equal pairs in s[0..k]

C: x = no. adj. equal pairs in s[1..k-1]

D: x = no. adj. equal pairs in s[0..k-1]

E: I don't know

Finding an Invariant

```
# set x to # adjacent equal pairs in s  
x = 0
```

Command to do something

```
# inv: x = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    # Process k
```

```
    k = k + 1
```

```
# x = # adjacent equal pairs in s[0..len(s)-1]
```

for s = 'ebeee', x = 2

Equivalent postcondition

k: next integer to process.

What is initialization for k?

A: k = 0

B: k = 1

C: k = -1

D: I don't know

Finding an Invariant

```
# set x to # adjacent equal pairs in s
```

```
x = 0
```

```
k = 1
```

```
# inv: x = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    # Process k
```

```
    k = k + 1
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# x = # adjacent equal pairs in s[0..len(s)-1]
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Command to do something

for s = 'ebeee', x = 2

Equivalent postcondition

k: next integer to process.

What is initialization for k?

A: k = 0

B: k = 1

C: k = -1

D: I don't know

Which do we compare to “process” k?

A: s[k] and s[k+1]

B: s[k-1] and s[k]

C: s[k-1] and s[k+1]

D: s[k] and s[n]

E: I don't know

Finding an Invariant

```
# set x to # adjacent equal pairs in s
```

```
x = 0
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```
k = 1
```

```
# inv: x = # adjacent equal pairs in s[0..k-1]
```

```
while k < len(s):
```

```
    # Process k
```

```
    x = x + 1 if (s[k-1] == s[k]) else 0
```

```
    k = k + 1
```

```
# x = # adjacent equal pairs in s[0..len(s)-1]
```

Command to do something

for s = 'ebeee', x = 2

Equivalent postcondition

k: next integer to process.

What is initialization for k?

A: k = 0

B: k = 1

C: k = -1

D: I don't know

Which do we compare to “process” k?

A: s[k] and s[k+1]

B: s[k-1] and s[k]

C: s[k-1] and s[k+1]

D: s[k] and s[n]

E: I don't know

Reason carefully about initialization

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??
k = ??
# inv:
while k < len(s):
    # Process k
    k = k+1
# c = largest char in s[0..len(s)-1]
```

Command to do something

Equivalent postcondition

1. What is the invariant?

Reason carefully about initialization

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??
k = ??
# inv: c is largest element in s[0..k-1]
while k < len(s):
    | # Process k
    | k = k+1
# c = largest char in s[0..len(s)-1]
```

Command to do something

Equivalent postcondition

1. What is the invariant?

Reason carefully about initialization

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??
k = ??
# inv: c is largest element in s[0..k-1]
while k < len(s):
    # Process k
    k = k+1
# c = largest char in s[0..len(s)-1]
```

Command to do something

Equivalent postcondition

1. What is the invariant?
2. How do we initialize c and k?

A: $k = 0; c = s[0]$

B: $k = 1; c = s[0]$

C: $k = 1; c = s[1]$

D: $k = 0; c = s[1]$

E: None of the above

Reason carefully about initialization

```
# s is a string; len(s) >= 1
# Set c to largest element in s
c = ??           Command to do something
k = ??
# inv: c is largest element in s[0..k-1]
while k < len(s):
    # Process k
    k = k+1
# c = largest char in s[0..len(s)-1]
                Equivalent postcondition
```

1. What is the invariant?
2. How do we initialize c and k?

A: $k = 0; c = s[0]$

B: $k = 1; c = s[0]$

C: $k = 1; c = s[1]$

D: $k = 0; c = s[1]$

E: None of the above

An empty set of characters or integers has no maximum. Therefore, be sure that $0..k-1$ is not empty. You must start with $k = 1$.