

## CS 611 Advanced Programming Languages

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Lecture 7: Lambda calculus  
8 Sep 00

## Administration

- HW1 due September 11 in class
  - modify *only* interpretation.sml
- New TA: James Cheney (jcheney@cs)

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## Untyped Lambda Calculus

- IMP: no functions
- Lambda calculus: all functions

$e ::= x \mid e_0 e_1 \mid \lambda x e_0$

$x$  **Identifier.** refers to variable defined by surrounding context.

$e_0 e_1$  **Application.** Applies the function  $e_0$  to the argument  $e_1$

$\lambda x e_0$  **Abstraction/lambda term.** Defines a new function with argument variable  $x$  and body  $e_0$  (ala ML's **fn**  $x \Rightarrow e_0$ )

- Universal, simple, core language (but *not Lisp/Scheme*)

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## Open vs. closed terms

- *term* = expression denoting a value
- Closed term: all identifiers bound by closest containing abstraction

$(\lambda x \dots x (\lambda y \dots y \dots) \dots)$

- Open term: some identifier(s) not bound:  $(\lambda x (y x))$
- Legal lambda calculus programs: all closed terms

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## Evaluation

- Application is evaluated by  $\beta$ -reduction:  
 $((\lambda x e_1) e_2) \rightarrow e_1\{e_2 / x\}$

$e_1\{e_2/x\}$  means “ $e_1$  with  $e_2$  substituted for occurrences of  $x$ ”  
(**note:** defining “substituted” is tricky)

$((\lambda x x) e) \rightarrow x\{e / x\} = e$

$((\lambda x (\lambda x x)) e) \rightarrow (\lambda x x)\{e / x\} = (\lambda x x)$

$((\lambda x (\lambda y (y x))) 3) \text{ INC} \rightarrow$

$((\lambda y (y 3)) \text{ INC}) \rightarrow (\text{INC } 3) \rightarrow 4$

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## Higher-order functions

- Can express functions that return (or accept) other functions easily  
(all values *are only* functions)
- A function that applies another function to 5:  $(\lambda f (f 5))$
- A function that returns a function that applies another function to its argument:  
 $(\lambda v (\lambda f (f v)))$

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## Simulating multiple arguments

- Don't we need multiple arguments?  
 $e ::= \dots \mid e_0 e_1 \dots e_n \mid \lambda (x_1 \dots x_n) e_0$
- Can *desugar* (rewrite syntactically) into single-argument calculus:  
 $(\lambda (x_1 \dots x_n) e) \Rightarrow (\lambda x_1 (\lambda \dots (\lambda x_n e) \dots))$   
 $(e_0 e_1 e_2 \dots e_n) \Rightarrow (\dots ((e_0 e_1) e_2) \dots e_n)$
- Multi-argument functions are *curried* – applied one argument at a time  
 $(+ 1 5) \Rightarrow ((+ 1) 5)$

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## Example

$((\lambda x (\lambda y (y x))) 3) \text{INC} \rightarrow$   
 $((\lambda y (y 3)) \text{INC}) \rightarrow (\text{INC } 3) \rightarrow 4$

Shorthand:

$((\lambda (x y) (y x)) = (\lambda x (\lambda y (y x))))$

$((\lambda (x y) (y x)) 3) \text{INC} \rightarrow (\text{INC } 3) \rightarrow 4$

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## Operational semantics

- Large-step semantics: configuration is an expression of the language (no store)

$$\frac{e_0 \Downarrow \lambda x e_2 \quad e_2 \{e_1/x\} \Downarrow v}{e_0 e_1 \Downarrow v}$$

- *Call-by-name* semantics:  $e_1$  is not evaluated before substitution
- Call-by-name +  $\beta$ -reduction: any lambda term is a *value*:  $v ::= \lambda x e$

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## Small-step semantics

$\frac{}{(\lambda x e_1) e_2 \rightarrow e_1 \{e_2/x\}} \text{ (}\beta \text{ red'n)}$

$$\frac{e_1 \rightarrow e'_1}{e_1 e_2 \rightarrow e'_1 e_2}$$

call-by-name

$\frac{e_1 \rightarrow e'_1}{e_1 e_2 \rightarrow e'_1 e_2} \quad \frac{}{(\lambda x e_1) v \rightarrow e_1 \{v/x\}}$

$$\frac{e_2 \rightarrow e'_2}{v e_2 \rightarrow v e'_2}$$

call-by-value

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## An infinite loop

$(\lambda x (x x)) (\lambda x (x x)) \rightarrow ?$

This expression *diverges* (never stops taking small steps)

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## Simulating let

- Lambda calculus has no “let” statement ala ML

$(\text{let } x = e_1 \text{ in } e_2) \iff (\lambda x e_2) e_1$

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## Definitions

- Lambda calculus terms can become long; for compactness we will use names, multiple arguments as shorthand (not part of language!)

$IDENTITY \triangleq (\lambda x x)$

$INC \triangleq (+ 1)$

$APPLY-TO-FIVE \triangleq (\lambda f (f 5))$

$COMPOSE \triangleq (\lambda (f g) (\lambda x (f (g x))))$

$TWICE \triangleq (\lambda f (COMPOSE f f))$

$((COMPOSE INC INC) 2) \rightarrow 4$

$((TWICE (TWICE INC)) 0) \rightarrow 4$

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## Representing booleans

- Lambda calculus is universal: no primitive boolean type or “if” statement is needed

$TRUE \triangleq (\lambda x (\lambda y x)) \sim (\lambda (x y) x)$

$FALSE \triangleq (\lambda x (\lambda y y)) \sim (\lambda (x y) y)$

if  $e_1$  then  $e_2$  else  $e_3 \Rightarrow (IF e_1 e_2 e_3)$

$IF \triangleq (\lambda (x y z) (x y z))$

$(IF TRUE e_2 e_3) \rightarrow ((\lambda x (\lambda y x)) e_2) e_3$

$\rightarrow ((\lambda y e_2) e_3) \rightarrow e_2$

- Call-by-name important!  $e_2$  and  $e_3$  are not evaluated eagerly by  $IF$

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## Representing pairs (lists)

- Pair/list operations:

$(CONS x y)$  : construct list with head  $x$ , tail  $y$

$(FIRST p)$  : return first item in list/first item in pair

$(REST p)$  : return remainder of list/second item in pair

- One way to implement these operations:

$CONS \triangleq (\lambda (x y) (\lambda f (f x y)))$

$FIRST \triangleq (\lambda p (p (\lambda (x y) x))) \quad (= (\lambda p (p TRUE)))$

$REST \triangleq (\lambda p (p (\lambda (x y) y))) \quad (= (\lambda p (p FALSE)))$

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## Natural numbers

- Model the number  $n$  as a function that composes an arbitrary function  $n$  times  
: Church numerals

$0 \triangleq (\lambda (f a) a) \quad (= FALSE)$

$1 \triangleq (\lambda (f a) (f a))$

$2 \triangleq (\lambda (f a) (f (f a)))$

$3 \triangleq (\lambda (f a) (f (f (f a))))$

$n \triangleq (\lambda (f a) (f \underbrace{(\dots (f a) \dots)}_{n \text{ times}})))$

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## Arithmetic

- Can define  $INC$  function that adds one by writing a function that interposes an extra call to the function:

$n \triangleq (\lambda (f a) (f^n a))$

$INC \triangleq (\lambda n (\lambda (f a) (f ((n f) a))))$

Can define  $+$  and other arithmetic operators:

$+ \triangleq (\lambda (n_1 n_2) (\lambda (f a) ((n_1 f) ((n_2 f) a)))) \quad \text{or}$

$+ \triangleq (\lambda (n_1 n_2) ((n_1 INC) n_2))$

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