

## CS 611

Advanced Programming Languages  
Andrew Myers  
Cornell University

Lecture 1: Introduction  
25 Aug 00

## Goals

- Deeper understanding of PL's
- Broader exposure to PL's
- *Not* a survey course

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2

## Why study PL?

- Elegant math, practical impact
  - a study of expressive power
  - caveat: comfort with logic, proofs, Ch. 1
- Better language design
  - how to specify
  - how to prove correct
  - embarrassing questions to ask
- Better language implementation
  - efficient implementation (more in CS 412)
  - correct implementation
- Better programmer
  - understand your tools (and which ones to use)

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3

## Schedule

- |                                 |   |         |
|---------------------------------|---|---------|
| • Operational semantics         | 5 | ↑       |
| • Inductive proofs              | 3 |         |
| • Lambda calculus               | 3 | dynamic |
| • Denotational semantics        | 4 |         |
| • Interesting language features | 8 | ↓       |
| • Type systems                  | 4 | static  |
| • Interesting types             | 8 |         |
| • Miscellaneous topics          | 3 | ↓       |

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4

## Workload

- Sign-up sheet
- Readings (see course schedule)
- 6 homeworks (about half with programming component, in ML)
- Scribe 3-4 lectures (in pairs)
  - we will provide TeX template
  - meet with me for feedback
- Prelim: tentatively Oct. 26, 7-9:30PM
- Final exam: Dec. 7, 12-2:30PM

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5

## Course Staff

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6

## Texts

- Required:
  - Winskel, *The Formal Semantics of Programming Languages*
- Recommended:
  - Gunter, *Semantics of Programming Languages*
  - Mitchell, *Foundations of Programming Languages*
  - Gifford (will be placed on-line; may be used only for this course)

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7

## IMP

- Winskel, Ch. 2
- Simple imperative language (vs. functional)
- IMP program is a *command*
  - **skip**
  - $X := a$
  - $c_0; c_1$
  - **if**  $b$  **then**  $c_0$  **else**  $c_1$
  - **while**  $b$  **do**  $c$
- Variables ( $X$ ) take integer values
- Arithmetic exprs  $a$ , boolean expressions  $b$

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8

## Example: GCD

```
while  $x \neq y$  do  
  if  $x < y$  then  
     $y := y - x$   
  else  
     $x := x - y$   
end
```

- Turing-complete (barely): no functions, data structures

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9

## Issues

- What is a legal program in IMP?
  - defined by abstract syntax
- What is a legal program execution in IMP?
  - structural operational semantics
- Other properties of interest
  - expressions terminate, commands may not
  - programs never “crash”
  - evaluation is deterministic

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10

## Defining Syntax

- Three *syntactic sets*:
  - **Aexp**: set of legal arithmetic expressions  $a$
  - **Bexp**: legal boolean expressions  $b$
  - **Com**: legal commands  $c$
- Define legal programs inductively using Backus-Naur form (BNF):  
 $a ::= n \mid X \mid a_0 + a_1 \mid a_0 * a_1 \mid a_0 - a_1$   
 $b ::= a_0 = a_1 \mid a_0 \leq a_1 \mid b_0 \wedge b_1 \mid b_0 \vee b_1 \mid \neg b$   
 $c ::= \text{skip} \mid X := a \mid c_0; c_1 \mid \text{if } b \text{ then } c_0 \text{ else } c_1 \mid$   
    **while**  $b$  **do**  $c$   
 $X \in \text{Loc}, n \in \mathbf{Z}$

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11

## Abstract Syntax

- This course: not about parsing
- Elements of syntactic set are *parse trees*, not concrete syntax

$$3 + 4 * x = \begin{array}{c} + \\ / \quad \backslash \\ 3 \quad * \\ \quad / \quad \backslash \\ \quad 4 \quad x \end{array} \neq "3+4*x"$$

- But...will write expressions that look concrete
  - parentheses used to disambiguate parsing when necessary:  $(3+4)*5$  vs.  $3+(4*5)$
  - not part of abstract syntax

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12

## Operational Semantics

- Any element of **Com** is a legal program. How does it evaluate?
- Defining process of program evaluation: *operational semantics*
- Java language reference manual: verbose, long operational semantics
- Structural operational semantics: legal executions correspond to proofs
  - compact
  - convenient for proving properties of language

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13

## Configurations

- A *configuration* : what we need to know about a running program to define how it executes
- Input to program is a *state* or *memory* mapping variables onto integers
 
$$\sigma : \mathbf{Loc} \rightarrow \mathbf{Z}$$
- Output from program: state  $\sigma'$
- Command configuration:  $\langle c, \sigma \rangle$

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14

## Large-step evaluation

- Large-step semantics define complete evaluation of a program or subexpression

$\langle c, \sigma \rangle \Downarrow \sigma'$  = "Command  $c$  starting in state  $\sigma$  terminates in state  $\sigma'$ "

$\langle a, \sigma \rangle \Downarrow n$  = "expression  $a$  evaluates to  $n$ "

$\langle b, \sigma \rangle \Downarrow t$  = "expression  $b$  evaluates to  $t$ "

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15

## Some evaluations

$\langle X, \sigma \rangle \Downarrow \sigma(X)$  (for any  $\sigma, X$ )

$\langle n, \sigma \rangle \Downarrow n$  (for any  $\sigma, n$ )

$\langle n_0 + n_1, \sigma \rangle \Downarrow n_2$  (for any  $n_0, n_1, n_2, X$  where  $n_2$  is sum of  $n_0, n_1$ )

$\langle \mathbf{skip}, \sigma \rangle \Downarrow \sigma$  (for any  $\sigma, X$ )

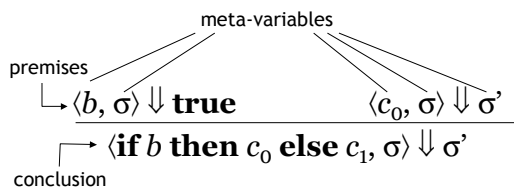
$\langle \mathbf{if } b \mathbf{ then } c_0 \mathbf{ else } c_1, \sigma \rangle \Downarrow \sigma'$   
if

$\langle b, \sigma \rangle \Downarrow \mathbf{true}$  and  $\langle c_0, \sigma \rangle \Downarrow \sigma'$  (for any ...)

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16

## As inference rules



axiom  
 $\langle n, \sigma \rangle \Downarrow n$

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17

## Execution as proof

- Legal executions = those that can be proved correct inductively
- Proof = *proof tree* where every step is application of an inference rule
- Execution = depth-first walk of proof tree
- Collection of inference rules: *proof system*

$$\frac{\langle x, [x \mapsto 1, y \mapsto 2] \rangle \Downarrow 1 \quad \langle y, [x \mapsto 1, y \mapsto 2] \rangle \Downarrow 2 \quad \langle 0, [x \mapsto 1, y \mapsto 2] \rangle \Downarrow 0}{\langle x < y, [x \mapsto 1, y \mapsto 2] \rangle \Downarrow \mathbf{true} \quad \langle x := 0, [x \mapsto 1, y \mapsto 2] \rangle \Downarrow [x \mapsto 0, y \mapsto 2]}}$$

$$\langle \mathbf{if } x < y \mathbf{ then } x := 0 \mathbf{ else skip}, [x \mapsto 1, y \mapsto 2] \rangle \Downarrow [x \mapsto 0, y \mapsto 2]$$

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18

## Applying rules

- Inference rule represents a large (infinite) set of *rule instances* in which meta-variables are consistently substituted

$$\frac{}{\langle n, \sigma \rangle \Downarrow n} \rightarrow \frac{}{\langle 0, \sigma \rangle \Downarrow 0} , \frac{}{\langle 1, \sigma \rangle \Downarrow 1} , \dots$$

$$\frac{\langle b, \sigma \rangle \Downarrow \mathbf{true} \quad \langle c_0, \sigma \rangle \Downarrow \sigma'}{\langle \mathbf{if } b \mathbf{ then } c_0 \mathbf{ else } c_1, \sigma \rangle \Downarrow \sigma'} \rightarrow \frac{\langle 0=1, \sigma \rangle \Downarrow \mathbf{true} \quad \langle \mathbf{skip}, \sigma \rangle \Downarrow \sigma}{\langle \mathbf{if } 0=1 \mathbf{ then skip else } \dots, \sigma \rangle \Downarrow \sigma}$$