

## What we have

In the last lecture we showed how to construct complex CPO's from simpler CPO's.

- if  $D_1, D_2, \dots, D_n$ , are CPO's then so is  $(D_1 \times D_2 \times \dots \times D_n)$ ,
- if  $D_1, D_2, \dots, D_n$ , are CPO's then so is  $(D_1 + D_2 + \dots + D_n)$ ,
- if  $D$  is a CPO, then  $D_\perp = \{[d] \mid d \in D\} \cup \{\perp\}$  is a CPO.
- if  $E$  is a CPO, then the space  $D \rightarrow E$  of all continuous functions from  $D$  to  $E$  is a CPO.

Moreover,  $D_\perp$  is pointed, and if  $E$  and  $D_1, \dots, D_n$  are pointed CPO's, then so are  $(D_1 \times D_2 \times \dots \times D_n)$  and  $D \rightarrow E$ . We can form expressions like this in our metalanguage:

- constants  $u \in U$ ,  $\text{true}, \text{false} \in T$ , where  $T = U + U$ ,  $0, 1, 2, \dots \in \mathcal{Z}$
- lifting  $[n]$
- tupling  $\langle \cdot, \dots, \cdot \rangle$  and projection  $\pi_i$
- injection  $\text{in}_i(\cdot)$
- application  $\cdot(\cdot)$ , composition  $\cdot \circ \cdot$
- (continuous) functions  $\text{curry}$  and  $\text{fix}$ .

One more tool we need is to be able to use abstraction – form functions from open terms using the  $\lambda$  operator.

## Abstraction

We would like to use the construct  $\lambda x \in D. e$ . Thus we'd appreciate a theorem saying that if the expression  $e$  is continuous (in some sense), then  $\lambda x \in D. e$  is also continuous. In order to do this we first need to define what does it mean for an expression  $e$  which possibly contains free variables to be continuous.

**Definition.** Expression  $e$  is continuous in variable  $x \in D$  iff for arbitrary values of all other variables, the function  $\lambda x \in D. e$  is continuous (we need to worry only about variables that are free in  $e$ ). Expression  $e$  is continuous iff it is continuous in all variables (again, only variables free in  $e$  matter).

**Theorem.** If  $e$  is an expression in our metalanguage built from constants, continuous functions and variables using tuple construction, application and abstraction, then  $e$  is continuous in all variables.

We prove by induction on structure of  $e$  that  $e$  is continuous in its variables. Assume that all subexpressions of  $e$  are continuous in all their variables. If  $e$  is a ...

- continuous function like  $\text{curry}$ ,  $\text{fix}$  or  $\pi_i$ , there is nothing to prove.
- constant  $c$ :  $\lambda x \in D. c$  is a constant function, and thus continuous
- variable  $y = x$ :  $\lambda x \in D. x$  is identity on  $D$
- variable  $y \neq x$ :  $\lambda x \in D. y$  is constant function
- tuple  $\langle e_1, \dots, e_n \rangle$ : From induction hypothesis we know that  $\lambda x \in D. e_i$  is continuous for  $i = 1, \dots, n$ .  
From the previous lecture we know that  $\lambda x \in D. \langle e_1, \dots, e_n \rangle$  is continuous in  $x$  as long as each of  $\lambda x \in D. e_i$  is continuous in  $x$ .

- application  $c(e')$ , where  $c$  is a continuous function: By the induction hypothesis,  $\lambda x \in D. e'$  is continuous. Thus,  $\lambda x \in D. c(e') = c \circ \lambda x \in D. e'$  is continuous, since composition of continuous functions is continuous.
- abstraction  $\lambda y \in E. e'$ , where  $y = x$ :  $\lambda x \in D \lambda y \in E. e' = \lambda x \in D \lambda x \in D. e'$  is a constant function, thus continuous
- abstraction  $\lambda y \in E. e'$ , where  $y \neq x$ : From induction hypothesis,  $e'$  is continuous, thus  $e'\{\pi_1 p/x\}$  is continuous and also  $e'\{\pi_1 p/x\}\{\pi_2 p/y\}$  is continuous in its variables. Since  $\lambda x \in D. \lambda y \in E. e' = \text{curry}(\lambda p \in D \times E. e'\{\pi_1 p/x\}\{\pi_2 p/y\})$  and curry maps continuous functions to continuous functions,  $\lambda x \in D. \lambda y \in E. e'$  is also continuous.

Note that application of  $e_1$  to  $e_2$  is  $e_1(e_2) = \text{apply}(\langle e_1, e_2 \rangle)$  and fix  $e$  is an application of a continuous function fix to  $e$ , so both are covered by the cases above.

## REC

Let's apply the metalanguage to define the semantics of a simple language REC. A program in REC consists of a *declaration* of functions:

$$d := f_1(x_1, x_2, \dots, x_{a_1}) = e_1, \dots, f_n(x_1, x_2, \dots, x_{a_n}) = e_n$$

where each expression on the right-hand side of each function definition has the form

$$e := n \mid x \mid e_0 \oplus e_1 \mid \mathbf{ifz} \ e_0 \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2 \mid f_i(e_1, \dots, e_{a_i})$$

and an expression  $e$ . Thus, a program is a pair  $(d, e)$ .

### An Example

We can write a REC program for computing the next prime number after 1000 (note: true=0, false=1)

$$\begin{aligned} f_1(n, m) &= \mathbf{ifz} \ m * m > n \ \mathbf{then} \ 0 \ \mathbf{else} \ \mathbf{ifz} \ n \% m \ \mathbf{then} \ 1 \ \mathbf{else} \ f_1(n, m + 1) \\ f_2(n) &= \mathbf{ifz} \ f_1(n, 2) \ \mathbf{then} \ n \ \mathbf{else} \ f_2(n + 1) \\ f_2(1000) \end{aligned}$$

Thus REC is expressive enough to handle recursive functions and we can code up loops of them.

### Operational semantics of REC

We define a configuration of a program to be a pair  $(d, e)$ , where  $d$  are the function definitions and  $e$  is an expression.

Interesting cases of rules:

$$\begin{aligned} (d, n_1 \oplus n_2) &\rightarrow (d, n) & n &= n_1 \oplus n_2 \\ (d, \mathbf{ifz} \ n \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2) &\rightarrow (d, e_1) & n &= 0 \\ (d, \mathbf{ifz} \ n \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2) &\rightarrow (d, e_2) & n &\neq 0 \\ (d, f_i(n_1, \dots, n_{a_i})) &\rightarrow (d, e_i\{n_1/x_1, \dots, n_{a_i}/x_{a_i}\}) \end{aligned}$$

Note that we need no rule for  $(d, x) \rightarrow ?$ , since we always substitute away free variables.

## CBV Denotational semantics

Suppose we are given values of variables ( $\rho$ ) and meaning of functions ( $\phi$ ) appearing our language. Formally, we have

$$\begin{aligned}\rho &\in \mathbf{Env} = (\mathbf{Var} \rightarrow \mathcal{Z}) \\ \phi &\in \mathbf{Fenv} = (\mathcal{Z}^{a_1} \rightarrow \mathcal{Z}_\perp) \times \dots \times (\mathcal{Z}^{a_n} \rightarrow \mathcal{Z}_\perp) \\ \mathcal{C}[[e]] &\in \mathbf{Denotation} = \mathbf{Fenv} \rightarrow \mathbf{Env} \rightarrow \mathcal{Z}_\perp\end{aligned}$$

Then we can define the meaning  $\mathcal{C}[[e]]\phi\rho$  of an expression  $e$  inductively as follows:

$$\begin{aligned}\mathcal{C}[[n]]\phi\rho &= \lfloor n \rfloor \\ \mathcal{C}[[x]]\phi\rho &= \lfloor \rho(x) \rfloor \\ \mathcal{C}[[e_0 \oplus e_1]]\phi\rho &= \mathcal{C}[[e_0]]\phi\rho \oplus_\perp \mathcal{C}[[e_1]]\phi\rho \\ \mathcal{C}[[\mathbf{ifz} \ e_0 \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2]]\phi\rho &= \text{let } n = \mathcal{C}[[e_0]]\phi\rho. \text{if } n = 0 \text{ then } \mathcal{C}[[e_1]]\phi\rho \text{ else } \mathcal{C}[[e_2]]\phi\rho \\ \mathcal{C}[[f_i(e_1, \dots, e_{a_i})]]\phi\rho &= \text{let } n_1 = \mathcal{C}[[e_1]]\phi\rho \dots \text{let } n_{a_i} = \mathcal{C}[[e_{a_i}]]\phi\rho. \\ &\quad (\pi_i\phi)(\langle \mathcal{C}[[e_1]]\phi\rho, \dots, \mathcal{C}[[e_{a_i}]]\phi\rho \rangle)\end{aligned}$$

Of course, we would like to find  $\phi$  such that its  $i$ -th component has the same meaning as  $e_i$ :

$$\pi_i\phi = \lambda y_1, \dots, y_{a_i} \in \mathcal{Z}. \mathcal{C}[[e_i]]\phi\rho[x_1 \mapsto y_1, \dots, x_{a_i} \mapsto y_{a_i}]$$

for every  $i = 1, \dots, n$  and every  $\rho$ .

For every  $\rho$ , this defines an equation

$$\begin{aligned}\phi &= \langle \lambda v \in \mathcal{Z}^{a_1}. \mathcal{C}[[e_1]]\phi\rho[x_1 \mapsto \pi_1 v, \dots, x_{a_1} \mapsto \pi_{a_1} v], \\ &\quad \dots \\ &\quad \lambda v \in \mathcal{Z}^{a_n}. \mathcal{C}[[e_n]]\phi\rho[x_1 \mapsto \pi_1 v, \dots, x_{a_n} \mapsto \pi_{a_n} v] \rangle\end{aligned}$$

The  $\emptyset$  is a variable environment with no bindings – no variable is defined. We take  $\rho = \emptyset$  and find a fixed point:

$$\begin{aligned}\delta &= \text{fix } \lambda\phi \in (\mathcal{Z}^{a_1} \rightarrow \mathcal{Z}_\perp) \times \dots \times (\mathcal{Z}^{a_n} \rightarrow \mathcal{Z}_\perp). \\ &\quad \langle \lambda v \in \mathcal{Z}^{a_1}. \mathcal{C}[[e_1]]\phi\rho[x_1 \mapsto \pi_1 v, \dots, x_{a_1} \mapsto \pi_{a_1} v], \\ &\quad \dots \\ &\quad \lambda v \in \mathcal{Z}^{a_n}. \mathcal{C}[[e_n]]\phi\rho[x_1 \mapsto \pi_1 v, \dots, x_{a_n} \mapsto \pi_{a_n} v] \rangle\end{aligned}$$

Since for a fixed expression  $e$ ,  $\mathcal{C}[[e_n]]$  is built using only allowed operations, it is continuous. The domain  $(\mathcal{Z}^{a_1} \rightarrow \mathcal{Z}_\perp) \times \dots \times (\mathcal{Z}^{a_n} \rightarrow \mathcal{Z}_\perp)$  is pointed, thus we are guaranteed to find the least fixed point  $\delta$ . We may thus define the meaning of an expression to be  $\mathcal{C}[[e]]\delta\emptyset$ .