# CS5740: Natural Language Processing Spring 2017

## Phrase-based Translation

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## Overview

- Learning phrases from alignments
- A phrase-based model
- Decoding in phrase-based model
- MT evaluation

## Phrase-based Models

- First stage in training a phrase-based (PB) model is extraction of PB lexicon
- A PB lexicon pairs strings in one language with string in another language, e.g.,

```
\begin{array}{lll} \text{nach Kanada} & \leftrightarrow & \text{in Canada} \\ \text{zur Konferenz} & \leftrightarrow & \text{to the conference} \\ \text{Morgen} & \leftrightarrow & \text{tomorrow} \\ \text{fliege} & \leftrightarrow & \text{will fly} \\ \end{array}
```

. . .

## An Example

A training example:

Spanish: Maria no daba una bofetada a la bruja verde

English: Mary did not slap the green witch

Some (not all) phrase pairs extracted from this example:

```
(Maria \leftrightarrow Mary), (bruja \leftrightarrow witch), (verde \leftrightarrow green), (no \leftrightarrow did not), (no daba una bofetada \leftrightarrow did not slap), (daba una bofetada a la \leftrightarrow slap the)
```

 We will see how to do this using alignments from IBM models (e.g., IBM Model 2)

## Recap: IBM Model 2

- IBM Model 2 defines a distribution  $p(a, f \mid e, m)$  where f is a target (French) sentence, e is an source (English) sentence, a is an alignment, m is the length of the foreign sentence
- A useful by-product: for any pair (f, e), can calculate

$$a^* = \arg\max_{a} p(a|f, e, m) = \arg\max_{a} p(a, f|e, m)$$

where  $a^*$  is the most likely alignment

English: Mary did not slap the green witch

Spanish: Maria no daba una bofetada a la bruja verde

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where  $a^*$  is the most likely alignment

English: Mary did not slap the green witch

Spanish: Maria no daba una bofetada a la bruja verde

# Representation as Alignment Matrix

	Maria	no	daba	una	bof'	а	la	bruja	verde
Mary	•								
did						•			
not		•							
slap									
the									
green									
witch									

bof' = bofetada

 In IBM Model 2, each target (Spanish) word is aligned to exactly one English word. The matrix shows these alignments.

## Finding Alignment Matrices

- Step 1: train IBM Model 2 for p(f|e), and find the most likely alignment for each (e, f) pair
- Step 2: train IBM Model 2 for p(e|f), and find the most likely alignment for each (e, f) pair
- Given the two alignments, take the intersection of the two as a starting point

#### Alignment from $p(f \mid e)$ model:

	Maria	no	daba	una	bof'	a	la	bruja	verde
Mary									
did									
not									
slap									
the									
green									
witch									

### Alignment from $p(e \mid f)$ model:

	Maria	no	daba	una	bof'	a	la	bruja	verde
Mary									
did									
not									
slap									
the									
green									
witch									

#### Intersection of the two alignments:

	Maria	no	daba	una	bof'	а	la	bruja	verde
Mary	•								
did									
not									
slap					•				
the							•		
green									
witch									

The intersection of the two alignments has been found to be a very reliable starting point

## Heuristics for Growing Alignments

- Only explore alignment in **union** of p(f|e) and p(e|f) alignments
- Add one alignment point at a time
- Only add alignment points which align a word that currently has no alignment
- At first, restrict to alignment points that are "neighbors" (adjacent or diagonal) of current alignment points
- Later, consider other alignment points

The final alignment, created by taking the intersection of the two alignments, then adding new points using the growing heuristics:

	Maria	no	daba	una	bof'	а	la	bruja	verde
Mary									
did									
not									
slap				•	•				
the						•	•		
green									
witch									

Note that the alignment is no longer many-to-one: potentially multiple Spanish words can be aligned to a single English word, and vice versa.

# Extracting Phrase Pairs from the Alignment Matrix

	Maria	no	daba	una	bof'	а	la	bruja	verde
Mary									
did		•							
not		•							
slap			•		•				
the						•	•		
green									
witch									

- A phrase-pair consists of a sequence of source (English) words, e, paired with a sequence of target (French) words, f
- A phrase-pair (*e*, *f*) is **consistent** if:
  - There is at least one word in e aligned to a word in f
  - There are no words in f aligned to words outside e
  - There are no words in e aligned to words outside f
- Extract all consistent phrase pairs from the training example

# Extracting Phrase Pairs from the Alignment Matrix

	Maria	no	daba	una	bof'	а	la	bruja	verde
Mary	•								
did									
not									
slap			•	•	•				
the						•	•		
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witch									

- A phrase-pair consists of a sequence of source (English) words, e, paired with a sequence of target (French) words, f
- A phrase-pair (e, f) is consistent if:
  - There is at least one word in *e* aligned to a word in *f*
  - There are no words in f aligned to words outside e
  - There are no words in *e* aligned to words outside *f*
- Extract all consistent phrase pairs from the training example

```
(Maria, Mary)
  (no, did not)
  (Maria no, Mary did not)
  X (no daba, did not slap)
  (no daba una bof', did not slap)
  (daba una bof', slap)
  (a la, the)
  (verde, green)
  (bruja, witch)
  (bruja verde, green witch)
  X (la bruja verde ,the green witch)
```

## Probabilities for Phrase Pairs

 For any phrase pair (f,e) extracted from the training data, can calculate:

$$t(f|e) = \frac{\mathrm{count}(\mathbf{f},\mathbf{e})}{\mathrm{count}(\mathbf{e})}$$
 • For example:

$$t(\text{daba una bofetada}|\text{slap}) = \frac{\text{count}(\text{daba una bofetada}, \text{slap})}{\text{count}(\text{slap})}$$

Probabilistic model?

## Example Phrase Translation Table

An example from Koehn, EACL 2006 tutorial. (Note that we have t(e|f) not t(f|e) in this example.)

Phrase Translations for den Vorschlag

English	t(e f)	English	t(e f)
the proposal	0.6227	the suggestions	0.0114
's proposal	0.1068	the proposed	0.0114
a proposal	0.0341	the motion	0.0091
the idea	0.0250	the idea of	0.0091
this proposal	0.0227	the proposal ,	0.0068
proposal	0.0205	its proposal	0.0068
of the proposal	0.0159	it	0.0068
the proposals	0.0159		

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Today

Heute werden wir uber die Wiedereroffnung des Mont-Blanc-Tunnels diskutieren

Score = 
$$\underbrace{ \log q(\mathsf{Today} \mid *, *) }_{\mathsf{Language model}}$$
 
$$+ \underbrace{ \log t(\mathsf{Heute} \mid \mathsf{Today}) }_{\mathsf{Phrase model}}$$
 
$$+ \underbrace{ \eta \times 0 }_{\mathsf{Distortion model}}$$

Distortion model

Today we shall be debating

Heute werden wir uber die Wiedereroffnung

des Mont-Blanc-Tunnels diskutieren

```
Today we shall be debating the reopening

Heute werden wir uber die Wiedereroffnung

des Mont-Blanc-Tunnels diskutieren
```

Today we shall be debating the reopening
of the Mont Blanc tunnel
Heute werden wir uber die Wiedereroffnung
des Mont-Blanc-Tunnels diskutieren

Today we shall be debating the reopening
of the Mont Blanc tunnel
Heute werden wir uber die Wiedereroffnung
des Mont-Blanc-Tunnels diskutieren

# Key problem? Language model score Phrase score Distortion score Search the space of choices

## Overview

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## Phrase-based Translation

An example sentence:

wir müssen auch diese kritik ernst nehmen

A phrase-based lexicon contains phrase entries (f,e) where f is a sequence of one or more foreign words, e is a sequence of one or more English words. Example phrase entries that are relevant to our example:

(wir müssen, we must)

(wir müssen auch, we must also)

(ernst, seriously)

Each phrase (f,e) has a score g(f,e). E.g.,

$$g(f, e) = \log \left( \frac{\mathsf{Count}(f, e)}{\mathsf{Count}(e)} \right)$$

## Definitions

- A phrase-based model consists of:
  - 1. A phrase-based lexicon, consisting of entries (f, e) such as

(wir müssen, we must)

Each lexical entry has a score g(f, e), e.g.,

$$g(\text{wir müssen, we must}) = \log \left( \frac{\text{Count}(\text{wir müssen, we must})}{\text{Count}(\text{we must})} \right)$$

- 2. A trigram language model, with parameters q(w|u,v). E.g.,  $q(\mathsf{also}|\mathsf{we,\ must})$ .
- 3. A "distortion parameter"  $\eta$  (typically negative).

## Definitions

#### An example sentence:

#### wir müssen auch diese kritik ernst nehmen

- For a particular input (source-language) sentence  $x_1 ldots x_n$ , a phrase is a tuple (s,t,e), signifying that the subsequence  $x_s ldots x_t$  in the source language sentence can be translated as the target-language string e, using an entry from the phrase-based lexicon. E.g., (1,2), we must
- $ightharpoonup \mathcal{P}$  is the set of all phrases for a sentence.
- For any phrase p, s(p), t(p) and e(p) are its three components. g(p) is the score for a phrase.

## **Definitions**

- A derivation y is a finite sequence of phrases,  $p_1, p_2, \dots p_L$ , where each  $p_j$  for  $j \in \{1 \dots L\}$  is a member of  $\mathcal{P}$ .
- ightharpoonup The length L can be any positive integer value.
- For any derivation y we use e(y) to refer to the underlying translation defined by y. E.g.,

```
y = (1, 3, \text{ we must also}), (7, 7, \text{ take}), (4, 5, \text{ this criticism}), (6, 6, \text{ seriously}) and
```

e(y) =we must also take this criticism seriously

## Valid Derivations

- For an input sentence  $x = x_1 \dots x_n$ , we use  $\mathcal{Y}(x)$  to refer to the set of valid derivations for x.
- $\mathcal{Y}(x)$  is the set of all finite length sequences of phrases  $p_1p_2\dots p_L$  such that:
  - ▶ Each  $p_k$  for  $k \in \{1 ... L\}$  is a member of the set of phrases  $\mathcal{P}$  for  $x_1 ... x_n$ .
  - ► Each word in *x* is translated exactly once.
  - ▶ For all  $k \in \{1 \dots (L-1)\}$ ,  $|t(p_k)+1-s(p_{k+1})| \leq d$  where  $d \geq 0$  is a parameter of the model. In addition, we must have  $|1-s(p_1)| \leq d$

## Examples

#### **Distortion limit = 4**

#### wir müssen auch diese kritik ernst nehmen

y = (1, 3, we must also), (7, 7, take), (4, 5, this criticism), (6, 6, seriously)

y = (1, 3, we must also), (1, 2, we must), (4, 5, this criticism), (6, 6, seriously)

y = (1, 2, we must), (7, 7, take), (3, 3, also), (4, 5, this criticism), (6, 6, seriously)

## Examples

#### **Distortion limit = 4**

wir müssen auch diese kritik ernst nehmen

- y = (1, 3, we must also), (7, 7, take), (4, 5, this criticism), (6, 6, seriously)
- X y = (1, 3, we must also), (1, 2, we must), (4, 5, this criticism), (6, 6, seriously)
- y = (1, 2, we must), (7, 7, take), (3, 3, also), (4, 5, this criticism), (6, 6, seriously)

## Valid Derivations

- For an input sentence  $x = x_1 \dots x_n$ , we use  $\mathcal{Y}(x)$  to refer to the set of valid derivations for x.
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How many valid derivation exist?

## Scoring Derivations

The optimal translation under the model for a source-language sentence  $\boldsymbol{x}$  will be

$$\arg \max_{y \in \mathcal{Y}(x)} f(y)$$

In phrase-based systems, the score for any derivation y is calculated as follows:

$$h(e(y)) + \sum_{k=1}^{L} g(p_k) + \sum_{k=0}^{L-1} \eta \times |t(p_k) + 1 - s(p_{k+1})|$$

where the parameter  $\eta$  is the distortion penalty (typically negative). (We define  $t(p_0) = 0$ ).

h(e(y)) is the trigram language model score.  $g(p_k)$  is the phrase-based score for  $p_k$ .

## Example

$$h(e(y)) + \sum_{k=1}^{L} g(p_k) + \sum_{k=0}^{L-1} \eta \times |t(p_k) + 1 - s(p_{k+1})|$$

wir müssen auch diese kritik ernst nehmen

y = (1, 3, we must also), (7, 7, take), (4, 5, this criticism), (6, 6, seriously)

## Example

$$h(e(y)) + \sum_{k=1}^{L} g(p_k) + \sum_{k=0}^{L-1} \eta \times |t(p_k) + 1 - s(p_{k+1})|$$

wir müssen auch diese kritik ernst nehmen

y = (1, 3, we must also), (7, 7, take), (4, 5, this criticism), (6, 6, seriously)

log  $p(\text{we} \mid *, *) + \log p(\text{must} \mid \text{we}, *) + \log p(\text{also} \mid \text{must}, \text{we}) + \log p(\text{take} \mid \text{also}, \text{must}) \cdot \cdot \cdot + \log p(\text{seriously} \mid \text{criticism}, \text{this}) + g(1, 3, \text{we must also}) + g(7, 7, \text{take}) + g(4, 5, \text{this criticism}) + g(6, 6, \text{seriously}) + \eta |0 + 1 - 1| + \eta |3 + 1 - 7| + \eta |7 + 1 - 4| + \eta |5 + 1 - 6|$ 

## Decoding Algorithm: Definitions

A state is a tuple

$$(e_1, e_2, b, r, \alpha)$$

where  $e_1, e_2$  are English words, b is a bit-string of length n, r is an integer specifying the end-point of the last phrase in the state, and  $\alpha$  is the score for the state.

▶ The initial state is

$$q_0 = (*, *, 0^n, 0, 0)$$

where  $0^n$  is bit-string of length n, with n zeroes.

#### State Length: len(q)

- Given a state q, len(q) is the number of words translated
  - The number of 1's in the bitmask b

# States and the Search Space

wir müssen auch diese kritik ernst nehmen

y = (1, 3, we must also), (7, 7, take), (4, 5, this criticism), (6, 6, seriously)

(\*, \*, 0000000, 0, 0)

#### States and the Search Space

wir müssen auch diese kritik ernst nehmen

y = (1, 3, we must also), (7, 7, take), (4, 5, this criticism), (6, 6, seriously)

 $(*, *, 0000000, 0, 0) \rightarrow (\text{must}, \text{also}, 1110000, 3, ?) \rightarrow (\text{also}, \text{take}, 1110001, 7, ?) \rightarrow (\text{this}, \text{criticism}, 1111101, 5, ?) \rightarrow (\text{criticism}, \text{seriously}, 1111111, 6, ?)$ 

#### **Transitions**

- We have ph(q) for any state q, which returns set of phrases that are allowed to follow state  $q=(e_1,e_2,b,r,\alpha)$ .
- For a phrase p to be a member of ph(q), it must satisfy the following conditions:
  - ▶ p must not overlap with the bit-string b. I.e., we need  $b_i = 0$  for  $i \in \{s(p) \dots t(p)\}$ .
  - The distortion limit must not be violated. More formally, we must have  $|r+1-s(p)| \leq d$  where d is the distortion limit.

#### Transition Function: Example

wir müssen auch diese kritik ernst nehmen

X (3, 3, also)

 $\mathbf{X}$  (1, 2, we must)

(must, also, 1110000, 3, -2.5)  $\lor$  (6, 6, seriously)

 $\vee$  (4, 5, this criticism)

 $\lor$  (5, 6, criticism seriously)

 $\lor$  (5, 5, review)

#### Transition Function: Example

wir müssen auch diese kritik ernst nehmen

```
(6,6, seriously)
(4,5, this criticism)
(5,6, criticism seriously)
(5,5, review)
```

In addition, we define next(q,p) to be the state formed by combining state q with phrase p.

#### The *next* function

Formally, if  $q=(e_1,e_2,b,r,\alpha)$ , and  $p=(s,t,\epsilon_1...\epsilon_M)$ , then next(q,p) is the state  $q'=(e'_1,e'_2,b',r',\alpha')$  defined as follows:

- ▶ First, for convenience, define  $\epsilon_{-1} = e_1$ , and  $\epsilon_0 = e_2$ .
- ▶ Define  $e_1' = \epsilon_{M-1}$ ,  $e_2' = \epsilon_M$ .
- ▶ Define  $b'_i = 1$  for  $i \in \{s \dots t\}$ . Define  $b'_i = b_i$  for  $i \notin \{s \dots t\}$
- ▶ Define r' = t
- Define

$$\alpha' = \alpha + g(p) + \sum_{i=1}^{M} \log q(\epsilon_i | \epsilon_{i-2}, \epsilon_{i-1}) + \eta \times |r+1-s|$$

next((must, also, 1110000, 3, ?), (7, 7, take)) = (also, take, 1110001, 7, ?)

# The Equality Function

► The function

returns true or false.

Assuming  $q=(e_1,e_2,b,r,\alpha)$ , and  $q'=(e'_1,e'_2,b',r',\alpha')$ , eq(q,q') is true if and only if  $e_1=e'_1$ ,  $e_2=e'_2$ , b=b' and r=r'.

# The Decoding Algorithm

- Inputs: sentence  $x_1 \dots x_n$ . Phrase-based model  $(\mathcal{L}, h, d, \eta)$ . The phrase-based model defines the functions ph(q) and next(q, p).
- ▶ Initialization: set  $Q_0 = \{q_0\}$ ,  $Q_i = \emptyset$  for  $i = 1 \dots n$ .
- ▶ For i = 0 ... n 1
  - ▶ For each state  $q \in \text{beam}(Q_i)$ , for each phrase  $p \in ph(q)$ :
    - $(1) q' = \mathsf{next}(q, p)$
    - (2)  $Add(Q_i, q', q, p)$  where i = len(q')
- ▶ Return: highest scoring state in  $Q_n$ . Backpointers can be used to find the underlying sequence of phrases (and the translation).

# Definition of Add (Q,q',q,p)

- ▶ If there is some  $q'' \in Q$  such that eq(q'', q') = True:
  - If  $\alpha(q') > \alpha(q'')$ 
    - $Q = \{q'\} \cup Q \setminus \{q''\}$
    - ightharpoonup set bp(q')=(q,p)
  - Else return
- Else
  - $Q = Q \cup \{q'\}$
  - set bp(q') = (q, p)

# Definition of beam(Q)

Define

$$\alpha^* = \arg\max_{q \in Q} \alpha(q)$$

i.e.,  $\alpha^*$  is the highest score for any state in Q.

Define  $\beta \geq 0$  to be the *beam-width* parameter Then

$$\mathsf{beam}(Q) = \{ q \in Q : \alpha(q) \ge \alpha^* - \beta \}$$

# The Decoding Algorithm

- Inputs: sentence  $x_1 \dots x_n$ . Phrase-based model  $(\mathcal{L}, h, d, \eta)$ . The phrase-based model defines the functions ph(q) and next(q, p).
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#### Automatic Evaluation

- Human evaluations: subject measures, fluency/adequacy
- Automatic measures: n-gram match to references
  - NIST measure: n-gram recall (worked poorly)
  - BLEU: n-gram precision (no one really likes it, but everyone uses it)
- BLEU:
  - P1 = unigram precision
  - P2, P3, P4 = bi-, tri-, 4-gram precision
  - Weighted geometric mean of P1-4
  - Brevity penalty (why?)
  - Somewhat hard to game...

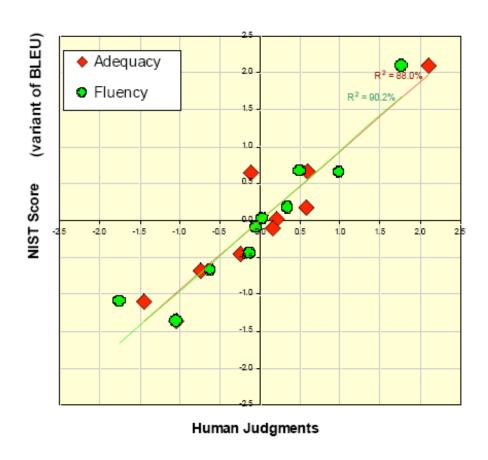
#### Reference (human) translation:

The U.S. island of Guam is maintaining a high state of alert after the Guam airport and its offices both received an e-mail from someone calling himself the Saudi Arabian Osama bin Laden and threatening a biological/chemical attack against public places such as the airport.

#### Machine ransfation:

The American [?] international airport and its the office al receives one calls self the sand Arab rich business [?] and so on electronic mail, which sends out; The threat will be able after public place and so on the airport to start the biochemistry attack, [?] highly alerts after the maintenance.

# Correlation with Human Evaluataion



slide from G. Doddington (NIST)