# 4: Application Protocols: SMTP and others

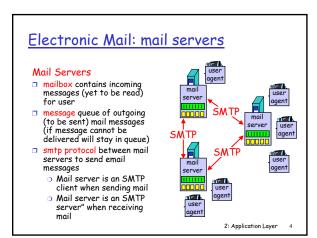
Last Modified: 2/3/2003 8:07:08 PM

2: Application Layer

# Electronic Mail

2: Application Layer

# Electronic Mail Three major components: user agents mail servers simple mail transfer protocol: smtp User Agent a.k.a. "mail reader" composing, editing, reading mail messages e.g., Eudora, Outlook, elm, Netscape Messenger outgoing, incoming messages stored on server 2: Application Layer 3



## Electronic Mail: smtp [RFC 2821]

- Uses tcp to reliably transfer email msg from client to server, port 25
- direct transfer: sending server to receiving server
- □ three phases of transfer
  - o handshaking (greeting)
  - o transfer of messages
  - o closure
- command/response interaction
  - o commands: ASCII text
  - o response: status code and phrase
  - Much like HTTP

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# **SMTP History**

- □ SMTP has been around a long time
  - ORFC done in 1982
  - In use well before that
- Messages must be in 7-bit ASCII (made sense in text-based early days)
- Requires encoding for binary data (jpegs, etc.) in 7-bit ASCII (yuck!)

### Sample smtp interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C:
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
                                      2: Application Layer
```

### try smtp interaction for yourself:

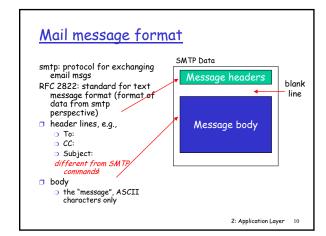
- □ telnet servername 25
- □ see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands
- above lets you send email without using email client (reader)
- ☐ How do you know the right server name?
- Trace it does your mail data go in the clear?

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# What is missing?

- Some commands processed by SMTP protocol mirror mail headers we are used to seeing in our email messages (To, From, ...), but are not the same things
- Email headers (To, From, CC, Subject, Date, ...) are considered part of the data by SMTP and are not processed SMTP server at all!
- Email headers are processed by the mail reader software and ignored by SMTP
  - How is Bcc implemented?
- Another example of "protocol" layering (like HTML and HTTP)

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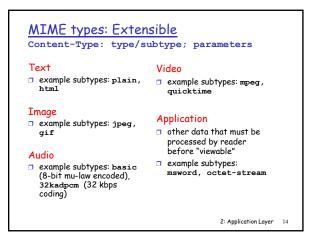
# Sample smtp interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
S: 354 Enter mail, end with "." on a line by itself
C: To: bob@hamburger.edu
C: Subject: dinner preferences
C: From: alice@crepes.fr
C: Do you like ketchup?
C:
     How about pickles?
C:
S: 250 Message accepted for delivery
S: 221 hamburger.edu closing connection
                                           2: Application Layer
```

# SMTP format

- SMTP requires that message (header & body) be in 7-bit ascii
- Certain character strings are not permitted in message (e.g., CRLF. CRLF). Thus message has to be encoded (usually into either base-64 or quoted printable)
- SMTP server uses CRLF.CRLF to determine end of message

### What about sending pictures and other binary data? ■ Don't try this by hand ③ MIME: multimedia mail extension, RFC 2045, 2056 additional lines in msg header declare MIME content type From: alice@crepes.fr MIME version To: bob@hamburger.edu Subject: Picture of yummy crepe. method used MIME-Version: 1.0 to encode data Content-Transfer-Encoding: base64 Content-Type: image/jpeg multimedia data type, subtype, parameter declaration .....base64 encoded data encoded data 2: Application Layer



# Multipart Type From: alice@crepes.fr To: bob@hamburger.edu Subject: Picture of yummy crepe. MIME-Version: 1.0 Content-Type: multipart/mixed; boundary=98766789 --98766789 Content-Transfer-Encoding: quoted-printable Content-Type: text/plain Dear Bob, Please find a picture of a crepe. --98766789 Content-Transfer-Encoding: base64 Content-Type: image/jpeg base64 encoded data .... ......base64 encoded data --98766789--

# Spam/forged mail "Received:" and "MessageID" headers are part of the data Accurate and helpful from legitimate servers and user agents Start with a legitimate server you trust Don't relay messages from a site outside your domain to another host outside your domain Verify the Mail From field (resolvable domain and matching IP address) Refuse traffic from known spammers

# From dophysever@eal.com Saf Sap 4 16:9541 1999 Received: from cit2.CS.Berkeley.EDU (cat2.CS.Berkeley.EDU [169:229.60.56]) by environment.CS.Berkeley.EDU (cat2.CS.Berkeley.EDU [169:229.60.56]) by environment.CS.Berkeley.EDU (cat2.CS.Berkeley.EDU [169:229.60.56]) by environment.CS.Berkeley.EDU (63:26.50) with SaMT in GA.AKCOBS for ijem@ealsepool.CS.Berkeley.EDU; Saft. Saft pills 98:258-2070.CDU (63:26.50) Received: from mail serf-refere.com (mail serf-refere.c

# Tracking and Reporting Spam

- □ Record IP address of sender and time and date of message transfer
- Spamcop uses a combination of tools like dig, nslookup and finger to cross-check all the information in an email header and find the email address of the system administrator responsible for the network from which the mail was sent
- postmaster@domain or abuse@domain

2: Application Layer

## Multiple recipients

- When you send mail to your outgoing mail server, transfer one copy of message regardless of how many recipients
  - Great for spammers ⊗
- □ Mail servers could play the same trick
  - O Look at RCPT to list
  - If more than one recipient per destination mail server then transfer just one mail
- Could also send one copy per recipient
  - O Recommended configuration?

2: Application Layer 1

## Email viruses

- Often attachments which once opened run with the users full privileges and corrupt the system on which mail is read
- □ Viruses tend to target Windows as it is the platform used by the majority of people

2: Application Layer 20

### SMTP vs HTTP

- □ Smtp: persistent connections like HTTP 1.1
- □ Both have ASCII command/response interaction, status codes
- http: each object is encapsulated in its own response message
- smtp: multiple objects message sent in a multipart message
- □ http: pull; smtp: push

2: Application Layer 21

# Outgoing Mail Server?

- Why not just SMTP server on local machine?
- "Push not pull" means your PC must be constantly on to accept "push"

2: Application Layer 22

# SMTP = outgoing

- Notice we didn't see any SMTP commands to "get" or "retrieve" mail
- SMTP is for outgoing mail only
- How do we get mail?
  - Early days: log on to server and read mail from a mailbox = file on server
  - How many people still read mail that way? (I do)
  - Today many people read mail on their PC
  - O How do they get their mail from the mail server?

2: Application Layer 23

# Incoming mail?









sender's mail server receiver's mail server

Mailbox file

- POP: Post Office Protocol [RFC 1939] authorization (agent <->server) and download
- 1 IMAP: Internet Mail Access Protocol [RFC 1730] more features (more complex) manipulation of stored messages on server
- HTTP: Hotmail , Yahoo! Mail, etc.
  - Why not use HTTP to transfer random things like email?
  - Convenient don't need mail reader just the ubiquitous web browser
- Other?

### POP3 protocol S: +OK POP3 server ready C: user alice S: +OK authorization phase C: pass hungry client commands: S: +OK user successfully logged on o user: declare username C: list o pass: password s: 1 498 □ server responses S: 2 912 → +OK s: -ERR C: retr 1 <message 1 contents> transaction phase, client-1 list: list message numbers C: dele 1 retr: retrieve message by C: retr 2 <message 1 contents> number dele: delete C: dele 2 □ Ouit C: quit S: +OK POP3 server signing off 2: Application Layer 25

### try POP interaction for yourself:

- □ telnet servername 110
- □ see "OK POP3 server ready" reply from server
- enter user, pass, list, retr, dele commands

above lets you send get you own email without using email client (reader)

Trace it – do your password *and mail data* go in the clear?

Do you configure your mail reader to pop mail every X minutes? Same as announcing your password regularly!

2: Application Layer 26

### **IMAP**

- Allows user to set up and maintain multiple folders (for sorting mail) on the remote server
- Can get headers for and manipulate messages without downloading them (can even download individual MIME attachments)
  - O Don't pay cost to download over slow link
  - Don't leave them on insecure computers
- Stateful protocol stores per user information about folders and the status of the messages in them
  - Folder information, actual messages
  - Seen, Deleted, Answered flags per message

2: Application Layer 27

# IMAP con't

- During an IMAP connection, the server transitions between multiple states
  - Initially non-authenticated
  - Authenticated
  - Selected folder selected and operations on messages permitted
  - Finally, Logout state

2: Application Layer 28

### Authentication in IMAP

- □ Client requests a certain AUTHENTICATION method
  C: A001 AUTHENTICATE KERBEROS\_V4
- If server implements that authentication mechanism then it will authenticate via that method

S: + AmFYig==

C: BAcAQU5EUKVXLKNNVS5FRFUAOCAsho84kL
N3/JmrMG+25a4DT+nZlmJjnTNHJUtxAA+o0KPKfH
EcAFs9a3CL5Oebe/ydHJUwYFd

S: + or//EoAADZI=

C: DiAF5A4gA+oOIALuBkAAmw==

S: A001 OK Kerberos V4 authentication successful

- Sever can respond with NO if it does not support that authentication mechanism
  - S: A001 NO authenticate failure

2: Application Layer 2

# Authentication in IMAP (cont)

- Client can try various authentication mechanisms in decreasing order of preference looking for one the server supports
- In the worst case, a client may authenticate with plain text login

C: a001 LOGIN SMITH SESAME S: a001 OK LOGIN completed

## Once authenticated, client can:

- □ SELECT a mailbox
  - C: A142 SELECT INBOX
  - S: \* 172 EXISTS S: \* 1 RECENT
  - S: \* OK [UNSEEN 12] Message 12 is first unseen
  - S: \* OK [UIDVALIDITY 3857529045] UIDs valid
  - S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft)
  - S: \* OK [PERMANENTFLAGS (\Deleted \Seen \\*)] Limited
  - S: A142 OK [READ-WRITE] SELECT completed
- CREATE, RENAME or DELETE mailboxes
- FETCH messages from a mailboxSEARCH through messages
- □ APPEND messages to a mailbox

2: Application Layer 31

# Pop vs IMAP

- Similarities
  - O Mail delivered to a shared, constanly connected server
  - New mail accessible anywhere in network on a variety of platforms
  - o For access only, Need SMTP to send mail
- Differences
  - POP simpler and more established (more clients and servers that support it)
  - IMAP is stateful protocol with more features; POP uses less server resources
  - IMAP = prioritize download time; POP = shorter overall connection time

2: Application Layer 32

## Network News

Thanks to Jeffrey Vinocur (NNTP presentation, Spring 2002)

2: Application Layer 33

# What is Usenet?

- Reading/posting to Usenet newsgroups
- □ Conceptually: a semi-organized collection of forums ("newsgroups") for public discussion
- Technically: a system for distributing email-like messages

2: Application Layer 34

# Usenet Messages

- Format: like email, but a bit stricter and with some extra headers (e.g., Newsgroups) - we don't care about this today, except for two important headers
- Message-ID: unlike email, every message truly needs to have a globally unique identifier
- Path: we'll see this header later

Path: news.litech.org!lnsnews.lns.cornell.edu!paradoxa.ogoense.net!not-for-meow From: meowbot@meowing.net (A Meowbot)

Newsgroups: alt.dev.null

Subject: Why?

Date: Sun, 27 Jan 2002 23:25:52 +0000 (UTC)

Organization: a tyranny of meowing fascist censor cabalists

Lines: 4

Approved: nope.

Message-ID: <mW.3C548C72.8BC5@K0deZ.scriptkiddie.net>

X-Trace: paradoxa.ogoense.net 1012173952 6565 141.154.205.147 (27 Jan

2002 23:25:52 GMT)

X-Complaints-To: abuse@ogoense.net X-Meow: Wouf

Mail-Copies-To: nobody

X-No-Repost: yes

X-No-Repost: yes Xref: news.litech.org alt.dev.null:492

Because we like you.

Meow

2: Application Layer 3

# Network Topology

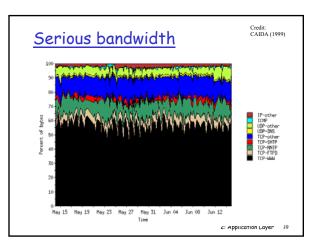
- □ Users connect to a local site
- Each site may have several servers for better throughput
- Sites are connected by (manuallyrequested and -configured) peering links to other sites
- □ Major sites have hundreds of peers

2: Application Layer 37

# So I post...then what?

- The goal is for every article to make it to every server in the world - the "floodfill" model
- This can be as fast as a few seconds or as long as a few days (normally a few hours)

2: Application Layer 38



### An article arrives...

This can be either a new post from a user or an article being "fed" from a peering server.

- The server's "name" added to the Path header (history of where the article has been)
- 2. The server stores the article so users can read it
- For each of the server's peers, determine if the peer has seen the article already (first check for peer's name in Path header, then ask the peer about the Message-ID)
- Send the article to peers who do not have it

2: Application Layer 40

# Path headers and Message-IDs

Let's trace an article. The initial component (at the end!) of the Path header marks the original posting server; then the originating server adds its name:

Path: paradoxa.ogoense.net!not-for-meow

Then this article gets fed to a another server and then add their hostname:

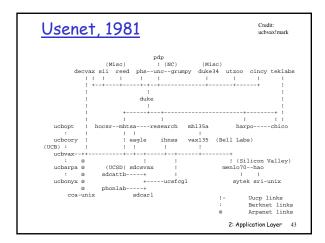
Path: Insnews.Ins.cornell.edulparadoxa.ogoense.net!not-for-meow

And then it gets fed to another server...

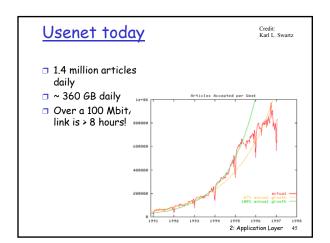
Path: news.litech.org!lnsnews.lns.cornell.edu!paradoxa.ogoense.net!not-for-meow

2: Application Layer 41

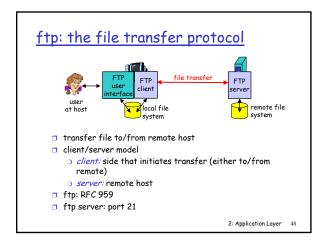
# Usenet, 1980 reed phs \underselow \undern







Usenet is like a herd of performing elephants with diarrhea - massive, difficult to redirect, awe-inspiring, entertaining, and a source of mind-boggling amounts of excrement when you least expect it. - Professor Gene Spafford, Purdue University



### ftp: separate control, data connections

- ftp client contacts ftp server at port 21, specifying TCP as transport protocol
- two parallel TCP connections opened (both full duplex):
  - control: exchange commands, responses between client, server. "out of band control"
  - data: file data to/from server, can be used in either direction, need not always exist
- ftp server maintains "state": current directory, earlier authentication



2: Application Layer 4

### ftp commands, responses

### Sample commands:

- sent as ASCII text over control channel
- □ USER username
- □ PASS password (sent in clear text!)
- □ LIST return list of file in current directory
- □ RETR filename retrieves (gets) file
- STOR filename stores (puts) file onto remote host

### Sample return codes

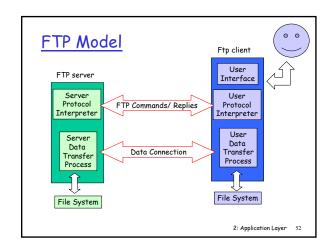
- status code and phrase (as in http)
- □ 331 Username OK, password required
- ☐ 125 data connection already open; transfer starting
- 425 Can't open data connection
- ☐ 452 Error writing file

2: Application Layer 50

### FTP Connection

- Client connects to port 21 on server; this established the control channel
- Over the control channel, the client specifies the characteristics including IP address and port number for data connection (note: needn't be on the same machine as the client)
- Can ask server to set up a passive connection for the data port as well (good for getting around firewalls)
- Server tries to connect to data port as specified by client
- Once established data connection can be used in both directions

2: Application Layer 51



# Multimedia Applications

# **Multimedia Applications**

- Audio/video conferencing, streaming audio, etc.
  - On-demand playback: could download before beginning playback; could support rewind, fast forward etc.; startup time and RTT not very important
  - Live transmission: usually broadcast from one source like TV or radio; much like on demand; no rewind or fast forward; more sensitive to delay (how close to live?)
  - Conferencing: interactive, start-up time and RTT matter alot
- Examples: vic (video conferencing), vat (audio conferencing), RealAudio, Quicktime, WindowsMedia

2: Application Layer

# Requirements of multimedia

- Several methods for compressing and encoding voice/video; sender and receiver negotiate
- Ability to display stream (at degraded quality) with lost packets
- Ability to specify the timing requirements between packets of related data for smooth playback
- □ Frame boundary indication
- Synchronization of related audio and video streams
- □ No retransmission of lost packets

2: Application Layer 5

# Real-time Transport Protocol (RTP)

- TCP overhead to high; UDP not good enough
- Initially, each application had its own protocol, implementing only those parts of TCP it really needed on top of UDP
- RTP offers generalized real time transport services
  - Thin protocol; Runs on top of UDP
  - Implements functionality commonly needed by multimedia applications - timing reconstruction, loss detection, security and content identification
  - o RFC 1889

2: Application Layer

# Realtime Transport (?) Protocol

- ☐ Is this an application level protocol or a transport protocol?
  - Done at application level
  - If TCP implemented at application level (good project ⑤), does that make it an application level protocol or a transport level protocol?
- Where is the right place to put these features?

2: Application Layer 57

# Real-time Streaming Protocol (RTSP)

- □ Network "Remote Control"
  - Like FTP has data channel and control channel; RTSP is the control channel for streaming audio/video
  - Not used to deliver data; often uses RTP for the data portion
- Establishes and controls audio and video delivery
  - Single or multiple audio/video streams (time synchronization if desired)
  - O Live feeds or stored clips
- Industry consortium announced in 1996 since then?
  - Mostly development continued on proprietary versions: Real Network's (originally Progressive Networks) RealMedia, RealAudio and RealPlayer, Quicktime, WindowsMedia???

2: Application Layer 58

# RTSP Requests

- DESCRIBE description of presentation
- OPTIONS get supported methods; capability announcements
- □ SETUP establish a new session
- PLAY start playback/streaming; reposition
- □ ANNOUNCE change description of presentation
- RECORD start recording
- REDIRECT redirect client to a new server; for load balancing
- □ PAUSE -stop delivery but keep state
- □ TEARDOWN stop delivery, remove state

Trying RTSP

□ telnet servername 554

C: DESCRIBE rtsp://streamserver/rafile.rm RTSP/1.0\n\n

S: RTSP/1.0 200

2: Application Layer 6

## Trying RTSP (2)

C: SETUP rtsp://audio.example.com/twister/audio RTSP/1.0 Transport: rtp/udp; compression; port=3056; mode=PLAY S: RTSP/1.0 200 1 OK

Session 4231

C: PLAY rtsp://audio.example.com/twister/audio.en/lofi RTSP/1.0

Session: 4231 Range: npt=0-

C: PAUSE rtsp://audio.example.com/twister/audio.en/lofi RTSP/1.0

Session: 4231 Range: npt=37

C: TEARDOWN rtsp://audio.example.com/twister/audio.en/lofi RTSP/1 0

Session: 4231 5: 200 3 OK

2: Application Layer 61

## RTSP vs HTTP

- RTSP actually derived from HTTP
  - Avoid mistakes (like always specify full URI)
  - More methods of course
- RTSP server needs to maintain state from SETUP to control PLAY command; HTTP server is stateless (uses cookies to trick client into remembering it)
- Data can be delivered in or out of band with RTSP;
   HTTP data delivered in band
- RTSP is a symmetric protocol (client and server can both isssue requests); HTTP client issues requests
  - Ex. server can announce new available streams (audio from a new participant in a conference)

2: Application Layer 62

### Session Description Formats

- □ Format for describing the number and sources for all streams in a presentation
- May offer alternatives
  - O Different audio channels in various languages
  - Different quality of audio/video for various BW connections
- Specify timing requirements between various streams
- □ Examples: SDF, SDP

2: Application Layer 63

# SDP example

session (v 0)(o mhandley 2890844526 2890842807 IN IP4 126.16.64.4)

(s Sd seminar)(i A seminar on the session description protocol) (u http://www.cs.ucl.ac.uk/staff/M.Handley/sdp.01.ps)

(e M.Handley@cs.ucl.ac.uk (Mark Handley))

(c IN IP4 224.2.17.12/127)(t 2873397496 2873404696)

(a recvonly) (all (media (m audio 3456 VAT PCMU))

(media (m video 2232 RTP H261))

(media (m whiteboard 32416 UDP WB)(orient portrait)) ))

From:

http://www.cs.columbia.edu/~hgs/rtsp/sdf.html

2: Application Layer 64

# From URL in web page to streaming audio/video

- <EMBED SRC="http://server/foo.sdf" TYPE =
   "application/x-audio">
- HTTP gets session or presentation description file (not part of RTSP) from a web server
- Presentation Description indicates RTSP server to contact
  - O Note: RTSP is presentation description format neutral
- RTSP sets up a stream to control delivery
- RTSP used to indicate server that will actually stream the data and by what protocol
  - O Ex. specify an RTP server to deliver the data
- □ Note: possibly 3 servers involved!

2: Application Layer

# <u> Alternative: HTTP Streaming</u>

- Many sites simply send audio and video over HTTP
- When object arrives will be opened by appropriate application just like Doc files or PDF files
- Estimate when it is safe to begin playback without the playback outpacing the download
- Download mode and a limited streaming mode can be supported this way
  - Rewind? Fast forward?
  - Can support full streaming if delays ok

# <u>Audio and Video on the</u> Internet

- Quicktime
  - HTTP streaming or RTP and RTSP
- RealServer
  - o one control channel: RTSP over TCP
  - one data channel: PNA (Progressive Networks Audio) over UDP (?)
  - Also can use RTSP to interleave data and control onto one TCP channel (common configuration)
- WindowsMedia
  - o Similar to RealPlayer: control channel and data channel
  - O Harder to find details of protocols (surprise, surprise)
  - But formats are not compatible (surprise, surprise)

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# More Application Level Protocols?

- You now know how to investigate any of these on your own
  - RFCs for open protocols, Run apps and trace them, Get client/server source,...
- It would be a lot more fun to learn more than application level protocols though, right?

2: Application Layer

### Roadmap

- We've looked at a bunch of application level protocols (HTTP, DNS, SMTP, POP, IMAP, NNTP, RTP, ...) - Lessons?
  - Many were human readable why?
  - High level examples of protocol layering (SMTP, HTTP)
  - O Some ran on TCP, some on UDP, one on both why?
  - Used telnet/nslookup to interact with these protocols more directly
  - Traced them (What went in clear text?!)
- □ Food-for-thought: Design a "Telephone Protocol"
- Next.. How would we implement an application level protocol ourselves?
  - Socket API
- After that down to transport layer

2: Application Layer 69

# Outtakes

2: Application Layer 70

# telnet source

- We've been using telnet to examine various application protocols
- □ telnet basically opens a TCP connection to the specified port
- Getting the telnet source and examining it would be a good exercise

# Real Time Control Protocol (RTCP)

- Real-time conferencing of groups of any size within an internet.
- Provides source identification, quality-ofservice feedback from receivers to the multicast group, synchronization of different media streams

2: Application Layer

# ReSerVation Protocol (RSVP)

- □ Host can use to request specific quality of service from the network for a specific flow of data
- Must be processed and honored at each router to be meaningful
  - Works much like dynamic routing protocols; messages processed by applications at user level
  - o If a flow is "admitted" then resource reservation decisions will be made in form of packet classifier and schedulers that will prioritize the use of resources
- □ Cisco's take on RSVP
  - http://www.cisco.com/univercd/cc/td/doc/cisintwk/ito\_ doc/rsvp.htm