

# CS4740 Intro to NLP

- **Last classes: part-of-speech tagging**
  - part-of-speech tagging
  - hidden Markov model (HMM)
- **Today: another sequence tagging application in NLP**
  - named entity recognition (NER)
  - introduction to MEMMs

# NE Identification

- **Identify all named locations, named persons, named organizations, dates, times, monetary amounts, and percentages.**

The delegation, which included the commander of the **U.N.** troops in **Bosnia**, Lt. Gen. Sir Michael Rose, went to the Serb stronghold of **Pale**, near **Sarajevo**, for talks with Bosnian Serb leader Radovan Karadzic.

Este ha sido el primer comentario publico del presidente Clinton respecto a la crisis de **Oriente Medio** desde que el secretario de Estado, Warren Christopher, decidiera regresar precipitadamente a **Washington** para impedir la ruptura del proceso de paz tras la violencia desatada en el sur de **Libano**.

1. **Locations**
2. **Persons**
3. **Organizations**

**Figure 1.1 Examples.** Examples of correct labels for English text and for Spanish text.

# Guidelines need to be specified

- *The Wall Street Journal* : artifact or organization?
- *White House* : organization or location?
- Is a street name a location?
- Should *yesterday* and *last Tuesday* be labeled as dates?
- Is *mid-morning* a time?

# Examples

1. **MATSUSHITA ELECTRIC INDUSTRIAL CO.** HAS REACHED AGREEMENT ...
2. IF ALL GOES WELL, **MATSUSHITA** AND ROBERT BOSCH WILL ...
3. **VICTOR CO. OF JAPAN (JVC)** AND SONY CORP. ...
4. IN A FACTORY OF **BLAUPUNKT WERKE**, A **ROBERT BOSCH SUBSIDIARY**, ...
5. **TOUCH PANEL SYSTEMS**, **CAPITALIZED** AT 50 MILLION YEN, IS OWNED ...
6. **MATSUSHITA EILL** DECIDE ON THE PRODUCTION SCALE. ...

**Figure 2.1 English Examples.** Finding names ranges from the easy to the challenging. Company names are in boldface. It is crucial for any name-finder to deal with the underlined text.

# Training Data

- **Usually indicate NEs via SGML, XML, JSON**
  - Mark boundaries of expression
  - Label span with appropriate name class

# Approaches to NE identification

- **Handcrafted finite state patterns**
  - <proper noun><sup>+</sup> <corporate designator> → <corporation>
  - Can't easily capture typical naming conventions
    - “Boston Power & Light” (corporation, electric utility)
  - Time-consuming to define
  - Maintenance is a problem
    - E.g. moving to NYT from WSJ
  - Not generally portable to new languages

# HMM' s for NE identification

- View NE identification as a word-tagging task
  - e.g. part-of-speech tagging
- Local cues to identify named entities
- Goal: Train an HMM to label every word with one of the NE name classes or with a *not-a-name* class.
- Alternative: MEMMs, CRFs ...

## Identifinder [Bikel et al. 1997, 1999]

- First Hidden Markov model for recognizing and classifying named entities
- Outperformed other learning algorithms on standard data sets [MUC-6, MUC-7, MET-1]
- Competitive with approaches based on handcrafted rules on mixed case text
- Superior on text where case information isn't available

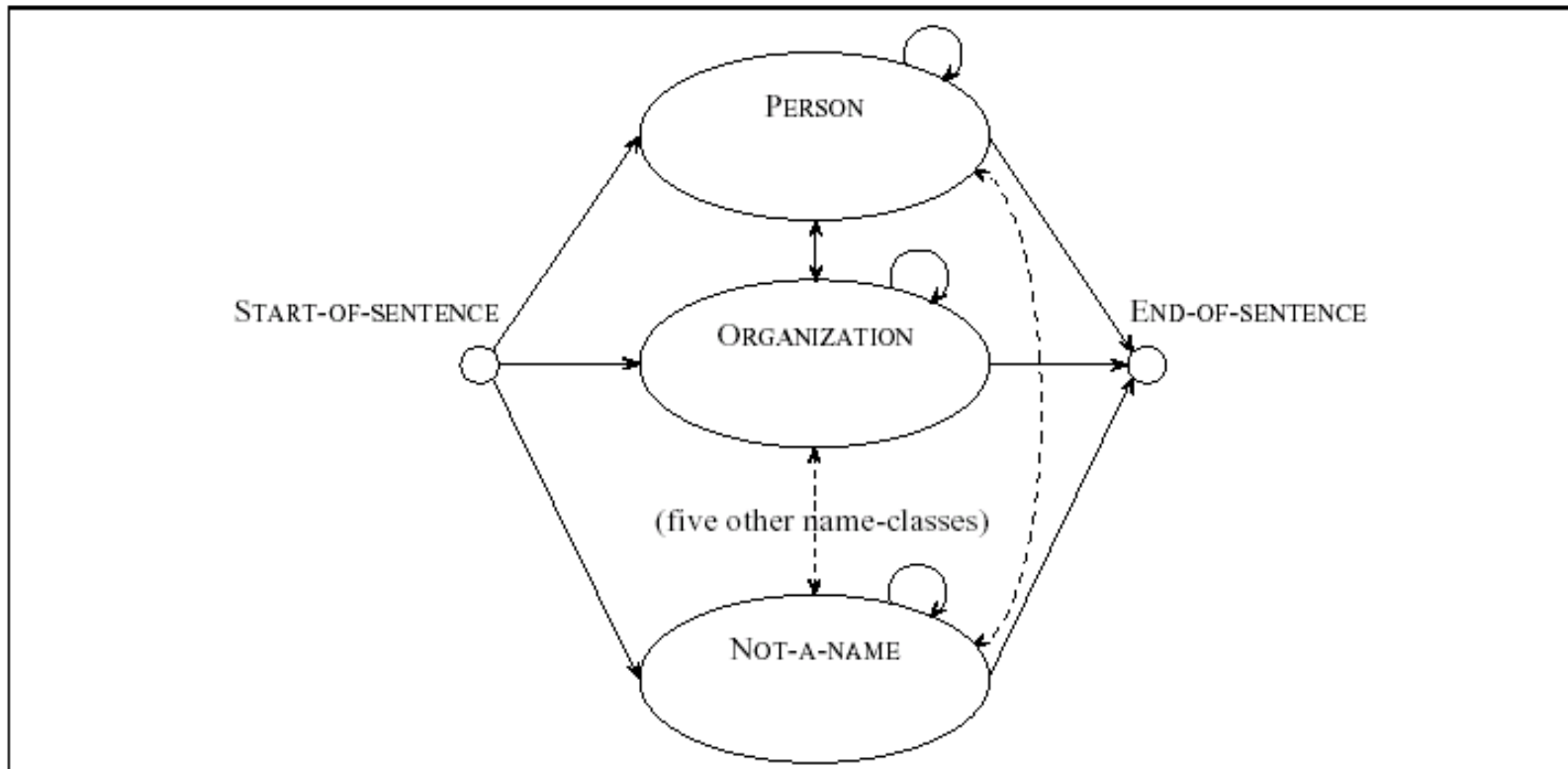


# Identifinder

- **Handles 7 classes of NE' s**
  - entity
    - person
    - organization
    - location
  - time expression
    - date
    - time
  - numeric expression
    - money
    - percent

# High-level view

**A hidden Markov model represents the process of generating the sequence of words and labels**



BBN's Identifinder (Bikel et al. 1999)

# States and transitions

- **States**
  - One for each name class
  - Special start and end states
- **Links have transition probabilities**
- **Each state also produces the words in each NE class (observables) based on**
  - the emission probability  $P(\langle \text{word} \rangle \mid \langle \text{state} \rangle)$

# Specifying the probabilities

- **Goal: Given a sequence of words  $W$ , find the sequence of name classes,  $NC$ , that maximizes  $P(NC|W)$**
- **Restate using Bayes rule**
  - $P(NC|W) = (P(NC) * P(W|NC)) / P(W)$
- **Make independence assumptions**
  - Approximate each term

$$P(NC_0, NC_1, \dots, NC_n) = \prod_{i=0}^n P(NC_i | NC_{i-1})$$

$$P(w_0, w_1, \dots, w_n | NC_0, NC_1, \dots, NC_n) = \prod_{i=0}^n P(w_i | NC_i)$$

# Identifinder model

- used slightly different approximations

$$P(NC_0, NC_1, \dots, NC_n) = \prod_{i=0}^n P(NC_i | NC_{i-1}, w_{i-1})$$

$$P(w_0, w_1, \dots, w_n | NC_0, NC_1, \dots, NC_n) = \prod_{i=0}^n P(w_i | NC_i, w_{i-1})$$

$$P(w_{first} | NC_i, NC_{i-1})$$

# Using the HMM

- **Goal: find the most likely sequence of name classes, given a sequence of words  $W$** 
  - $W$ : *Banks filed bankruptcy papers*
  - Compare the probability of
    - <person, not-a-name, not-a-name, not-a-name>
    - <not-a-name, not-a-name, not-a-name, not-a-name>
    - ...
  - As in HMMs for POS tagging, use the Viterbi algorithm.

# Example

- **Computing the probability of a word-NC sequence:**
  - Mr. <name=person>Bill</name> talks.

$P(\text{not-a-name} \mid \text{start-of-sentence, +end+})^*$

$P(\text{"Mr."} \mid \text{not-a-name, start-of-sentence})^*$

$P(\text{person} \mid \text{not-a-name, "Mr."})^*$

$P(\text{"Bill"} \mid \text{person, not-a-name})^*$

$P(\text{not-a-name} \mid \text{person, "Bill"})^*$

$P(\text{"talks"} \mid \text{not-a-name, person})^*$

$P(\text{"."} \mid \text{"talks", not-a-name})^*$

$P(\text{end-of-sentence} \mid \text{not-a-name, "."})$

# NE Results Using HMM' s

**Table 5.1 F-measure Scores.** This table illustrates IdentiFinder's performance as compared to the best reported scores for each category.

	<b>Language</b>	<b>Best Rules</b>	<b>IdentiFinder</b>
<b>Mixed Case</b>	<b>English (WSJ)</b>	<b>96.4</b>	<b>94.9</b>
<b>Upper Case</b>	<b>English (WSJ)</b>	<b>89</b>	<b>93.6</b>
<b>Speech Form</b>	<b>English (WSJ)</b>	<b>74</b>	<b>90.7</b>
<b>Mixed Case</b>	<b>Spanish</b>	<b>93</b>	<b>90</b>