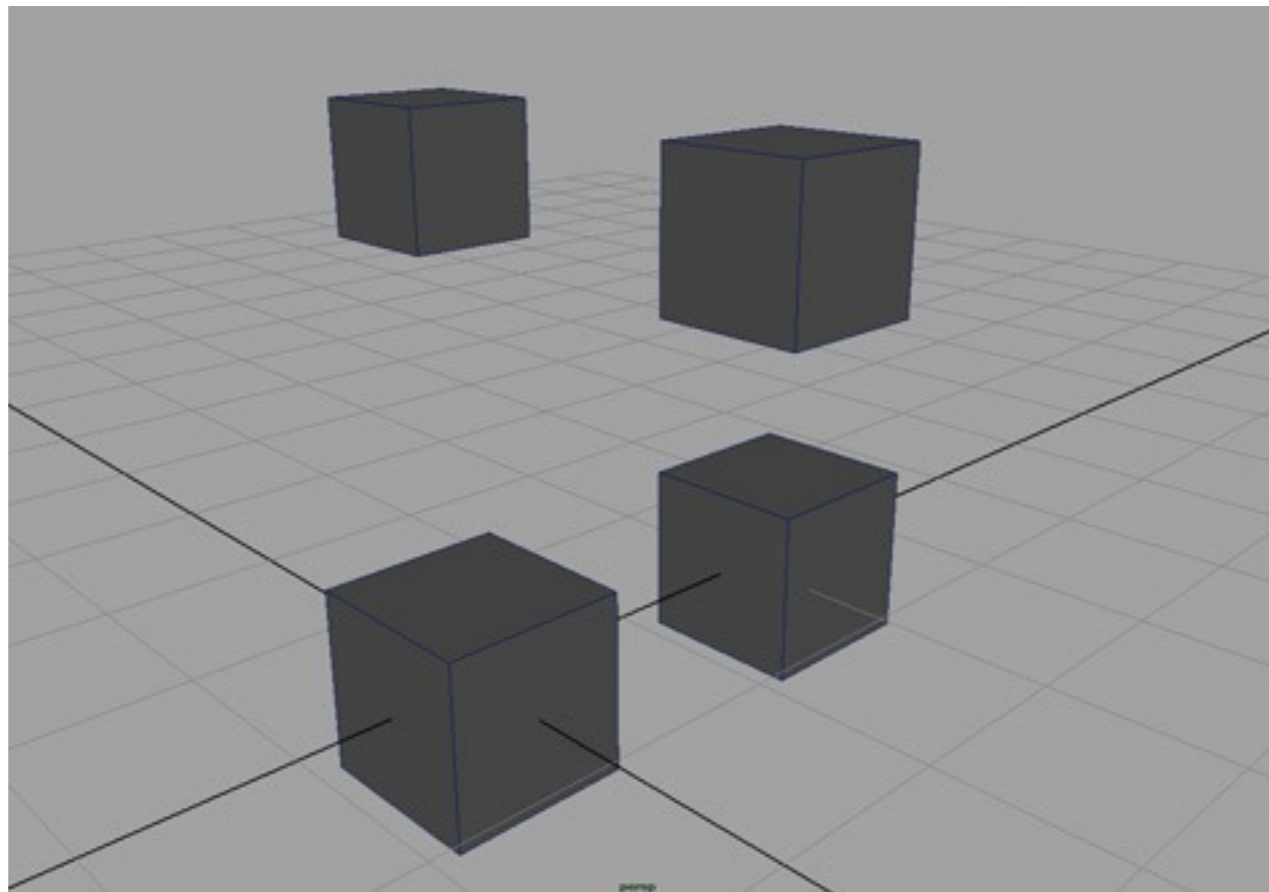


# 3D Transformations

## CS 4620 Lecture 10

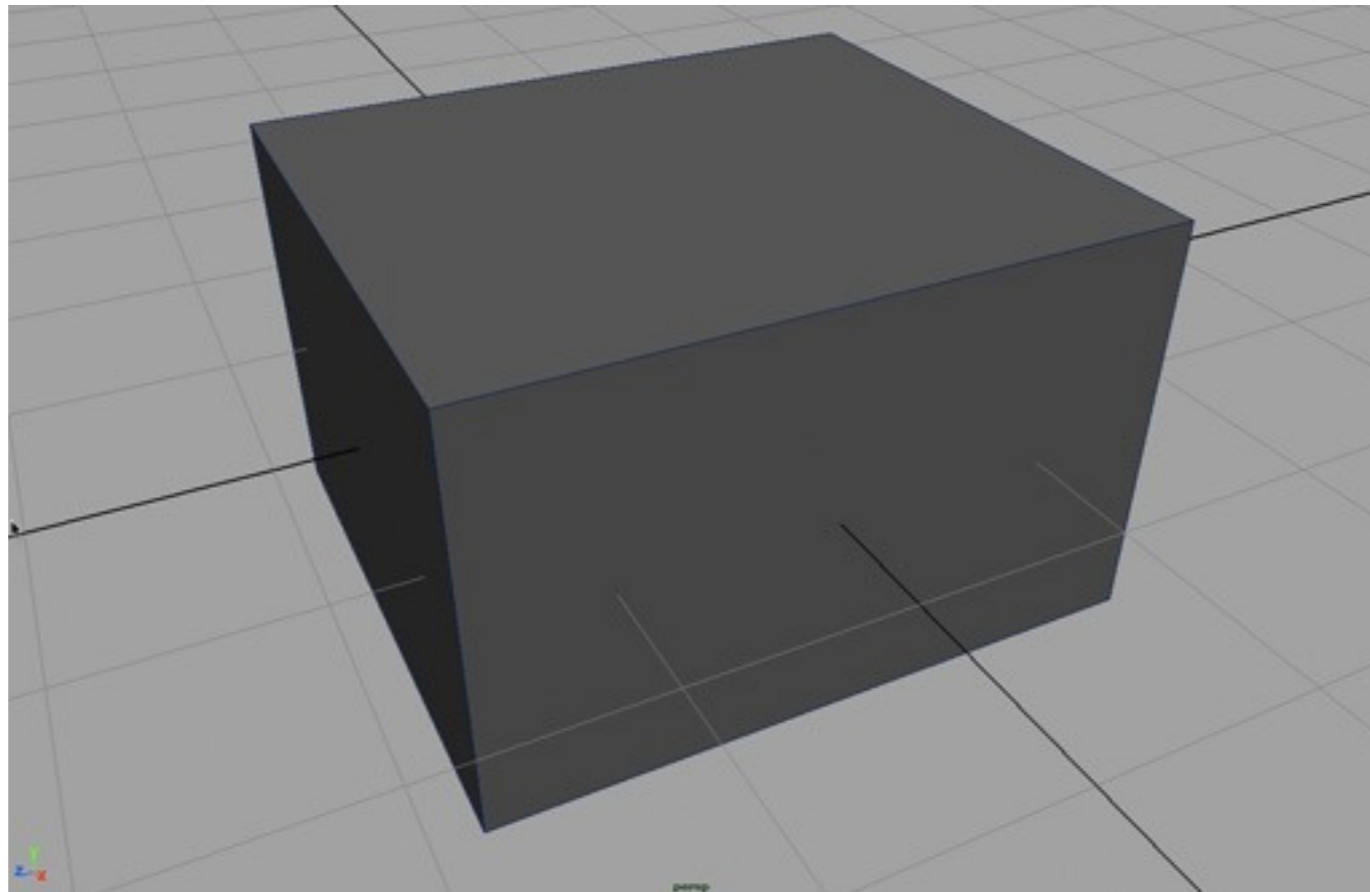
# Translation

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



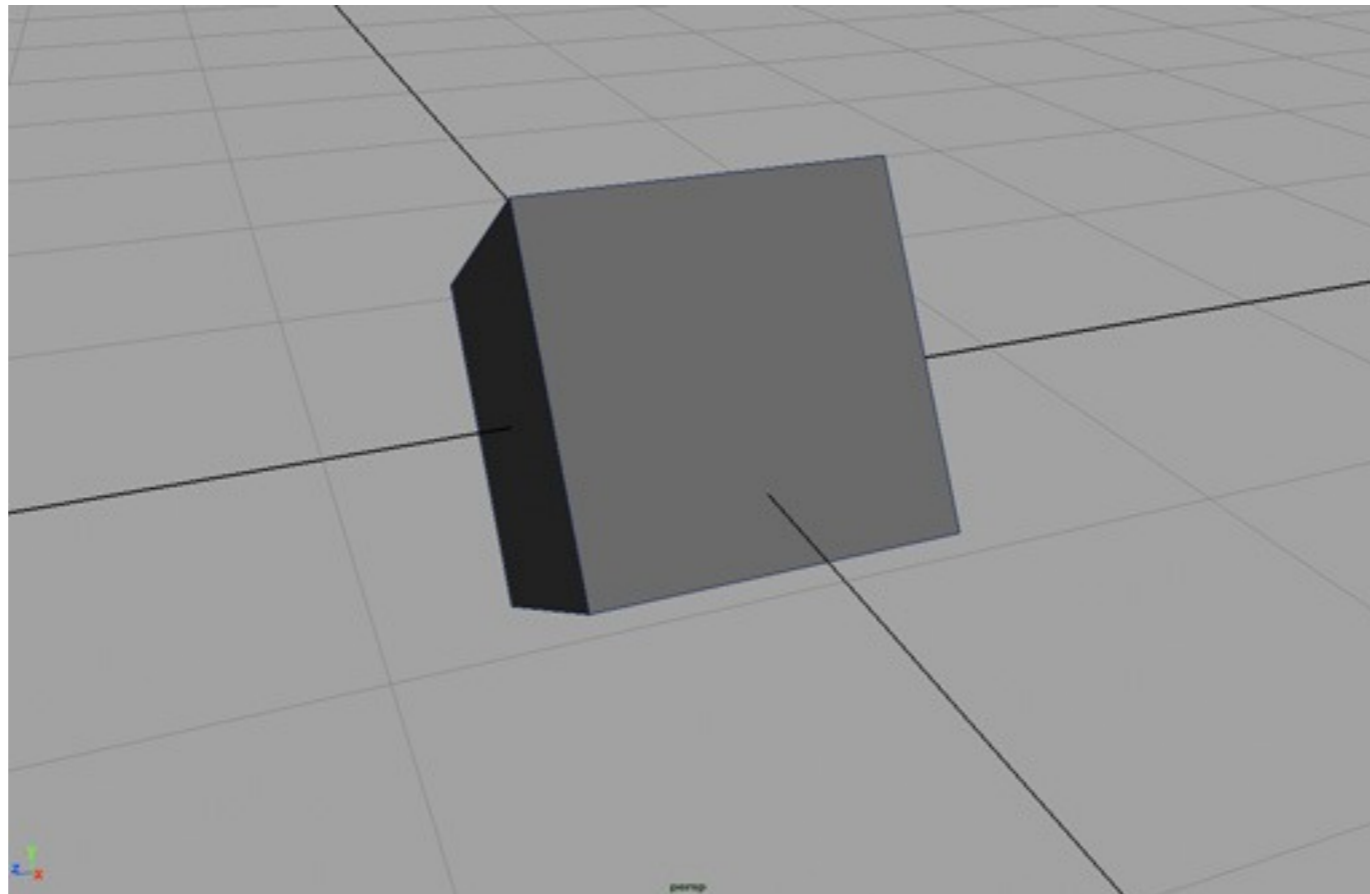
# Scaling

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



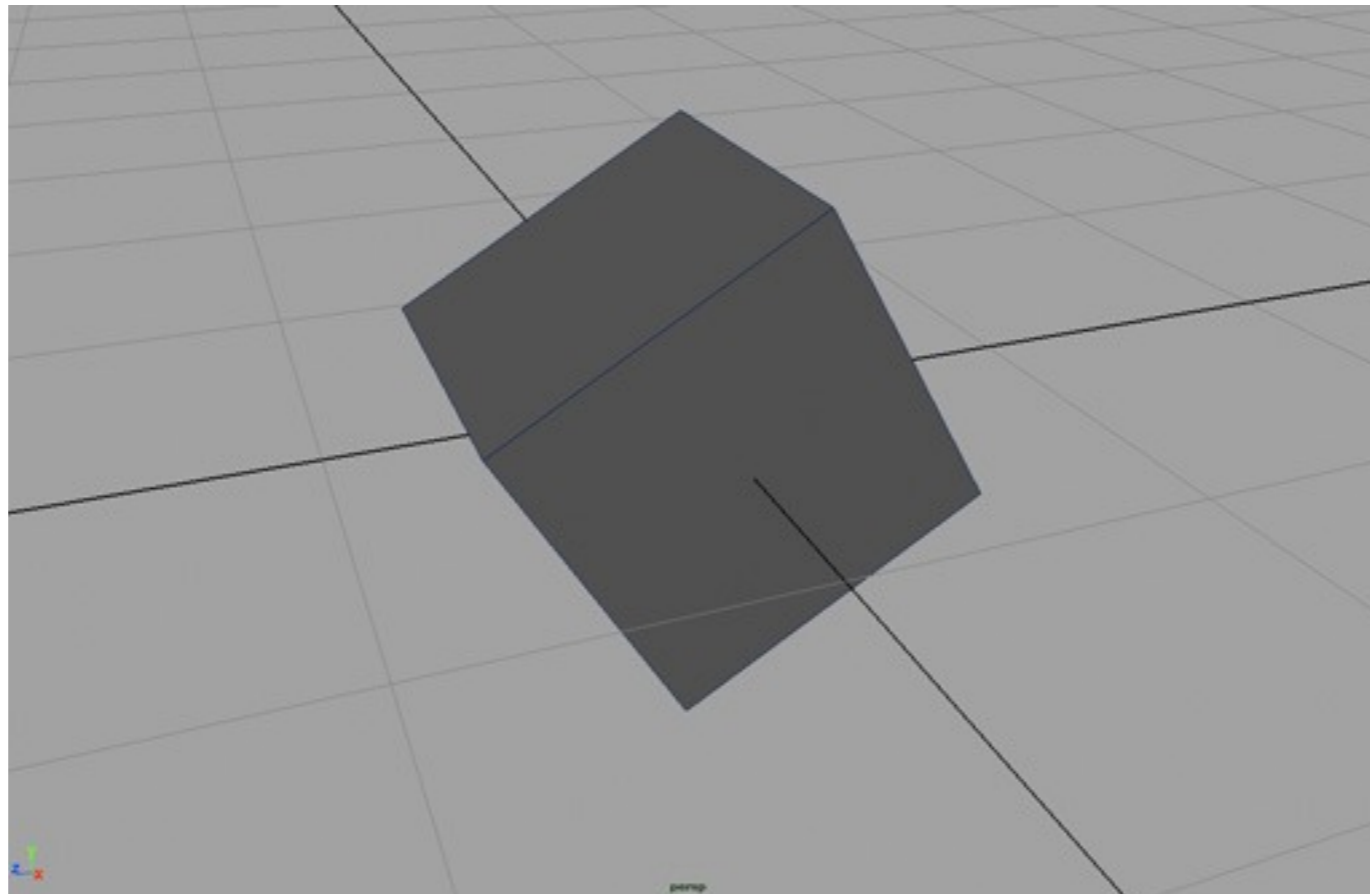
# Rotation about z axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



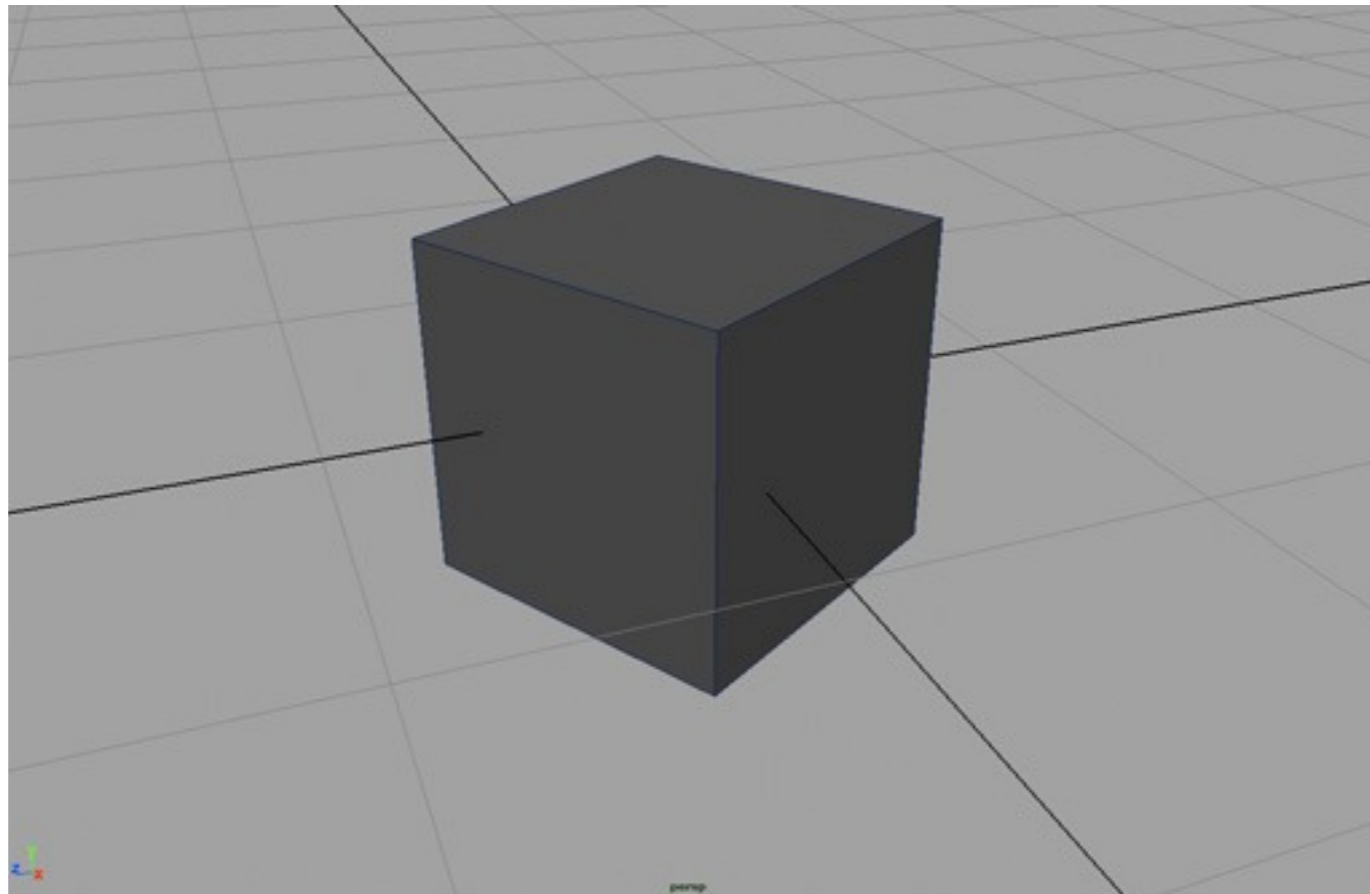
# Rotation about x axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



# Rotation about y axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

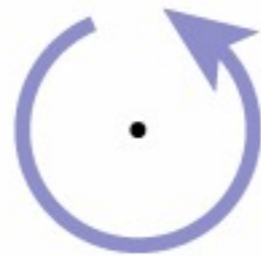


# Properties of Matrices

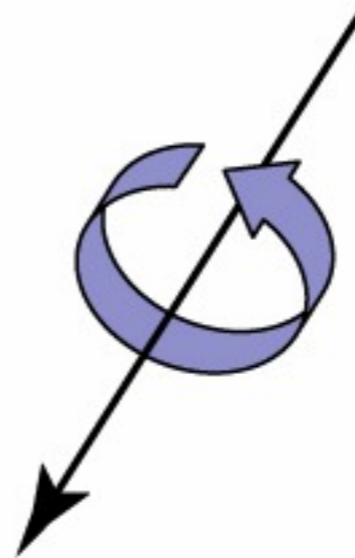
- Translations: linear part is the identity
- Scales: linear part is diagonal
- Rotations: linear part is orthogonal
  - Columns of  $R$  are mutually orthonormal:  $RR^T=R^TR=I$
  - Also, determinant of  $R$  is 1.0 [  $\det(R) = 1$  ]

# General Rotation Matrices

- A rotation in 2D is around a point
- A rotation in 3D is around an axis
  - so 3D rotation is w.r.t a line, not just a point
  - there are many more 3D rotations than 2D
    - a 3D space around a given point, not just 1D



2D



3D

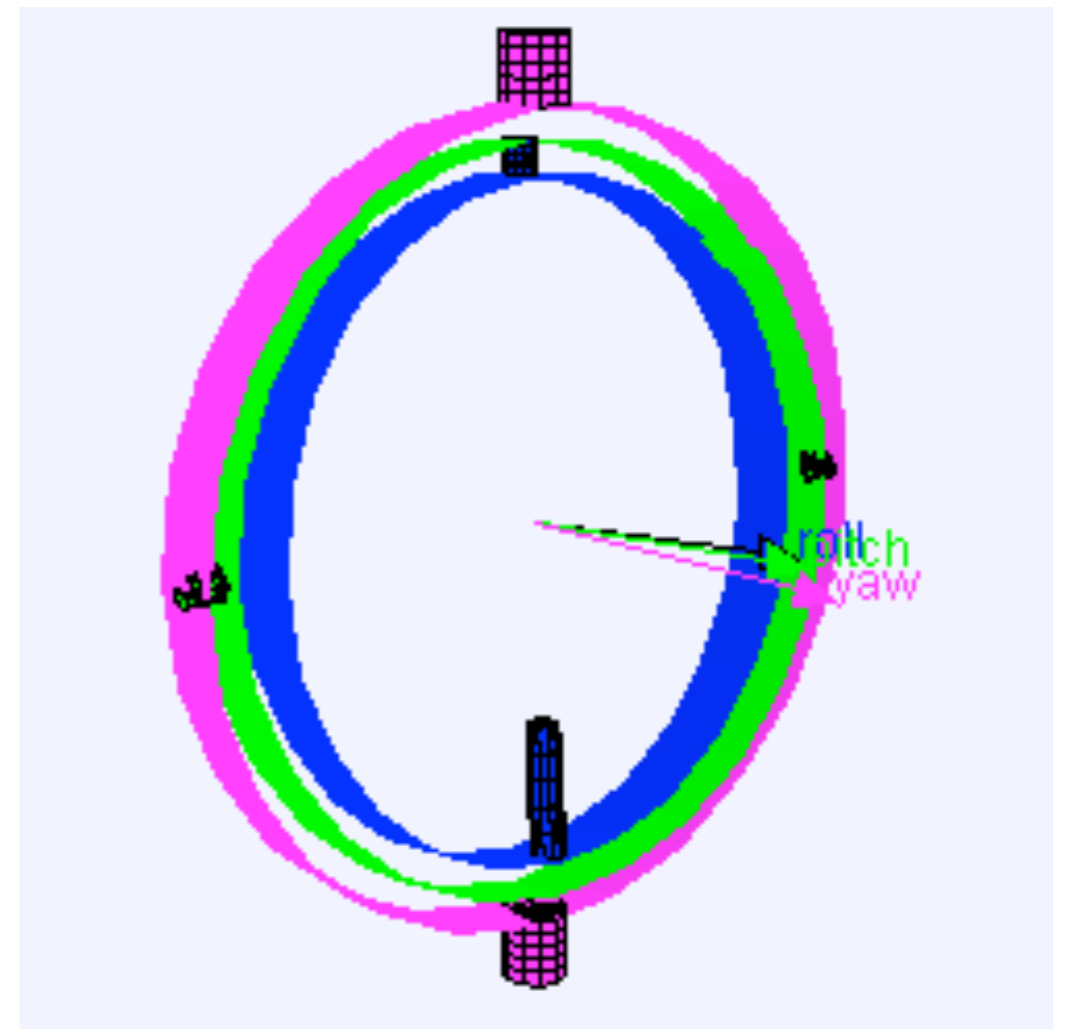


# Specifying rotations

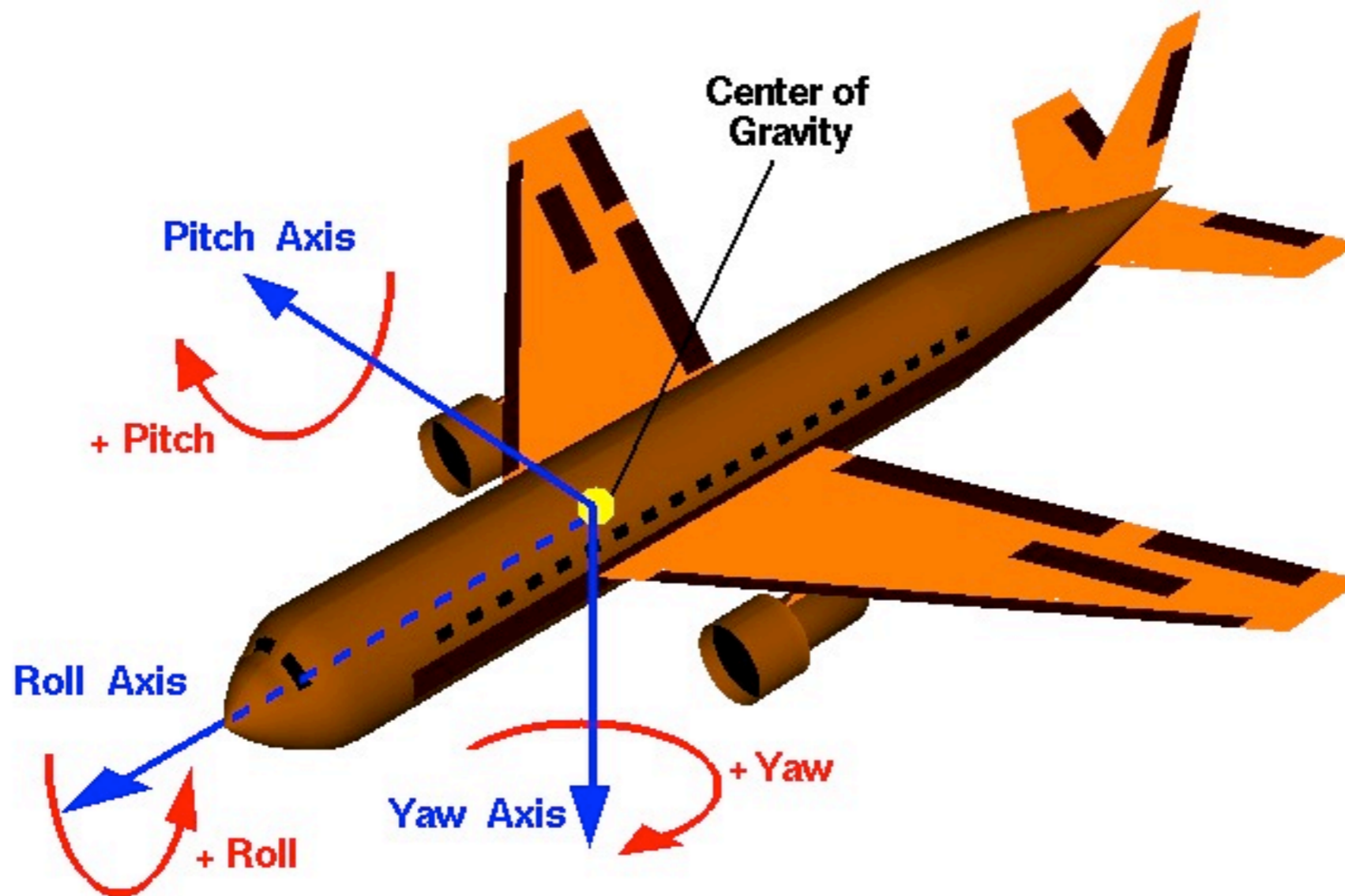
- In 2D, a rotation just has an angle
- In 3D, specifying a rotation is more complex
  - basic rotation about origin: unit vector (axis) and angle
    - convention: positive rotation is CCW when vector is pointing at you
- Many ways to specify rotation
  - Indirectly through frame transformations
  - Directly through
    - Euler angles: 3 angles about 3 axes
    - (Axis, angle) rotation
    - Quaternions

# Euler angles

- An object can be oriented arbitrarily
- Euler angles: stack up three coord axis rotations
  - ZYX case:  $R_z(\text{thetaz}) * R_y(\text{thetay}) * R_x(\text{thetax})$
  - “heading, attitude, bank”  
(common for airplanes)
  - “pitch, yaw, roll”  
(common for ground vehicles)
  - “pan, tilt, roll”  
(common for cameras)



# Roll, yaw, Pitch



# Specifying rotations: Euler rotations

- Euler angles

$$R(\theta_x, \theta_y, \theta_z) = R_z(\theta_z)R_y(\theta_y)R_x(\theta_x)$$

$$R(\theta_x, \theta_y, \theta_z) = \begin{bmatrix} c_y c_z & s_x s_y c_z - c_x s_z & c_x s_y s_z - s_x c_z & 0 \\ c_y s_z & s_x s_y s_z + c_x c_z & c_x s_y s_z - s_x c_z & 0 \\ -s_y & s_x c_y & c_x c_y & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$c_i = \cos(\theta_i)$$

$$s_i = \sin(\theta_i)$$

# Matrices for axis-angle rotations

- Showed matrices for coordinate axis rotations
  - but what if we want rotation about some other axis?
- Compute by composing elementary transforms
  - transform rotation axis to align with  $x$  axis
  - apply rotation
  - inverse transform back into position
- Just as in 2D this can be interpreted as a similarity transform

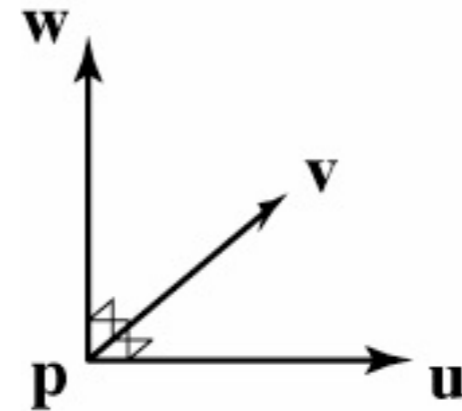
# Building general rotations

- Using elementary transforms you need three
  - translate axis to pass through origin
  - rotate about  $y$  to get into  $x$ - $y$  plane
  - rotate about  $z$  to align with  $x$  axis
- Alternative: construct frame and change coordinates
  - choose  $p, u, v, w$  to be orthonormal frame with  $p$  and  $u$  matching the rotation axis
  - apply similarity transform  $T = F R_x(\theta) F^{-1}$

# Orthonormal frames in 3D

- Useful tools for constructing transformations
- Recall rigid motions
  - affine transforms with pure rotation
  - columns (and rows) form right handed ONB
    - that is, an **orthonormal basis**

$$F = \begin{bmatrix} \mathbf{u} & \mathbf{v} & \mathbf{w} & \mathbf{p} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Building 3D frames

- Given a vector **a** and a secondary vector **b**
  - The **u** axis should be parallel to **a**; the **u–v** plane should contain **b**
    - $\mathbf{u} = \mathbf{a} / \|\mathbf{a}\|$
    - $\mathbf{w} = \mathbf{a} \times \mathbf{b}$ ;  $\mathbf{w} = \mathbf{w} / \|\mathbf{w}\|$
    - $\mathbf{v} = \mathbf{w} \times \mathbf{u}$
- Given just a vector **a**
  - The **u** axis should be parallel to **a**; don't care about orientation about that axis
    - Same process but choose arbitrary **b** first
    - Good choice is not near **a**: e.g. set smallest entry to 1



# Building general rotations

- Alternative: construct frame and change coordinates
  - choose  $p, u, v, w$  to be orthonormal frame with  $p$  and  $u$  matching the rotation axis
  - apply similarity transform  $T = F R_x(\theta) F^{-1}$
  - interpretation: move to  $x$  axis, rotate, move back
  - interpretation: rewrite  $u$ -axis rotation in new coordinates
  - (each is equally valid)

$$\begin{bmatrix} U_x & V_x & W_x \\ U_y & V_y & W_y \\ U_z & V_z & W_z \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{bmatrix} \begin{bmatrix} U_x & U_y & U_z \\ V_x & V_y & V_z \\ W_x & W_y & W_z \end{bmatrix}$$

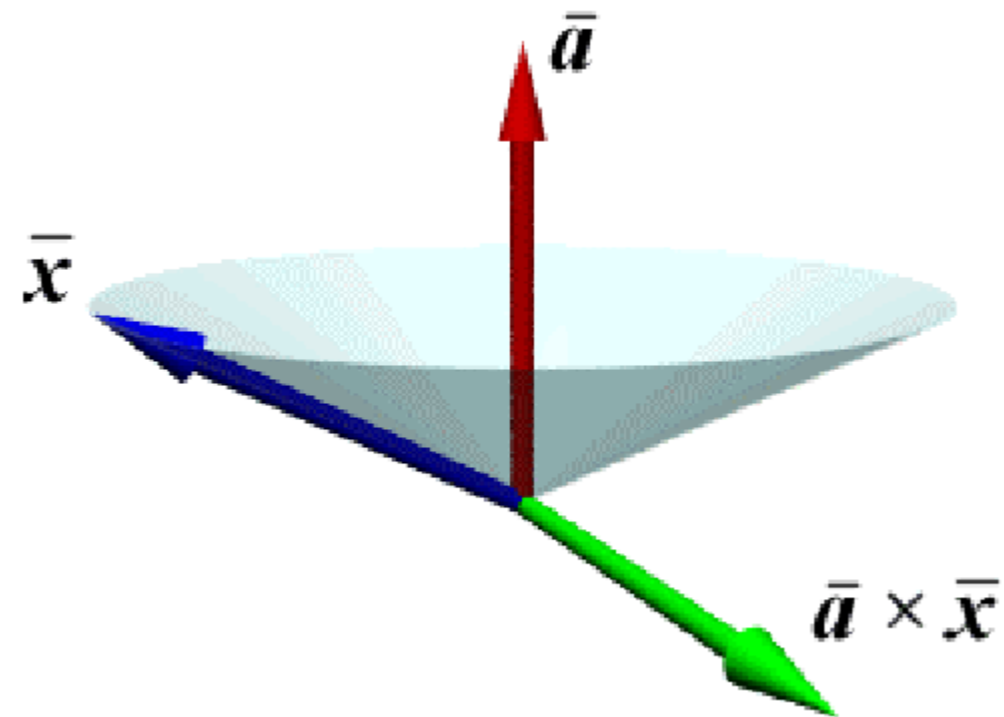
- (note above is linear transform; add affine coordinate)

# Building general rotations

- Alternative: construct frame and change coordinates
  - choose  $p, u, v, w$  to be orthonormal frame with  $p$  and  $u$  matching the rotation axis
  - apply similarity transform  $T = F R_x(\theta) F^{-1}$
  - interpretation: move to  $x$  axis, rotate, move back
  - interpretation: rewrite  $u$ -axis rotation in new coordinates
  - (each is equally valid)
- Sleeker alternative: Rodrigues' formula

# Derivation of General Rotation Matrix

- Axis angle rotation



# Axis-angle ONB

$$\vec{x}_{\parallel} = (\vec{a} \cdot \vec{x}) \vec{a}$$

$$\vec{x}_{\perp} = (\vec{x} - \vec{x}_{\parallel}) = (\vec{x} - (\vec{a} \cdot \vec{x}) \vec{a})$$

$$\vec{a} \times \vec{x}_{\perp} = \vec{a} \times (\vec{x} - \vec{x}_{\parallel}) = \vec{a} \times (\vec{x} - (\vec{a} \cdot \vec{x}) \vec{a}) = \vec{a} \times \vec{x}$$

# Axis-angle rotation

$$\mathbf{x}_{rotated} = \vec{x}_{\parallel} + \vec{v}$$

$$\mathbf{x}_{rotated} = \alpha \vec{a} + \beta \vec{x}_{\perp} + \gamma \vec{a} \times \vec{x}$$

$$\vec{v} = \cos \theta \vec{x}_{\perp} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = \vec{x}_{\parallel} + \cos \theta \vec{x}_{\perp} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\vec{a} \cdot \vec{x}) \vec{a} + \cos \theta (x - (\vec{a} \cdot \vec{x}) \vec{a}) + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\vec{a} \cdot \vec{x})(1 - \cos \theta) \vec{a} + \cos \theta \vec{x} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\vec{a} \cdot \vec{x})(1 - \cos \theta) \vec{a} + \cos \theta \vec{x} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\text{Sym}(\vec{a})(1 - \cos \theta) + I \cos \theta + \text{Skew}(\vec{a}) \sin \theta) \vec{x}$$

# Rotation Matrix for Axis-Angle

$$\mathbf{x}_{rotated} = (\vec{a} \cdot \vec{x})(1 - \cos \theta) \vec{a} + \cos \theta \vec{x} + \sin \theta \vec{a} \times \vec{x}$$

$$\mathbf{x}_{rotated} = (\text{Sym}(\vec{a})(1 - \cos \theta) + I \cos \theta + \text{Skew}(\vec{a}) \sin \theta) \vec{x}$$

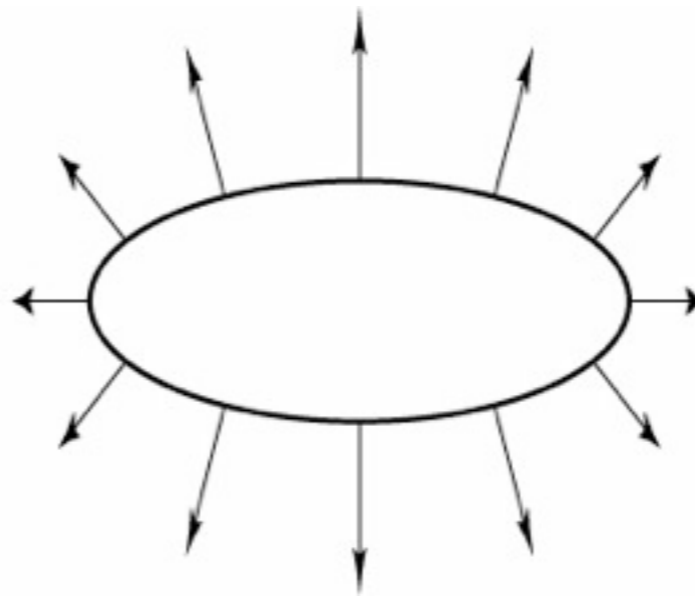
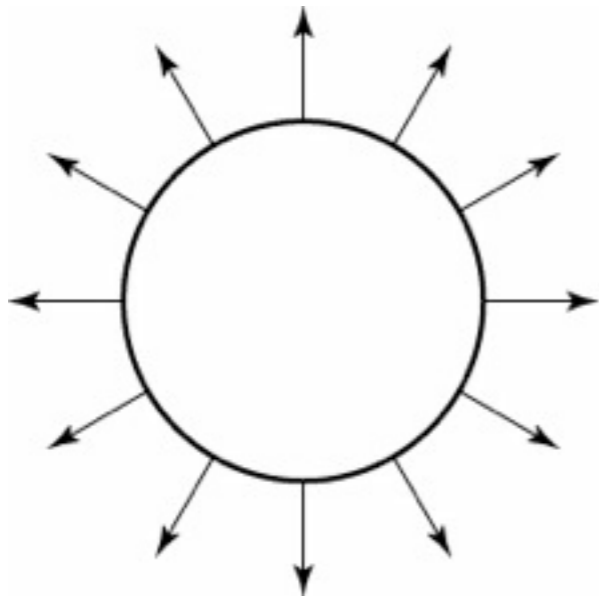
$$\text{Sym}(\vec{a}) = \begin{bmatrix} a_x \\ a_y \\ a_z \\ 0 \end{bmatrix} \begin{bmatrix} a_x & a_y & a_z & 0 \end{bmatrix} = \begin{bmatrix} a_x^2 & a_x a_y & a_x a_z & 0 \\ a_x a_y & a_y^2 & a_y a_z & 0 \\ a_x a_z & a_y a_z & a_z^2 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\text{Skew}(\vec{a}) = \begin{bmatrix} 0 & -a_z & a_y & 0 \\ a_z & 0 & -a_x & 0 \\ -a_y & a_x & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\text{Skew}(\vec{a}) \vec{x} = \vec{a} \times \vec{x}$$

# Transforming normal vectors

- Transforming surface normals
  - differences of points (and therefore tangents) transform OK
  - normals do not --> use inverse transpose matrix



have:  $\mathbf{t} \cdot \mathbf{n} = \mathbf{t}^T \mathbf{n} = 0$

want:  $M\mathbf{t} \cdot X\mathbf{n} = \mathbf{t}^T M^T X\mathbf{n} = 0$

so set  $X = (M^T)^{-1}$

then:  $M\mathbf{t} \cdot X\mathbf{n} = \mathbf{t}^T M^T (M^T)^{-1} \mathbf{n} = \mathbf{t}^T \mathbf{n} = 0$



# Building transforms from points

- 2D affine transformation has 6 degrees of freedom (DOFs)
  - this is the number of “knobs” we have to set to define one
- So, 6 constraints suffice to define the transformation
  - handy kind of constraint: point  $\mathbf{p}$  maps to point  $\mathbf{q}$  (2 constraints at once)
  - three point constraints add up to constrain all 6 DOFs (i.e. can map any triangle to any other triangle)
- 3D affine transformation has 12 degrees of freedom
  - count them from the matrix entries we’re allowed to change
- So, 12 constraints suffice to define the transformation
  - in 3D, this is 4 point constraints (i.e. can map any tetrahedron to any other tetrahedron)