

CS412/CS413

Introduction to Compilers

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Lecture 26: Dataflow Analysis Frameworks

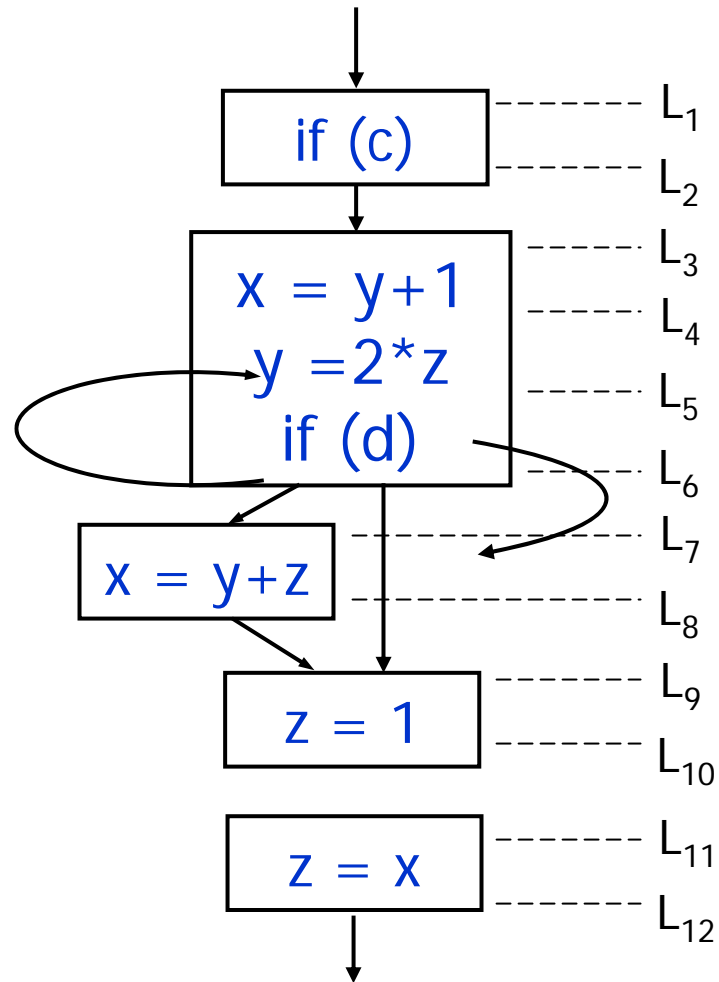
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# Live Variable Analysis

What are the live variables at each program point?

Method:

1. Define sets of live variables
1. Build constraints
2. Solve constraints



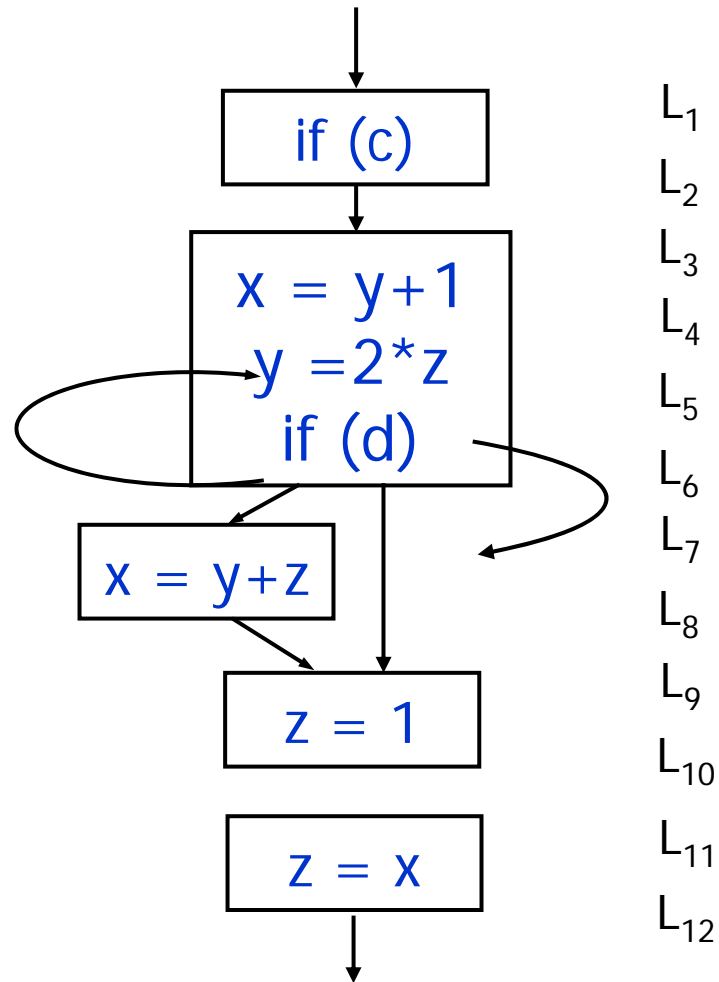
# Derive Constraints

Constraints for each instruction:

$$\text{in}[I] = (\text{out}[I] - \text{def}[I]) \cup \text{use}[I]$$

Constraints for control flow:

$$\text{out}[B] = \bigcup_{B' \in \text{succ}(B)} \text{in}[B']$$



# Derive Constraints

$$L_1 = L_2 \cup \{c\}$$

$$L_2 = L_3 \cup L_{11}$$

$$L_3 = (L_4 - \{x\}) \cup \{y\}$$

$$L_4 = (L_5 - \{y\}) \cup \{z\}$$

$$L_5 = L_6 \cup \{d\}$$

$$L_6 = L_7 \cup L_9$$

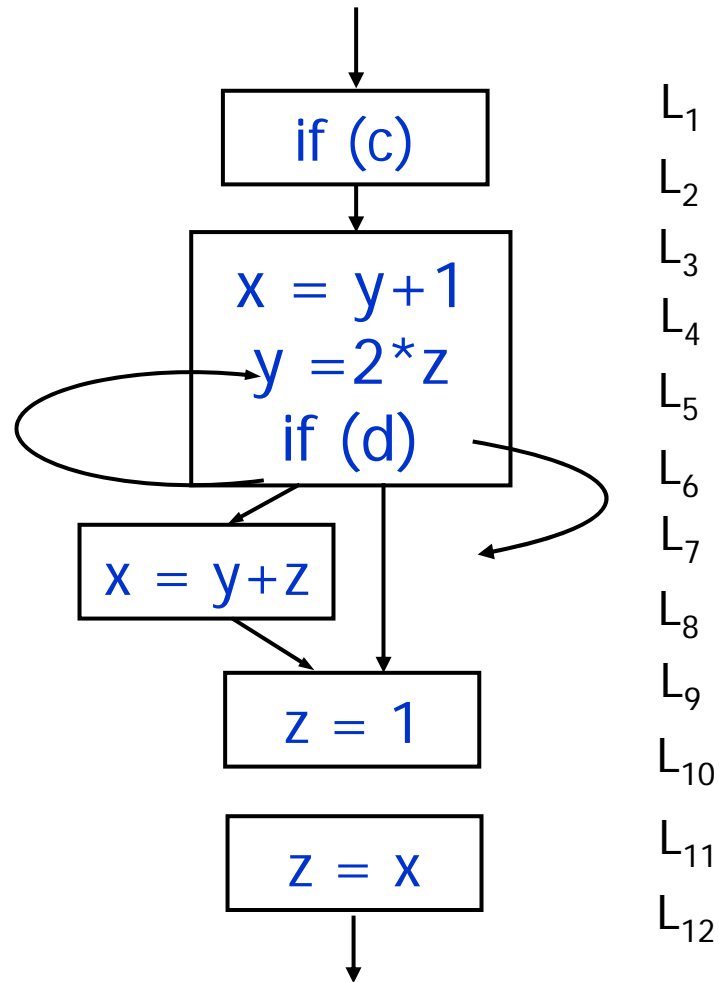
$$L_7 = (L_8 - \{x\}) \cup \{y, z\}$$

$$L_8 = L_9$$

$$L_9 = L_{10} - \{z\}$$

$$L_{10} = L_1$$

$$L_{11} = (L_{12} - \{z\}) \cup \{x\}$$



# Initialization

$$L_1 = L_2 \cup \{c\}$$

$$L_2 = L_3 \cup L_{11}$$

$$L_3 = (L_4 - \{x\}) \cup \{y\}$$

$$L_4 = (L_5 - \{y\}) \cup \{z\}$$

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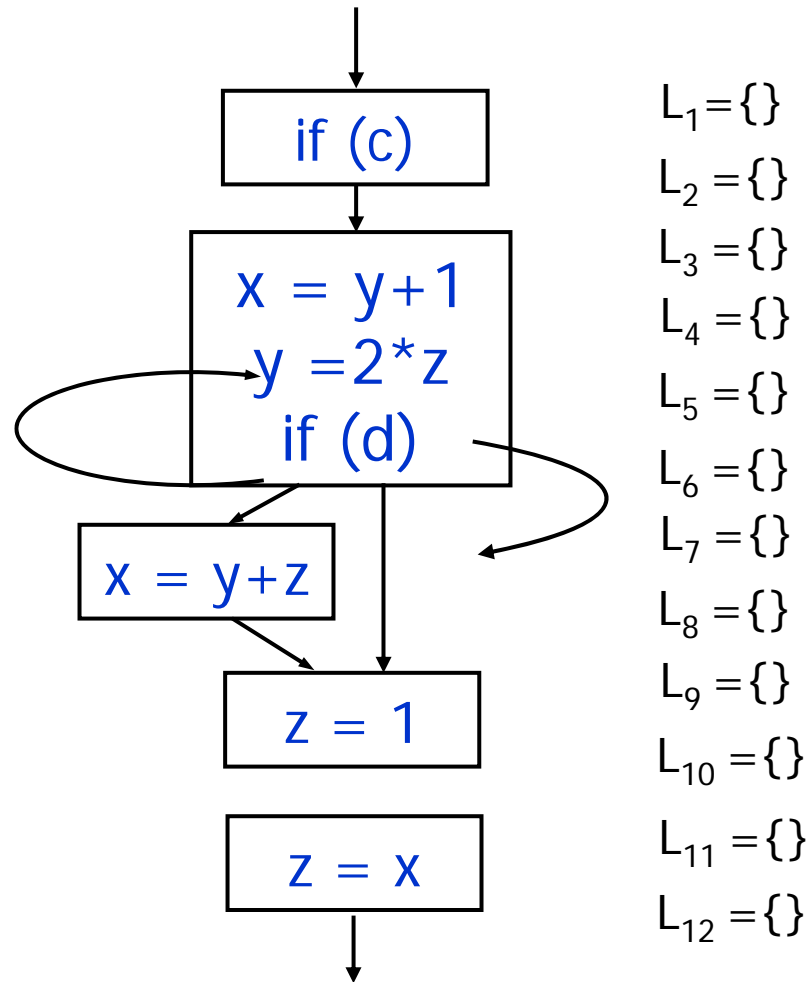
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# Iteration 1

$$L_1 = L_2 \cup \{c\}$$

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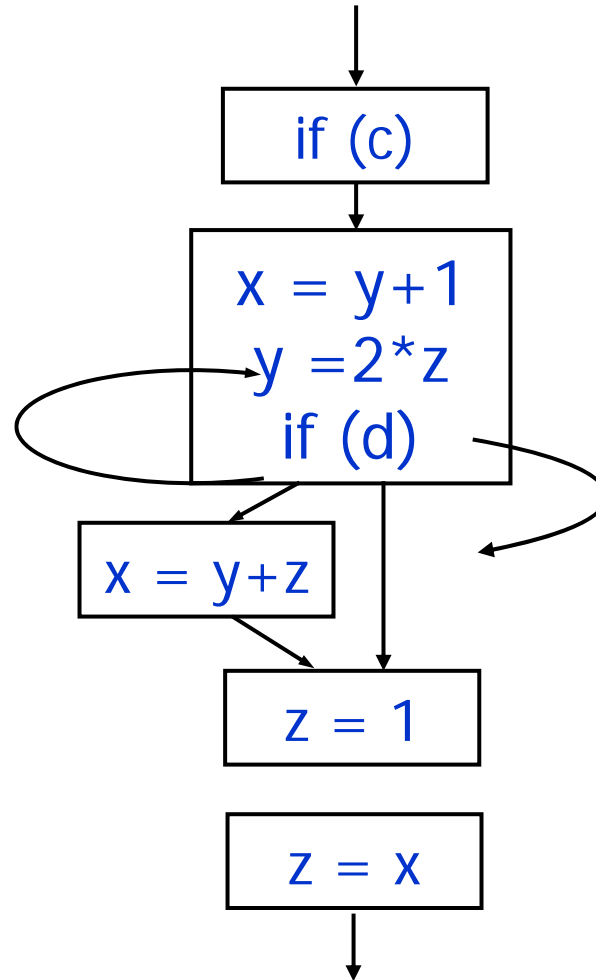
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$$L_9 = L_{10} - \{z\}$$

$$L_{10} = L_1$$

$$L_{11} = (L_{12} - \{z\}) \cup \{x\}$$



$$L_1 = \{x, y, z, c, d\}$$

$$L_2 = \{x, y, z, d\}$$

$$L_3 = \{y, z, d\}$$

$$L_4 = \{z, d\}$$

$$L_5 = \{y, z, d\}$$

$$L_6 = \{y, z\}$$

$$L_7 = \{y, z\}$$

$$L_8 = \{\}$$

$$L_9 = \{\}$$

$$L_{10} = \{\}$$

$$L_{11} = \{x\}$$

$$L_{12} = \{\}$$



# Iteration 2

$$L_1 = L_2 \cup \{c\}$$

$$L_2 = L_3 \cup L_{11}$$

$$L_3 = (L_4 - \{x\}) \cup \{y\}$$

$$L_4 = (L_5 - \{y\}) \cup \{z\}$$

$$L_5 = L_6 \cup \{d\}$$

$$L_6 = L_7 \cup L_9$$

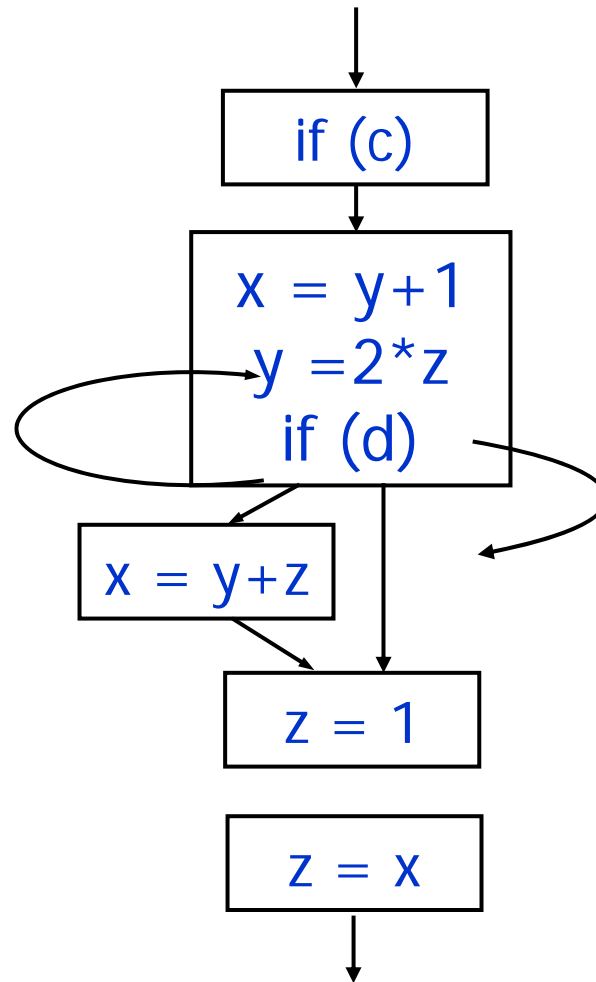
$$L_7 = (L_8 - \{x\}) \cup \{y, z\}$$

$$L_8 = L_9$$

$$L_9 = L_{10} - \{z\}$$

$$L_{10} = L_1$$

$$L_{11} = (L_{12} - \{z\}) \cup \{x\}$$



$$L_1 = \{x, y, z, c, d\}$$

$$L_2 = \{x, y, z, c, d\}$$

$$L_3 = \{y, z, c, d\}$$

$$L_4 = \{x, z, c, d\}$$

$$L_5 = \{x, y, z, c, d\}$$

$$L_6 = \{x, y, z, c, d\}$$

$$L_7 = \{y, z, c, d\}$$

$$L_8 = \{x, y, c, d\}$$

$$L_9 = \{x, y, c, d\}$$

$$L_{10} = \{x, y, z, c, d\}$$

$$L_{11} = \{x\}$$

$$L_{12} = \{\}$$

# Fixed-point!

$$L_1 = L_2 \cup \{c\}$$

$$L_2 = L_3 \cup L_{11}$$

$$L_3 = (L_4 - \{x\}) \cup \{y\}$$

$$L_4 = (L_5 - \{y\}) \cup \{z\}$$

$$L_5 = L_6 \cup \{d\}$$

$$L_6 = L_7 \cup L_9$$

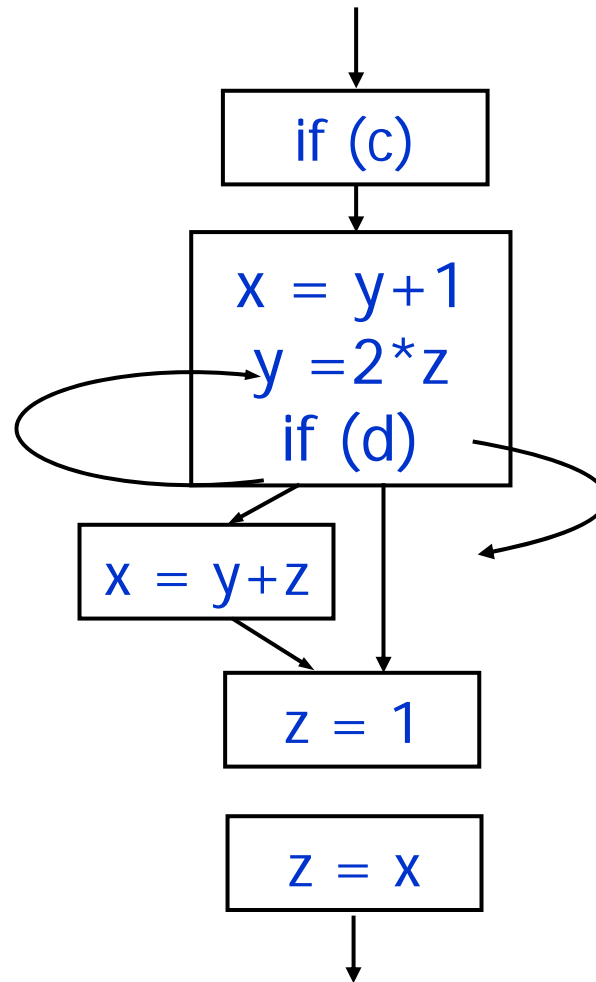
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$$L_1 = \{x, y, z, c, d\}$$

$$L_2 = \{x, y, z, c, d\}$$

$$L_3 = \{y, z, c, d\}$$

$$L_4 = \{x, z, c, d\}$$

$$L_5 = \{x, y, z, c, d\}$$

$$L_6 = \{x, y, z, c, d\}$$

$$L_7 = \{y, z, c, d\}$$

$$L_8 = \{x, y, c, d\}$$

$$L_9 = \{x, y, c, d\}$$

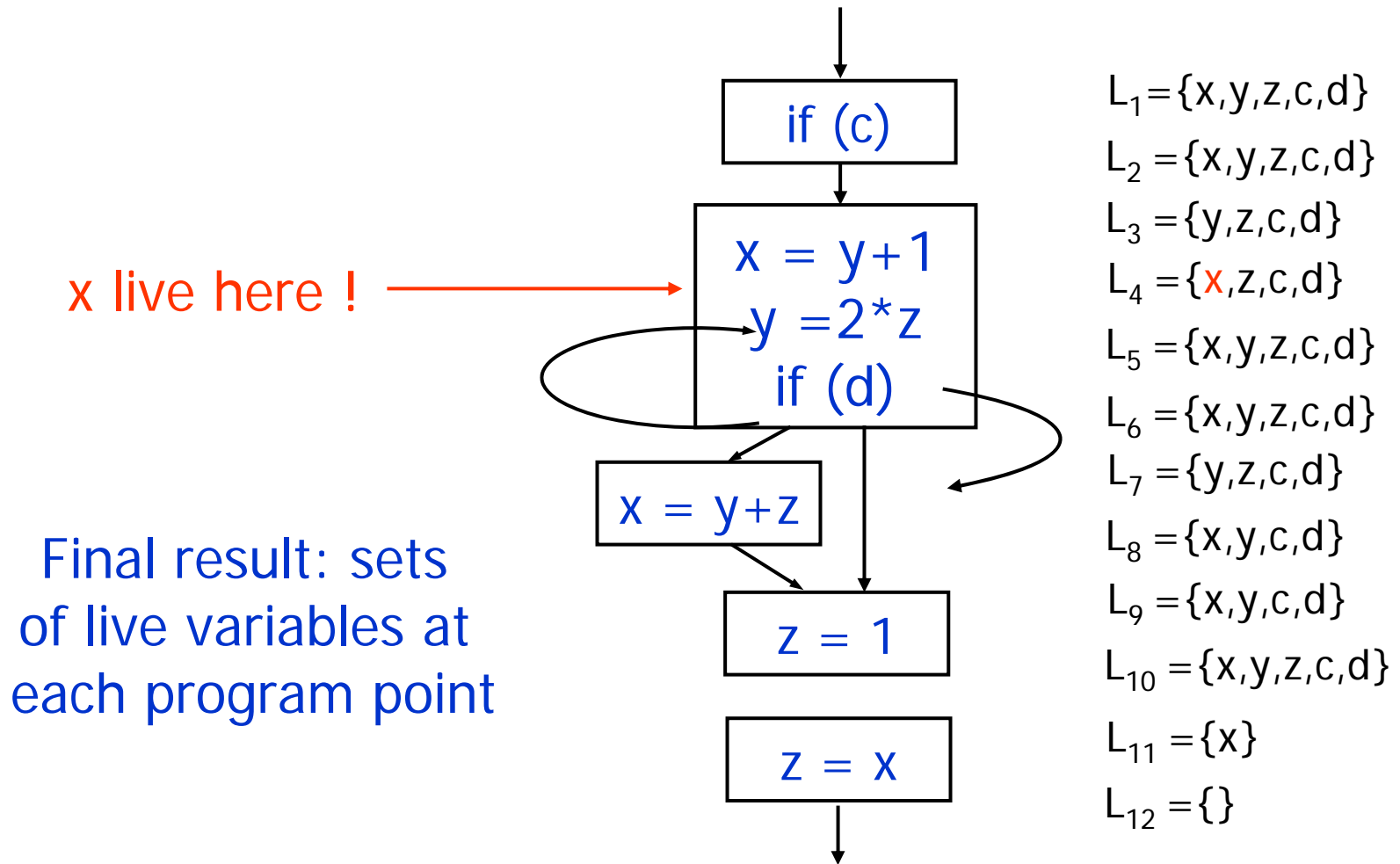
$$L_{10} = \{x, y, z, c, d\}$$

$$L_{11} = \{x\}$$

$$L_{12} = \{\}$$

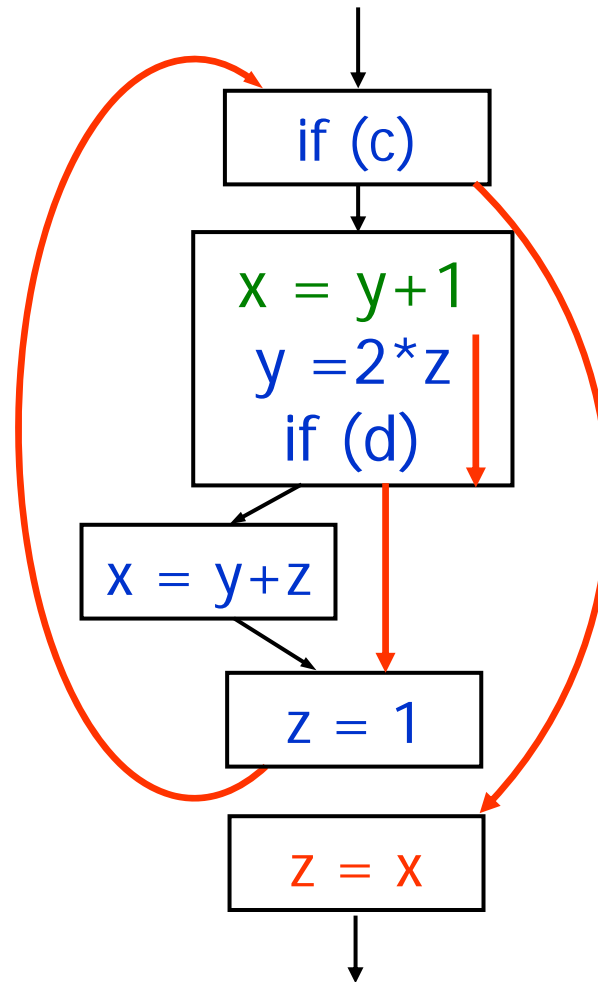


# Final Result



# Characterize All Executions

The analysis detects that there is an execution that uses the value  $x = y + 1$



- $L_1 = \{x, y, z, c, d\}$
- $L_2 = \{x, y, z, c, d\}$
- $L_3 = \{y, z, c, d\}$
- $L_4 = \{x, z, c, d\}$
- $L_5 = \{x, y, z, c, d\}$
- $L_6 = \{x, y, z, c, d\}$
- $L_7 = \{y, z, c, d\}$
- $L_8 = \{x, y, c, d\}$
- $L_9 = \{x, y, c, d\}$
- $L_{10} = \{x, y, z, c, d\}$
- $L_{11} = \{x\}$
- $L_{12} = \{\}$

# Generalization

- Live variable analysis and detection of available copies are similar:
  - Define some information that they need to compute
  - Build constraints for the information
  - Solve constraints iteratively:
    - The information always “increases” during iteration
    - Eventually, it reaches a fixed point.
- We would like a general framework
  - Framework applicable to many other analyses
  - Live variable/copy propagation = instances of the framework

# Dataflow Analysis Framework

- **Dataflow analysis** = a common framework for many compiler analyses
  - Computes some information at each program point
  - The computed information characterizes all possible executions of the program
- **Basic methodology:**
  - Describe information about the program using an algebraic structure called a **lattice**
  - Build constraints that show how instructions and control flow influence the information in terms of values in the lattice
  - Iteratively solve constraints

# Partial Order Relations

- Lattice definition builds on the concept of a **partial order relation**
- A partial order  $(P, \sqsubseteq)$  consists of:
  - A set  $P$
  - A partial order relation  $\sqsubseteq$  that is:
    1. Reflexive  $x \sqsubseteq x$
    2. Anti-symmetric  $x \sqsubseteq y, y \sqsubseteq x \Rightarrow x = y$
    3. Transitive:  $x \sqsubseteq y, y \sqsubseteq z \Rightarrow x \sqsubseteq z$
- Called a "*partial* order" because not all elements are comparable, in contrast with a **total order**, in which
  - 4. Total  $x \sqsubseteq y$  or  $y \sqsubseteq x$

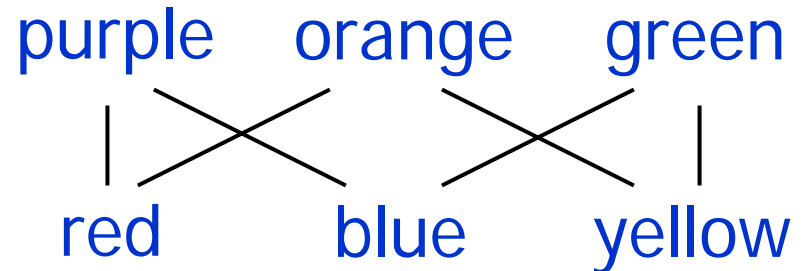
# Example

- P is {red, blue, yellow, purple, orange, green}
- $\subseteq$

red  $\subseteq$  purple,          red  $\subseteq$  orange,  
blue  $\subseteq$  purple,          blue  $\subseteq$  green,  
yellow  $\subseteq$  orange,      blue  $\subseteq$  green,  
red  $\subseteq$  red,  
blue  $\subseteq$  blue,  
yellow  $\subseteq$  yellow,  
purple  $\subseteq$  purple,  
orange  $\subseteq$  orange,  
green  $\subseteq$  green

# Hasse Diagrams

- A graphical representation of a partial order, where
  - $x$  and  $y$  are on the same level when they are **incomparable**
  - $x$  is below  $y$  when  $x \sqsubseteq y$  and  $x \neq y$
  - $x$  is below  $y$  and connected by a line when  $x \sqsubseteq y$ ,  $x \neq y$ , and there is no  $z$  such that  $x \sqsubseteq z$ ,  $z \sqsubseteq y$ ,  $x \neq z$ , and  $y \neq z$

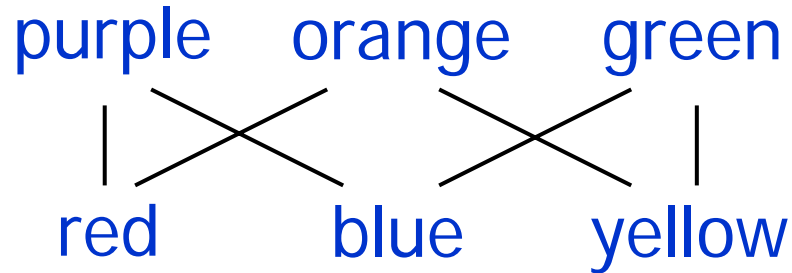


# Lower/Upper Bounds

- If  $(P, \sqsubseteq)$  is a partial order and  $S \subseteq P$ , then:
  1.  $x \in P$  is a lower bound of  $S$  if  $x \sqsubseteq y$ , for all  $y \in S$
  2.  $x \in P$  is an upper bound of  $S$  if  $y \sqsubseteq x$ , for all  $y \in S$
- There may be multiple lower and upper bounds of the same set  $S$



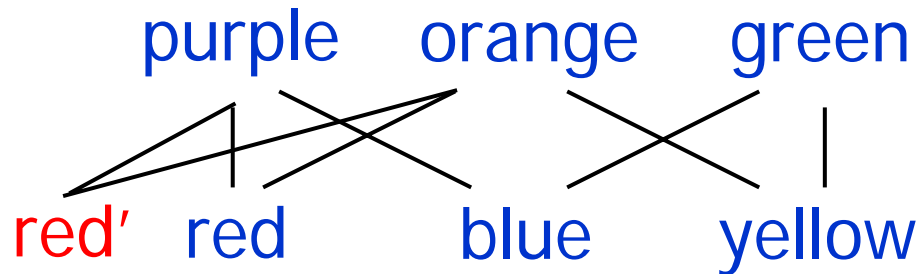
# Example, cont.



red is lower bound for {purple, orange}  
blue is lower bound for {purple, green}  
yellow is lower bound for {orange, green}  
no lower bound for {purple, orange, green}  
no lower bound for {red, blue}  
no lower bound for {red, yellow}  
no lower bound for {blue, yellow},  
etc.

purple is upper bound for {red, blue}  
orange is upper bound for {red, yellow}  
green is upper bound for {orange, green}  
no upper bound for {red, blue, yellow}  
no upper bound for {purple, orange}  
no upper bound for {orange, green}  
no upper bound for {purple, green}  
etc.

# Example, cont.



`red` is lower bound for {`purple`, `orange`}  
`blue` is lower bound for {`purple`, `green`}  
`yellow` is lower bound for {`orange`, `green`}  
no lower bound for {`purple`, `orange`, `green`}  
no lower bound for {`red`, `blue`}  
no lower bound for {`red`, `yellow`}  
no lower bound for {`blue`, `yellow`},  
etc.

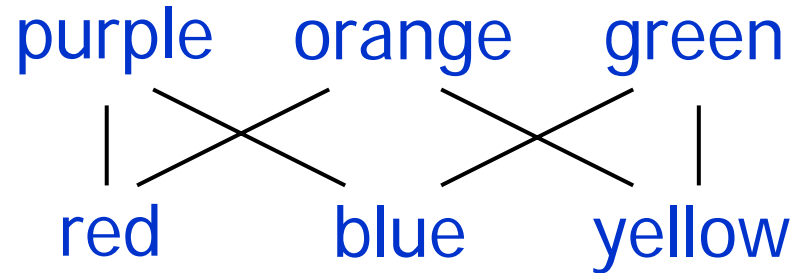
`purple` is upper bound for {`red`, `blue`}  
`orange` is upper bound for {`red`, `yellow`}  
`green` is upper bound for {`orange`, `green`}  
no upper bound for {`red`, `blue`, `yellow`}  
no upper bound for {`purple`, `orange`}  
no upper bound for {`orange`, `green`}  
no upper bound for {`purple`, `green`}  
etc.

`red'` is also a lower bound for {`purple`, `orange`}

# LUB and GLB

- Define **least upper bound (LUB)** and **greatest lower bound (GLB)** as follows:
- If  $(P, \sqsubseteq)$  is a partial order and  $S \subseteq P$ , then:
  1.  $x \in P$  is **GLB of S** if:
    - a)  $x$  is a lower bound of  $S$
    - b)  $y \sqsubseteq x$ , for any lower bound  $y$  of  $S$
  2.  $x \in P$  is a **LUB of S** if:
    - a)  $x$  is an upper bound of  $S$
    - b)  $x \sqsubseteq y$ , for any upper bound  $y$  of  $S$
- ... are GLB and LUB unique?

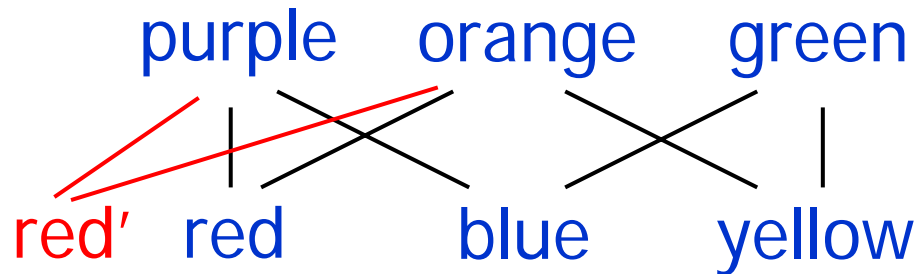
# Example, cont.



red is GLB for {purple, orange}  
blue is GLB for {purple, green}  
yellow is GLB for {orange, green}

purple is LUB for {red, blue}  
orange is LUB for {red, yellow}  
green is LUB for {orange, green}

# Example'



blue is GLB for {purple, green}  
yellow is GLB for {orange, green}

purple is LUB for {red, blue}  
orange is LUB for {red, yellow}  
green is LUB for {orange, green}  
purple is LUB for {red', blue}  
orange is LUB for {red', yellow}

red' is a lower bound for {purple, orange}  
red is a lower bound for {purple, orange}  
There is no GLB for {purple, orange}

# Lattices

- A pair  $(L, \sqsubseteq)$  is a lattice if:
  1.  $(L, \sqsubseteq)$  is a partial order
  2. Any **finite** non-empty subset  $S \subseteq L$  has a LUB and a GLB

# Example"

- L is natural numbers  $\{0, 1, 2, 3, \dots\}$
- $\sqsubseteq$  is  $\leq$

Every **finite** subset of L has a LUB  
Every subset of L has a GLB  
Therefore  $(L, \leq)$  is a **lattice**  
No infinite subset of L has a LUB

...  
|  
3  
|  
2  
|  
1  
|  
0

# Complete Lattices

- A pair  $(L, \sqsubseteq)$  is a **complete** lattice if:
  1.  $(L, \sqsubseteq)$  is a partial order
  2. **Any** non-empty subset  $S \subseteq L$  has a LUB and a GLB
- Can identify and name two special elements:
  1. Bottom element:  $\perp = \text{GLB}(L)$
  2. Top element:  $\top = \text{LUB}(L)$
- All finite lattices are complete



# Example'''

- L is natural numbers  $\{0, 1, 2, 3, \dots\}$
- $\sqsubseteq$  is  $\leq$

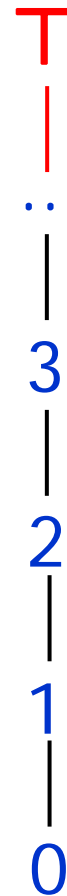
Every finite subset of L has a GLB and LUB

Therefore  $(L, \leq)$  is a lattice

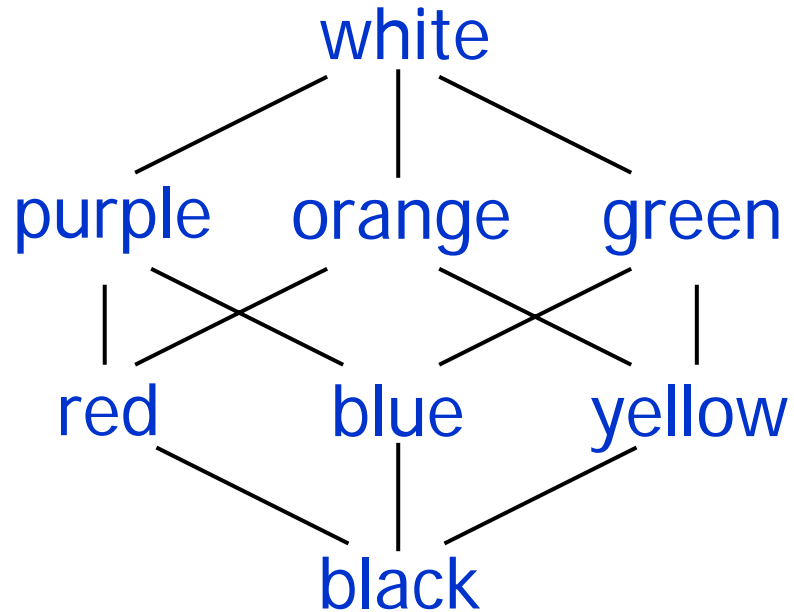
Every infinite subset of L has a LUB

Therefore  $(L, \leq)$  is a complete lattice

However, L has infinite ascending chains



# Example''''

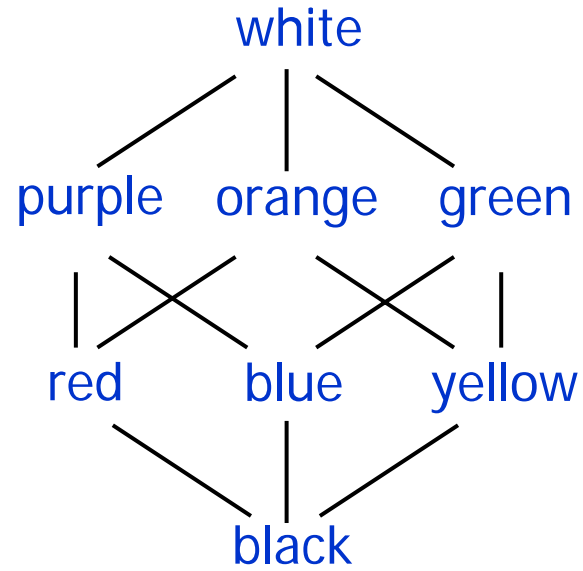


black is GLB for {red, blue, yellow}

white is LUB for {purple, orange, green}

# Meet and Join

- By definition, for any lattice  $L$ , GLBs and LUBs are defined for finite sets
- Define operators meet ( $\sqcap$ ) and join ( $\sqcup$ ) as
  - $x \sqcap y = \text{GLB}(\{x,y\})$
  - $x \sqcup y = \text{LUB}(\{x,y\})$
  - For any finite set  $S \subseteq L$ 
    - $\sqcap S = \text{GLB}(S)$
    - $\sqcup S = \text{LUB}(S)$

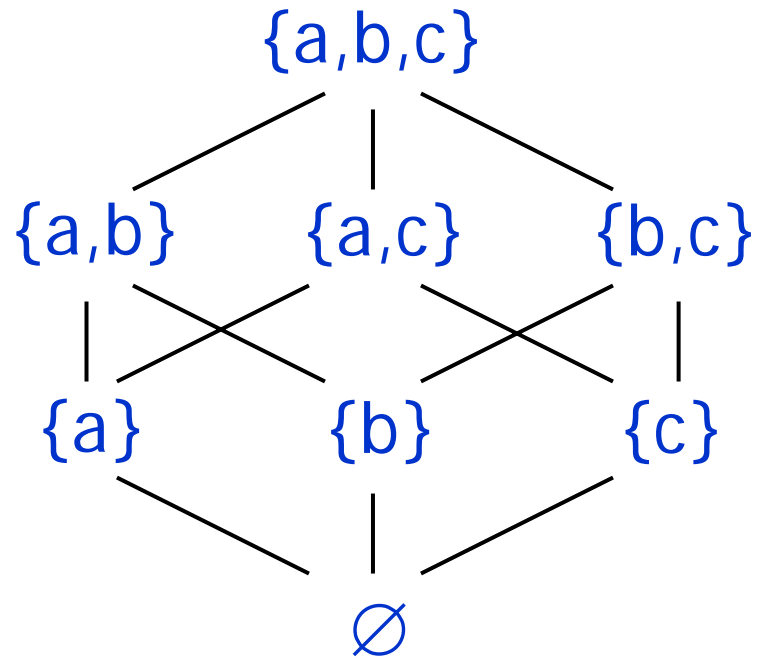


# Example'''' Lattice

- Consider  $S = \{a,b,c\}$  and its power set  $P = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a,b\}, \{b,c\}, \{a,c\}, \{a,b,c\}\}$
- Define partial order as set inclusion:  $X \subseteq Y$ 
  - Reflexive  $X \subseteq X$
  - Anti-symmetric  $X \subseteq Y, Y \subseteq X \Rightarrow X = Y$
  - Transitive  $X \subseteq Y, Y \subseteq Z \Rightarrow X \subseteq Z$
- Also, for any two elements of  $P$ , there is a set that includes both and another set that is included in both
- Therefore  $(P, \subseteq)$  is a (complete) lattice

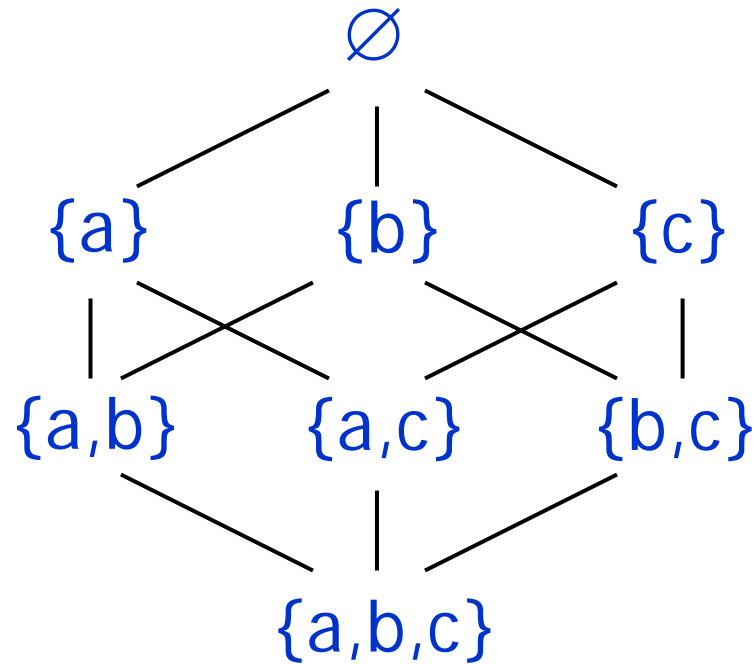
# Power Set Lattice

- Partial order:  $\subseteq$   
(set inclusion)
- Meet:  $\cap$   
(set intersection)
- Join:  $\cup$   
(set union)
- Top element:  $\{a,b,c\}$   
(whole set)
- Bottom element:  $\emptyset$   
(empty set)



# Reversed Lattice

- Partial order:  $\supseteq$   
(set inclusion)
- Meet:  $\cup$   
(set union)
- Join:  $\cap$   
(set intersection)
- Top element:  $\emptyset$   
(empty set)
- Bottom element:  $\{a,b,c\}$   
(whole set)



# Relation To Dataflow Analysis

- Information computed by live variable analysis and available copies can be expressed as elements of lattices
- **Live variables:** if  $V$  is the set of all variables in the program and  $P$  the power set of  $V$ , then:
  - $(P, \subseteq)$  is a lattice
  - sets of live variables are elements of this lattice

# Relation To Dataflow Analysis

- Copy Propagation:
  - $V$  is the set of all variables in the program
  - $V \times V$  the Cartesian product representing all possible copy instructions
  - $P$  the power set of  $V \times V$
- Then:
  - $(P, \subseteq)$  is a lattice
  - sets of available copies are lattice elements



# Using Lattices

- Assume information we want to compute in a program is expressed using a lattice  $L$
- To compute the information at each program point we need to:
  - Determine how each instruction in the program changes the information
  - Determine how information changes at join/split points in the control flow

# Transfer Functions

- Dataflow analysis defines a **transfer function**  $F : L \rightarrow L$  for each instruction in the program
- Describes how the instruction modifies the information
- Consider  $in[I]$  is information before  $I$ , and  $out[I]$  is information after  $I$
- Forward analysis:  $out[I] = F(in[I])$
- Backward analysis:  $in[I] = F(out[I])$

# Basic Blocks

- Can extend the concept of transfer function to basic blocks using function composition
- Consider:
  - Basic block B consists of instructions  $(I_1, \dots, I_n)$  with transfer functions  $F_1, \dots, F_n$
  - $\text{in}[B]$  is information before B
  - $\text{out}[B]$  is information after B
- Forward analysis:
$$\text{out}[B] = F_n(\dots(F_1(\text{in}[B]))) = F_n \circ \dots \circ F_1(\text{in}[B])$$
- Backward analysis:
$$\text{in}[I] = F_1(\dots(F_n(\text{out}[i]))) = F_1 \circ \dots \circ F_n(\text{out}[B])$$

# Split/Join Points

- Dataflow analysis uses meet/join operations at split/join points in the control flow
- Consider  $\text{in}[B]$  is lattice information at beginning of block  $B$  and  $\text{out}[B]$  is lattice information at end of  $B$
- Forward analysis:  $\text{in}[B] = \sqcap \{ \text{out}[B'] \mid B' \in \text{pred}(B) \}$
- Backward analysis:  $\text{out}[B] = \sqcap \{ \text{in}[B'] \mid B' \in \text{succ}(B) \}$
- Can alternatively use join operation  $\sqcup$  (equivalent to using the meet operation  $\sqcap$  in the reversed lattice)

# Cartesian Products

- Let  $L_1, \dots, L_n$  be sets
- Cartesian product of  $L_1, \dots, L_n$  is  
 $\{ \langle x_1, \dots, x_n \rangle \mid x_i \in L_i \}$
- If  $L_1, \dots, L_n$  are (complete) lattices then their Cartesian product is a (complete) lattice, where  $\sqsubseteq$  is defined by  
 $\langle x_1, \dots, x_n \rangle \sqsubseteq \langle y_1, \dots, y_n \rangle$  iff for all  $i$ ,  $x_i \sqsubseteq y_i$

# Information as Cartesian Product

- Consider a program analysis in which  $n$  program analysis variables range over lattice  $L$
- We view the analysis as computing an  $n$ -tuple of  $L$ -values, i.e., a point in the  $n$ -ary Cartesian product of  $L$
- Each change of one program analysis variable changes one component of the  $n$ -tuple
- Analyses will terminate because we will only consider
  - Lattices with no infinite descending chains
  - “Monotonic” transfer functions that move us down (or not at all) in the lattice

# More About Lattices

- In a lattice  $(L, \sqsubseteq)$ , the following are equivalent:
  1.  $x \sqsubseteq y$
  2.  $x \sqcap y = x$
  3.  $x \sqcup y = y$
- Note: meet and join operations were defined using the partial order relation

# Proof (1 & 2)

- Prove that  $x \sqsubseteq y$  implies  $x \sqcap y = x$ :
  - $x$  is a lower bound of  $\{x,y\}$
  - All lower bounds of  $\{x,y\}$  are less= than  $x,y$
  - In particular, they are less= than  $x$
- Prove that  $x \sqcap y = x$  implies  $x \sqsubseteq y$  :
  - $x$  is a lower bound of  $\{x,y\}$
  - $x$  is less= than  $x$  and  $y$
  - In particular,  $x$  is less= than  $y$



# Properties of Meet and Join

- The meet and join operators are:
  1. Associative  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$
  2. Commutative  $x \sqcap y = y \sqcap x$
  3. Idempotent:  $x \sqcap x = x$
- **Property:** If “ $\sqcap$ ” is an associative, commutative, and idempotent operator, then the relation “ $\sqsubseteq$ ” defined as  $x \sqsubseteq y$  iff  $x \sqcap y = x$  is a partial order
- Above property provides an alternative definition of a partial orders and lattices starting from the meet (join) operator