

CS412/CS413

Introduction to Compilers

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Lecture 8: LR parsing

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Bottom-up Parsing

- A more powerful parsing technology
- **LR grammars** -- more expressive than LL
 - Scan the input from **L**eft to right and determine a **R**ight-most derivation of program (backwards)
 - Allows left-recursive grammars, virtually all programming languages
 - Easier to express programming language syntax
- **Shift-reduce parsers**
 - Parsers for LR grammars
 - Automatic parser generators (*e.g.*, yacc, CUP)

Bottom-up Parsing

- Right-most derivation -- backward
 - Start with the tokens; end with the start symbol

$(1+2+(3+4))+5 \leftarrow$

$(\underline{E}+2+(3+4))+5 \leftarrow$

$(\underline{S}+\underline{2}+(3+4))+5 \leftarrow$

$(\underline{S}+\underline{E}+(3+4))+5 \leftarrow$

$(\underline{S}+(\underline{3}+4))+5 \leftarrow$

$(\underline{S}+(\underline{E}+4))+5 \leftarrow$

$(\underline{S}+(\underline{S}+\underline{4}))+5 \leftarrow$

$(\underline{S}+(\underline{S}+\underline{E}))+5 \leftarrow$

$(\underline{S}+(\underline{S}))+5 \leftarrow$

$(\underline{S}+\underline{E})+5 \leftarrow$

$(\underline{S})+5 \leftarrow$

$\underline{E}+5 \leftarrow$

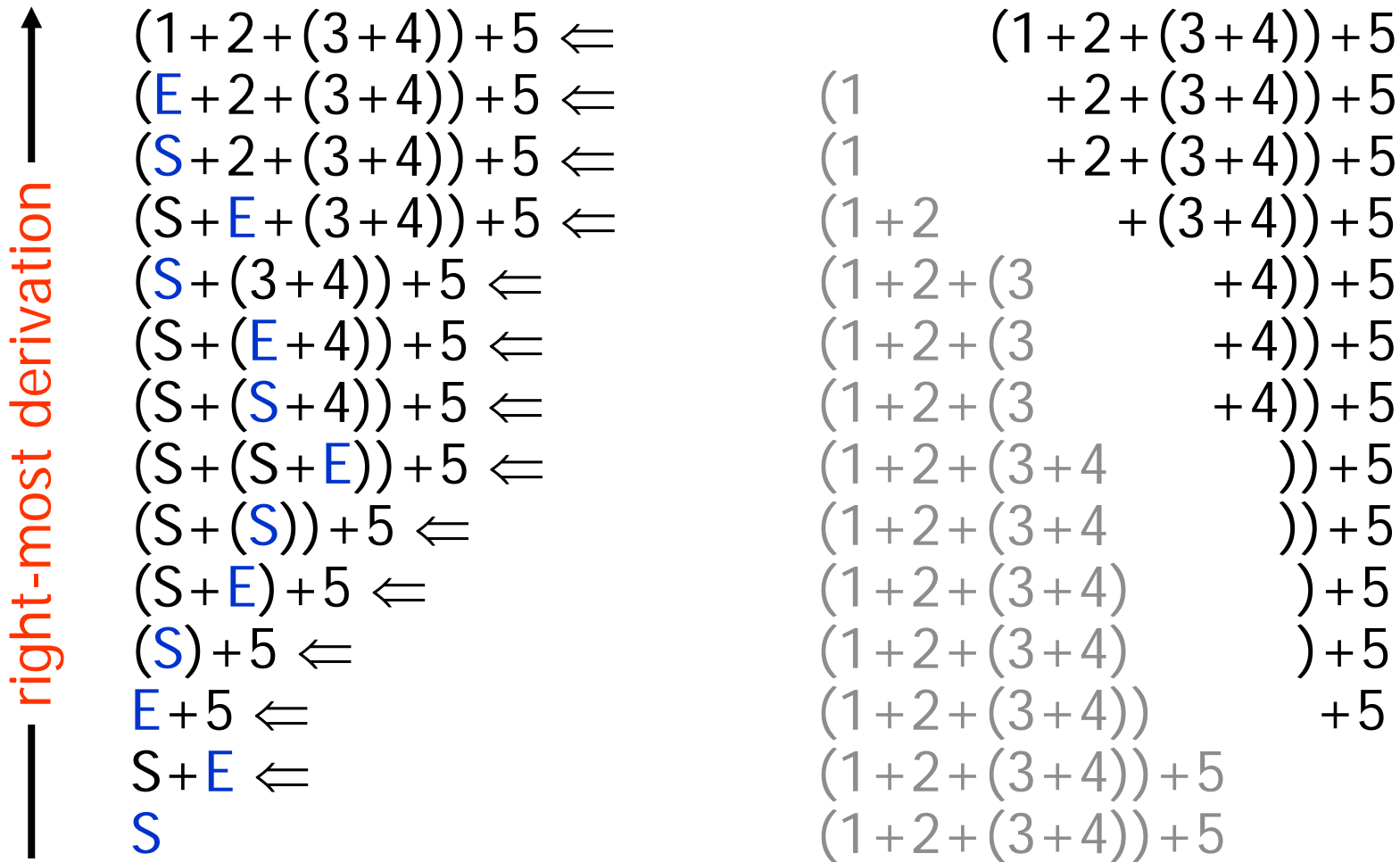
$\underline{S}+\underline{5} \leftarrow$

$\underline{S}+\underline{E} \leftarrow$

\underline{S}

$S \rightarrow S + E \mid E$
$E \rightarrow \text{num} \mid (S)$

Progress of Bottom-up Parsing

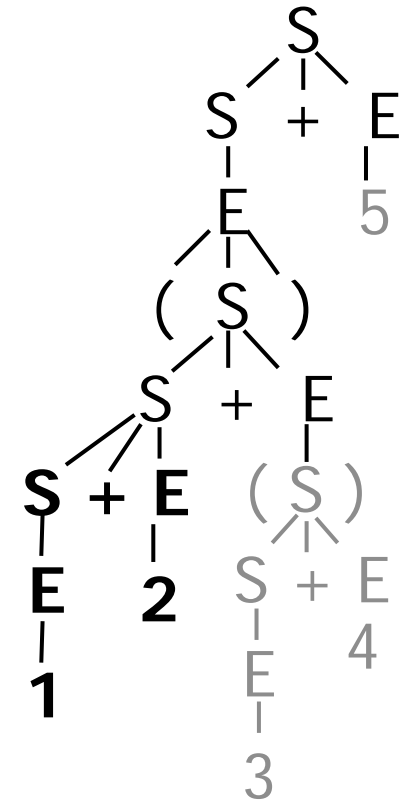


Bottom-up Parsing

- $(\underline{1} + 2 + (3 + 4)) + 5 \Leftarrow$
- $(\underline{E} + 2 + (3 + 4)) + 5 \Leftarrow$
- $(\underline{S} + \underline{2} + (3 + 4)) + 5 \Leftarrow$
- $(S + \underline{E} + (3 + 4)) + 5 \dots$

$S \rightarrow S + E \mid E$
$E \rightarrow \text{num} \mid (S)$

- If $S \Rightarrow^* \alpha A w \Rightarrow \alpha \beta w$ then β is called a **handle** of $\alpha \beta w$
- **Advantage** of bottom-up parsing: the selection of production is postponed until after the handle has been scanned



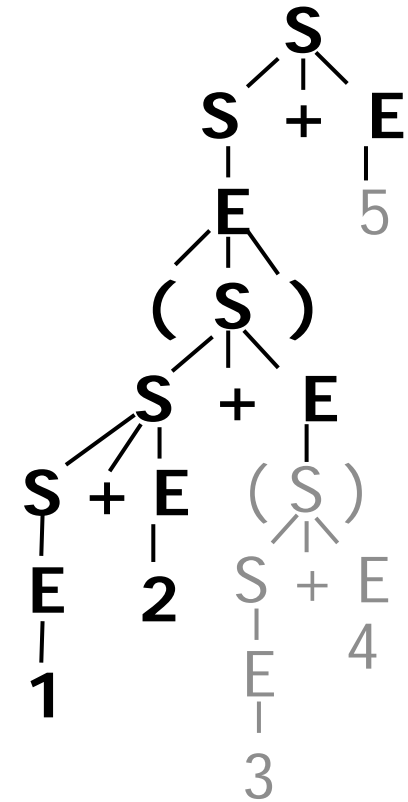
Top-down Parsing

$(1+2+(3+4))+5$

$S \rightarrow S + E \mid E$
$E \rightarrow \text{num} \mid (S)$

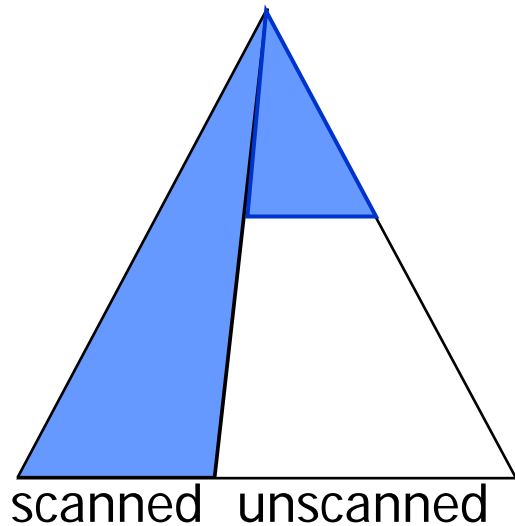
$S \Rightarrow S + E \Rightarrow E + E \Rightarrow (S) + E \Rightarrow (S + E) + E$
 $\Rightarrow (S + E + E) + E \Rightarrow (E + E + E) + E$
 $\Rightarrow (1 + E + E) + E \Rightarrow (1 + 2 + E) + E \dots$

- In left-most derivation, entire tree above a token (2) has been expanded when it is encountered

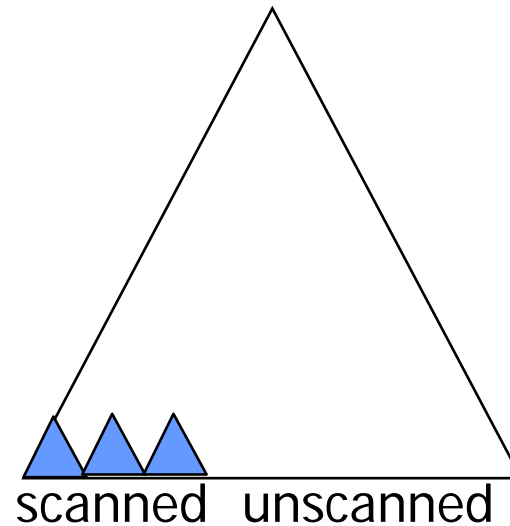


Top-down vs. Bottom-up

Bottom-up: Don't need to figure out as much of the parse tree for a given amount of input



Top-down



Bottom-up

Shift-reduce Parsing

- **Parsing actions:** a sequence of **shift** and **reduce** operations
- **Parser state:** a stack of terminals and non-terminals (grows to the right)
- Current derivation is always stack+input

Derivation step	stack	unconsumed input
(<u>1</u> +2+(3+4))+5 \leftarrow		(1+2+(3+4))+5
	(1+2+(3+4))+5
	(1	+2+(3+4))+5
(<u>E</u> +2+(3+4))+5 \leftarrow	(E	+2+(3+4))+5
(<u>S</u> + <u>2</u> +(3+4))+5 \leftarrow	(S	+2+(3+4))+5
	(S+	2+(3+4))+5
	(S+2	+ (3+4))+5
(S+ <u>E</u> +(3+4))+5 \leftarrow	(S+E	+ (3+4))+5

Shift-reduce Parsing

- Parsing is a sequence of shifts and reduces
- **Shift**: move look-ahead token to stack

stack	input	action
(1 + 2 + (3 + 4)) + 5	shift 1
(1	+ 2 + (3 + 4)) + 5	

- **Reduce**: Replace symbols β from top of stack with non-terminal symbol A , corresponding to production $A \rightarrow \beta$ (pop β , push A)

stack	input	action
<u>(S+E</u>	+ (3 + 4)) + 5	reduce $S \rightarrow S + E$
(S	+ (3 + 4)) + 5	

Shift-reduce Parsing

$$S \rightarrow S + E \mid E$$

$$E \rightarrow \text{num} \mid (S)$$

derivation	stack	input stream	action
$(1+2+(3+4))+5 \leftarrow$		$(1+2+(3+4))+5$	shift
$(1+2+(3+4))+5 \leftarrow$	($1+2+(3+4))+5$	shift
$(1+2+(3+4))+5 \leftarrow$	(1	$+2+(3+4))+5$	reduce $E \rightarrow \text{num}$
$(E+2+(3+4))+5 \leftarrow$	(E	$+2+(3+4))+5$	reduce $S \rightarrow E$
$(S+2+(3+4))+5 \leftarrow$	(S	$+2+(3+4))+5$	shift
$(S+2+(3+4))+5 \leftarrow$	(S+	$2+(3+4))+5$	shift
$(S+2+(3+4))+5 \leftarrow$	(S+2	$+(3+4))+5$	reduce $E \rightarrow \text{num}$
$(S+E+(3+4))+5 \leftarrow$	(S+E	$+(3+4))+5$	reduce $S \rightarrow S+E$
$(S+(3+4))+5 \leftarrow$	(S	$+(3+4))+5$	shift
$(S+(3+4))+5 \leftarrow$	(S+	$(3+4))+5$	shift
$(S+(3+4))+5 \leftarrow$	(S+($3+4))+5$	shift
$(S+(3+4))+5 \leftarrow$	(S+(3	$+4))+5$	reduce $E \rightarrow \text{num}$

Problem

- How do we know which action to take: whether to shift or reduce, and which production?
- Issues:
 - Sometimes can reduce but shouldn't
 - Sometimes can reduce in different ways

Action Selection Problem

- Given stack σ and look-ahead symbol b , should parser:
 - shift b onto the stack (making it σb)
 - reduce $A \rightarrow \beta$ assuming that stack has the form $\alpha\beta$ (making it αA)

LR Parsing Engine

- Basic mechanism:
 - Use a set of parser states
 - Use a stack of states
 - Use a parsing table to:
 - Determine what action to apply (shift/reduce)
 - Determine the next state
- The parser actions can be precisely determined from the table

The LR Parsing Table

	Terminals $\cup \{\epsilon\}$	Non-terminals
State	Action to take and next state to enter	Next state
	Action table	Goto table

- **Algorithm:** look at entry for current state Q and input terminal c
If $\text{Table}[Q,c] = \text{shift}(Q')$ then **shift**:
 $\text{push}(Q')$

If $\text{Table}[Q,c] = A \rightarrow \alpha$ then **reduce**:
 $\text{pop}(|\alpha|); Q' = \text{top}(); \text{push}(\text{Table}[Q',A])$

LR(1) Parsing Table Example

	()	id	,	ϵ	S	L
1	s3		s2			g4	
2	S→id	S→id	S→id	S→id	S→id		
3	s3		s2			g7	g5
4					accept		
5		s6		s8			
6	S→(L)	S→(L)	S→(L)	S→(L)	S→(L)		
7	L→S	L→S	L→S	L→S	L→S		
8	s3		s2			g9	
9	L→L,S	L→L,S	L→L,S	L→L,S	L→L,S		

LR(k) Grammars

- LR(k) = Left-to-right scanning, Right-most derivation, k look-ahead characters
- Main cases: LR(0), LR(1), and some variations (SLR and LALR(1))
- Parsers for LR(0) Grammars:
 - Determine the actions without any lookahead symbol
 - Will help us understand shift-reduce parsing
- Read: CUP User Manual