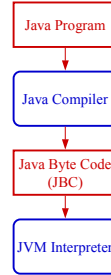


## Week 2 Lexical Analysis and Parsing

Paul Chew  
CS 212 – Spring 2004

## Recall

### ■ Compiling Java



### ■ Compiling Bali



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## Compilers

- Basically, a compiler
  - translates one language (e.g., Java)
  - into another (e.g., JBC: Java Byte Code)
- Why do this?
  - Idea is to translate a language that is easy for humans to understand into one that is easy for a computer to understand
  - This idea was initially controversial!
- Typical compiler phases
  - Lexical analysis
    - ◊ Breaking input into *tokens*
  - Parsing
    - ◊ Understanding program's structure
  - Code Generation
    - ◊ Creating code in a *simpler* language (e.g., JBC)
  - Optimization
    - ◊ Making the code more efficient (e.g., precomputing constant expressions, avoid recomputing)

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## Parts of a Language

- Human language
  - alphabet → words → sentences → paragraphs → chapters → book
- Computer language
  - alphabet → tokens → statements → program
- Both types of language have
  - Syntax
    - ◊ Structural rules
  - Semantics
    - ◊ Meaning

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## Syntax

- Remember diagramming sentences? This was syntax!  
 sentence → noun-phrase verb-phrase  
 noun-phrase → article [adjective] noun  
 verb-phrase → verb direct-object  
 direct-object → noun-phrase
  - The hungry mouse ate the cheese.
- 
- ```

graph TD
    S[sentence] --- NP1[noun-phrase]
    S --- VP[verb-phrase]
    NP1 --- A1[article]
    NP1 --- AD[adjective]
    NP1 --- N1[noun]
    VP --- V[verb]
    VP --- DO[direct-object]
    DO --- NP2[noun-phrase]
    NP2 --- A2[article]
    NP2 --- N2[noun]
  
```
- The shiny elbow drank the automobile.

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## Syntax vs. Semantics

- Syntax = structure  
Semantics = meaning
- Legal syntax does not imply valid meaning
- It's relatively easy to define valid syntax (especially if we get to invent the language)
- It's harder to specify semantics
- Examples of semantic rules for a programming language
  - Variables must be declared before use
  - Division by zero causes an error
  - The then-clause is executed only if the if-expression is True
- How can we specify semantics?
  - Formally, using logic (*axiomatic semantics*)
  - Informally, using explanations in English
  - By reference to a canonical implementation

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## Compiling Overview

- Compiling a program
  - Lexical analysis
    - ✦ Break program into tokens
  - Parsing
    - ✦ Analyze token arrangement
    - ✦ Discover structure
  - Code generation
    - ✦ Create code
- Understanding a sentence
  - Lexical analysis
    - ✦ Break sentence into words
  - Parsing
    - ✦ Analyze word arrangement
    - ✦ Discover structure
  - Understanding
    - ✦ Understand the sentence
- For a computer language, each phase can be completed before the next one begins
- For human language, there is feedback between parsing and understanding

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## Lexical Analysis

- Goal: divide program into *tokens*
- Tokens can be specified using regular expressions
  - $a^*$  = repeat a zero or more times
  - $a^+$  = repeat a one or more times
  - $[abc]$  = choose one of a, b, or c
  - $?$  = matches any one character
- Tokens
  - Individual units or words of a language
  - Smallest element in a language that conveys meaning
  - Examples: operators, names, strings, keywords, numbers
- Examples
  - operator =  $[+ - * /]$
  - integer =  $[0123456789]^*$
- For Bali, we give you the *lexical analyzer (or tokenizer)*

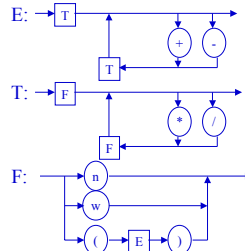
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## Building a Tokenizer

- For tokens, can tell what to do next by checking a few characters (usually 1 character) ahead
  - Example: If it starts with a letter, it's a word; the word ends when you reach a non-alphanumeric character
  - Example: If it starts with a digit, it's a number; if you reach a decimal point, it's a floating point number,...
- Java has a class `java.io.StreamTokenizer`
  - Can recognize identifiers, numbers, quoted strings, and various comment styles
  - Strangely, it can't recognize a number in scientific notation (6.02E23)
- Early computer languages were not parsed based on tokens

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## Specifying Syntax

- How do we specify syntax?
  - Can use a *grammar*
  - Can use a *syntax chart*
- Example grammar (anything in single-quotes is a token; n and w represent a number token and a word token, respectively; parentheses are used for grouping; | indicates choice; brackets indicate optional)
  - $E \rightarrow T [ ( '+' | '-' ) E ]$
  - $T \rightarrow F [ ( '*' | '/' ) T ]$
  - $F \rightarrow n | w | '(' E ')'$
- Example syntax charts (anything in a rounded box is a token)
 

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## Grammars

- The rules in a grammar are called *productions*
- Syntax rules can be specified using a *Context Free Grammar*
  - All productions are of the form  $V \rightarrow w$
  - V is a single *nonterminal* (i.e., it's not a token)
  - w is word made from *terminals* (i.e., tokens) and nonterminals
- In simple examples, uppercase is used for nonterminals, lowercase for terminals
- Example ( $\epsilon$  represents the empty string):
  - $A \rightarrow \epsilon$
  - $A \rightarrow aAb$
- A grammar defines a *language*
  - Language of example: all strings of the form  $a^n b^n$  for  $n \geq 0$
- CS 381 for more detail

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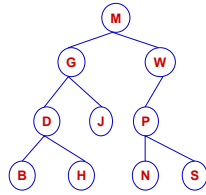
## Building a Parse Tree

- Grammars can be used in two ways
  - A grammar defines a language
  - A grammar can be used to parse a *sentence* (thus, checking if the sentence is *in* the language)
- You can show a sentence is in a language by building a *parse tree* (much like diagramming a sentence)
  - Example: Show that  $8+x/5$  is a valid Expression (E) by building a parse tree
    - $E \rightarrow T [ ( '+' | '-' ) E ]$
    - $T \rightarrow F [ ( '*' | '/' ) T ]$
    - $F \rightarrow n | w | '(' E ')'$
- For us,
  - ✦ We will give you the grammar for Bali
  - ✦ The *sentence* is a Bali program

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## Tree Terminology

- M is the *root* of this tree
- G is the *root* of the left *subtree* of M
- B, H, J, N, and S are *leaves*
- P is the *parent* of N
- M and G are *ancestors* of D
- P, N, and S are *descendants* of W
- A collection of trees is called a ??



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## Syntactic Ambiguity

- Sometimes a sentence has more than one parse tree
  - $S \rightarrow A \mid aaB$
  - $A \rightarrow \epsilon \mid aAb$
  - $B \rightarrow \epsilon \mid aB \mid bB$
  - The string `aabb` can be parsed in two ways
- This kind of ambiguity sometimes shows up in programming languages
  - if E1 then if E2 then S1 else S2
- This ambiguity actually affects the program's meaning
- How do we resolve this?
  - Provide an extra non-grammar rule (e.g., the `else` goes with the closest `if`)
  - Modify the grammar (e.g., an if-statement must end with a `'fi'`)
  - Other methods (e.g., Python uses amount of indentation)
- We try to avoid syntactic ambiguity in Bali

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