



THREADS & CONCURRENCY

Lecture 23– CS2110 – Fall 2018

CPU Central Processing Unit. Simplified view

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The CPU is the part of the computer that executes instructions.

Java: $x = x + 2;$

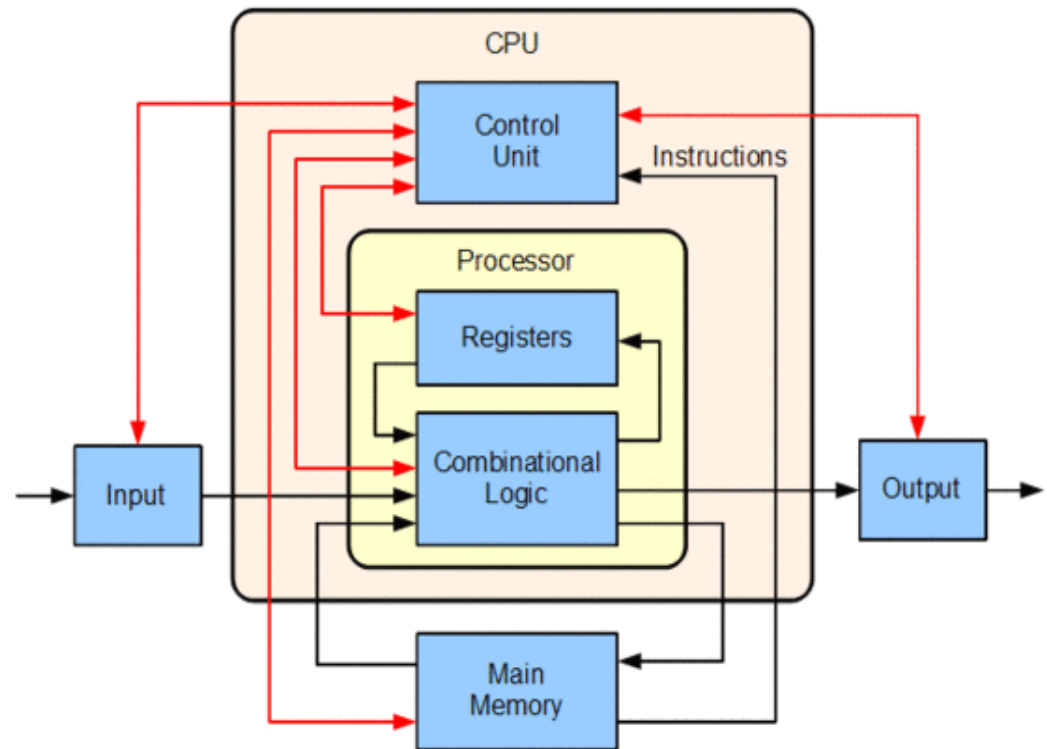
Suppose variable x is at
Memory location 800,
Instructions at 10

Machine language:

10: load register 1, 800

11: Add register 1, 2

12: Store register 1, 800














Basic uniprocessor-CPU computer.
Black lines indicate data flow, red
lines indicate control flow

From wikipedia

Part of Activity Monitor in Gries's laptop

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>100 processes are competing for time. Here's some of them:

Process Name	% CPU ▾	CPU Time	Threads
 Grab	4.1	3.33	7
ReportCrash	2.3	0.78	6
 Eclipse	1.5	1:48:30.07	54
 SuperTab	1.4	1:40:44.59	5
 Activity Monitor	1.4	10.57	10
 https://www.wunderground.c...	1.1	1:34.19	23
 Creative Cloud	0.8	58:32.81	27
 Microsoft PowerPoint	0.6	3:24.02	9
 Safari Networking	0.4	26:53.25	10
 loginwindow	0.3	16:14.79	4
 Google Drive	0.3	6.33	22
 Safari	0.3	50:09.48	24

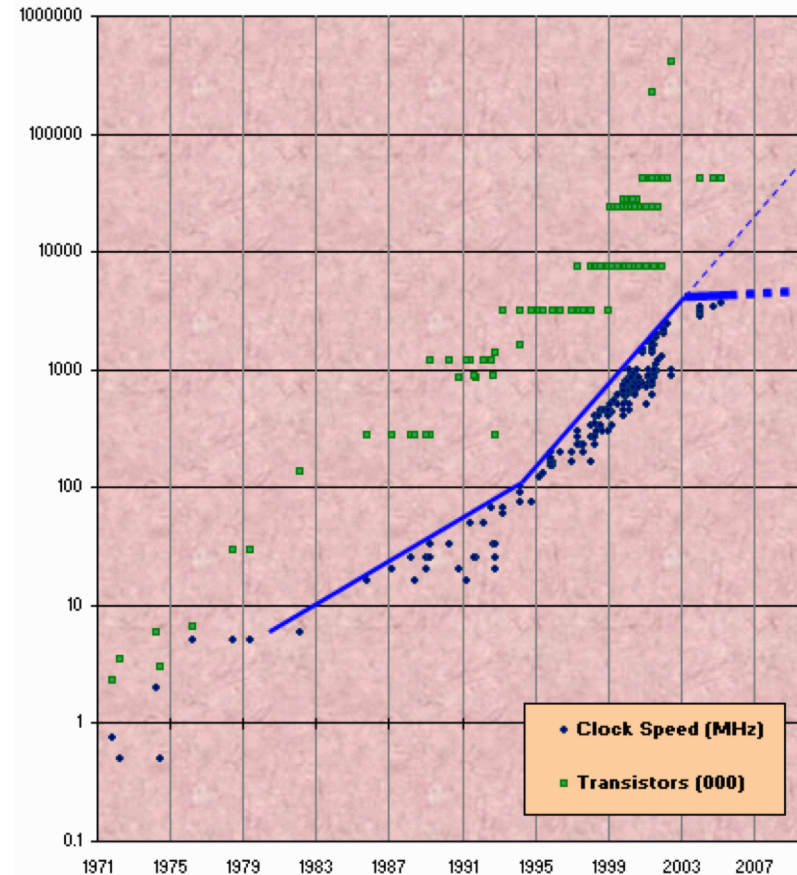
Clock rate

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- Clock rate “frequency at which CPU is running”

Higher the clock rate, the faster instructions are executed.

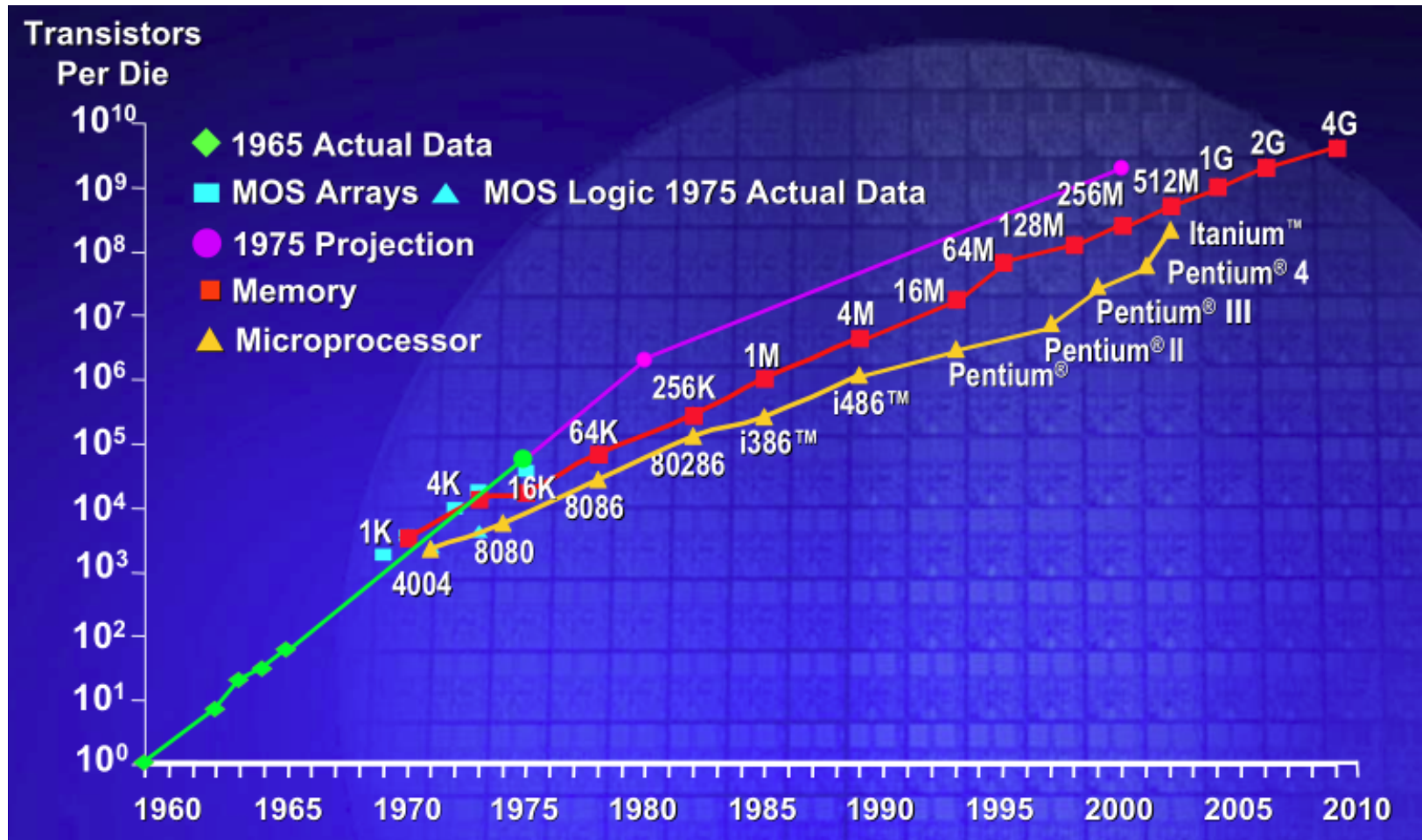
- First CPUs: 5-10 Hz (cycles per second)
- Today MacBook Pro 3.5GHz
- Your OS can control the clock rate, slow it down when idle, speed up when more work to do



Why multicore?

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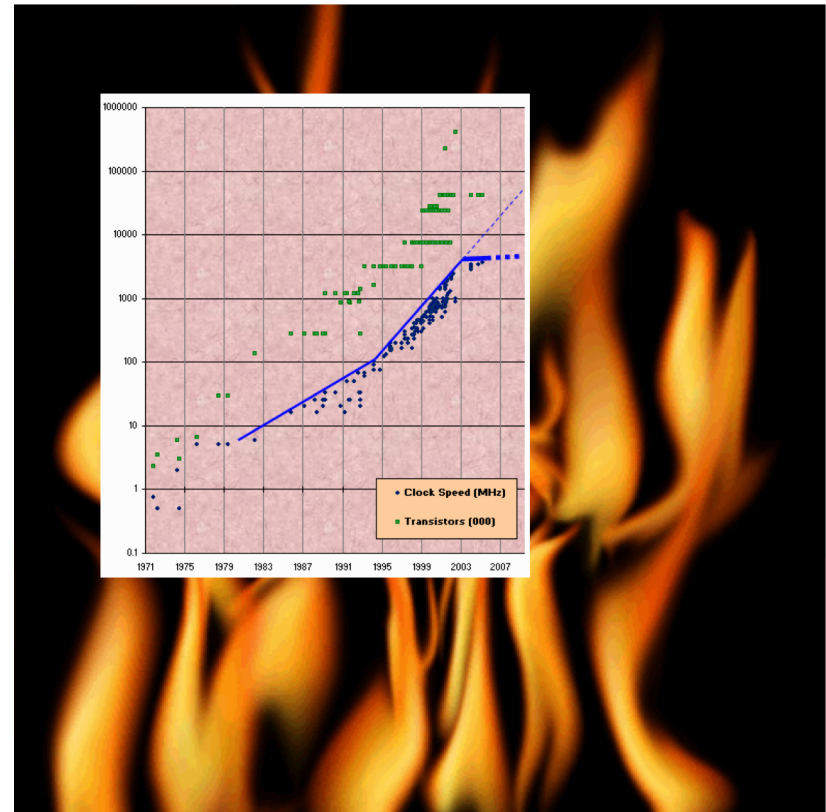
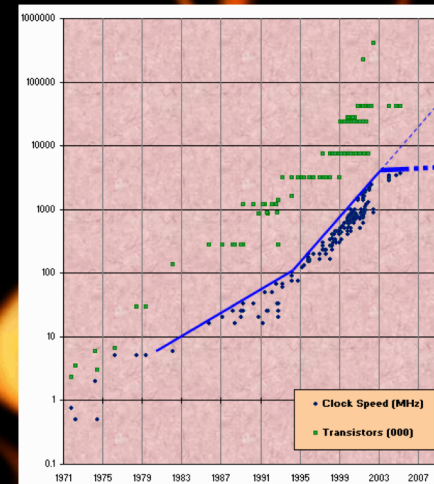
- Moore's Law: Computer speeds and memory densities nearly double each year



But a fast computer runs hot

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- Power dissipation rises as square of the clock rate
- Chips were heading toward melting down!
- Put more CPUs on a chip:
with four CPUs on one
chip, even if we run each at
half speed we can perform
more overall computations!



Today: Not one CPU but many

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Processing Unit is called a **core**.

- Modern computers have “multiple cores” (processing units)
 - ▣ Instead of a single CPU (central processing unit) on the chip 5-10 common. Intel has prototypes with 80!

- We often run many programs at the same time

- Even with a single core (processing unit), your program may have more than one thing “to do” at a time
 - ▣ Argues for having a way to do many things at once

Many programs. Each can have several “threads of execution”

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We often run many programs at the same time

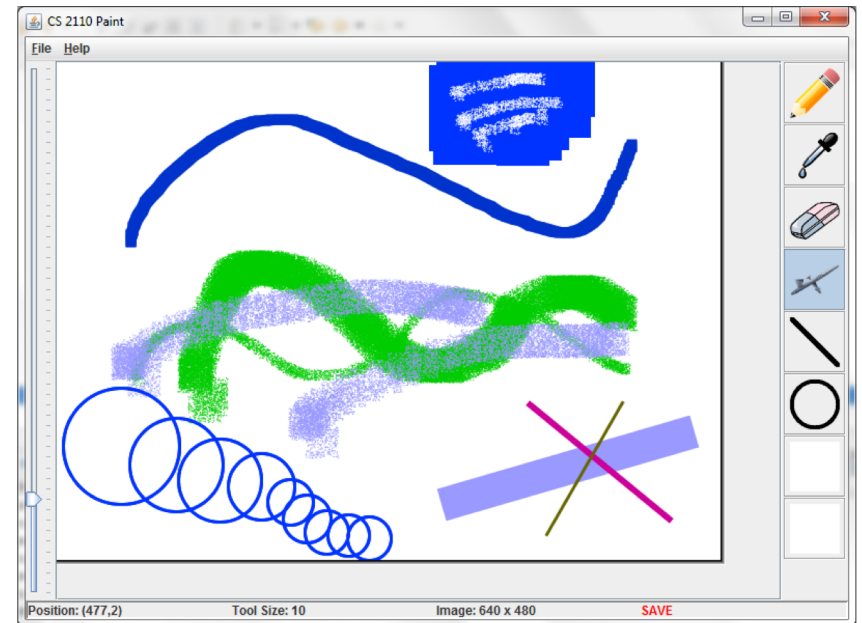
And each program may have several “threads of execution”

Example, in a Paint program, when you click the pencil tool, a new thread of execution is started to call the method to process it:

Main GUI thread



Process pencil click



Programming a Cluster...

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- Sometimes you want to write a program that is executed on many machines!
- Atlas Cluster (at Cornell):
 - 768 cores
 - 1536 GB RAM
 - 24 TB Storage
 - 96 NICs (Network Interface Controller)



Many processes are executed simultaneously on your computer

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- Operating system provides support for multiple “processes”
- Usually fewer processors than processes
- Processes are an abstraction:
at hardware level, lots of multitasking
 - memory subsystem
 - video controller
 - buses
 - instruction prefetching

Concurrency

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- *Concurrency* refers to a single program in which several processes, called threads, are running simultaneously
 - ▣ Special problems arise
 - ▣ They reference the same data and can interfere with each other, e.g. one process modifies a complex structure like a heap while another is trying to read it
- CS2110: we focus on two main issues:
 - ▣ **Race conditions**
 - ▣ **Deadlock**

Race conditions

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- A “race condition” arises if two or more processes access the same variables or objects concurrently and at least one does updates
- Example: Processes $t1$ and $t2$ $x = x + 1;$ for some static global x .

Process $t1$

Process $t2$

...

...

$x = x + 1;$

$x = x + 1;$

But $x = x + 1;$ is not an “atomic action”: it takes several steps

Race conditions

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- Suppose x is initially 5

Thread t1

- LOAD x
- ADD 1
- STORE x

Thread t2

- ...
- LOAD x
- ADD 1
- STORE x

- ... after finishing, $x = 6!$ We “lost” an update

Race conditions

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- Typical race condition: two processes wanting to change a stack at the same time. Or make conflicting changes to a database at the same time.
- Race conditions are bad news
 - ▣ Race conditions can cause many kinds of bugs, not just the example we see here!
 - ▣ Common cause for “blue screens”: null pointer exceptions, damaged data structures
 - ▣ Concurrency makes proving programs correct much harder!

Deadlock

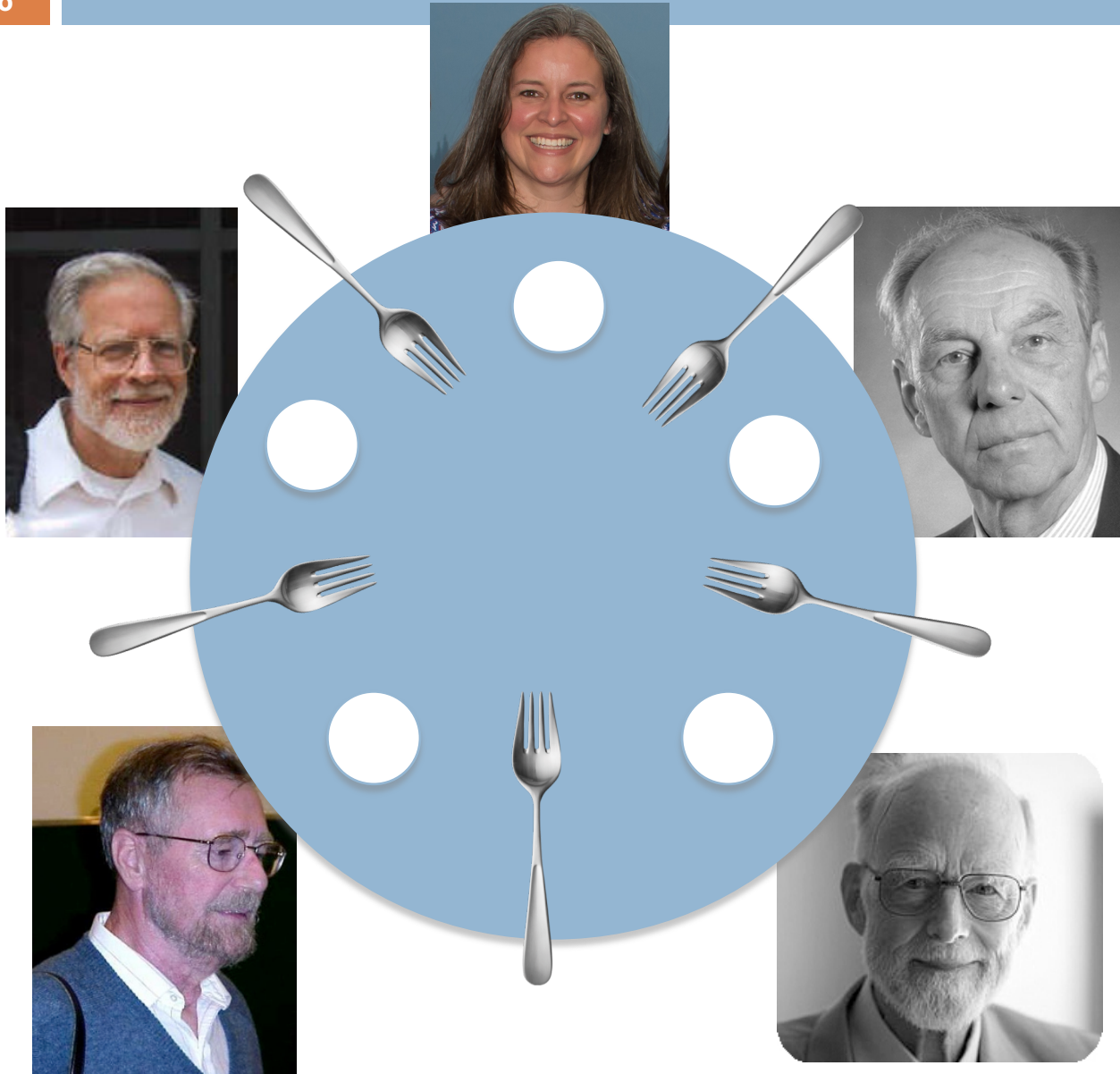
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- To prevent race conditions, one often requires a process to “acquire” resources before accessing them, and only one process can “acquire” a given resource at a time.
- Examples of resources are:
 - ▣ A file to be read
 - ▣ An object that maintains a stack, a linked list, a hash table, etc.
- But if processes have to acquire two or more resources at the same time in order to do their work, **deadlock** can occur. This is the subject of the next slides.

Dining philosopher problem

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Five philosophers sitting at a table.

Each **repeatedly** does this:

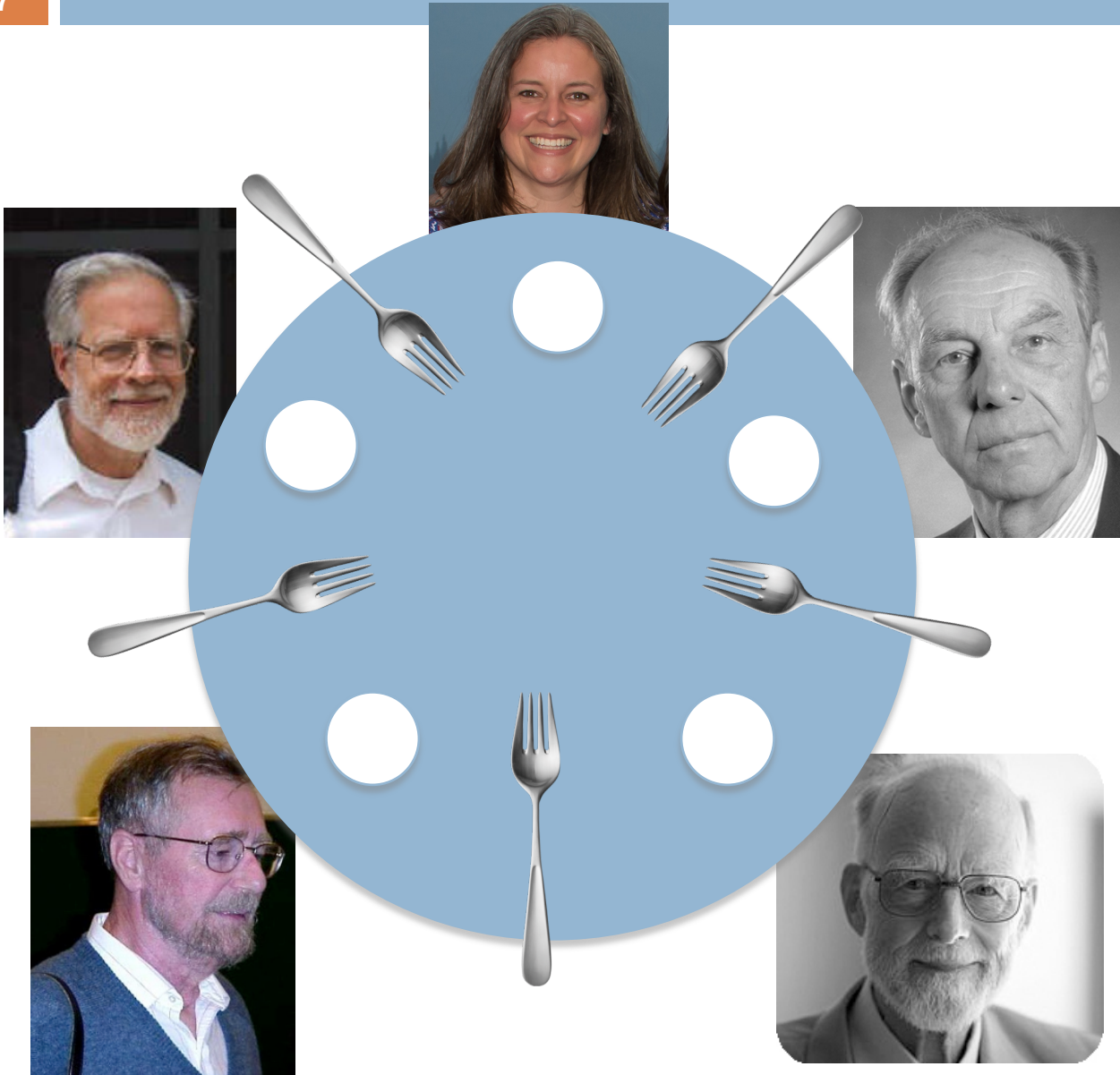
1. **think**
2. **eat**

What do they eat?
spaghetti.

Need **TWO** forks to eat spaghetti!

Dining philosopher problem

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Each does repeatedly :

1. think
2. eat (2 forks)

eat is then:

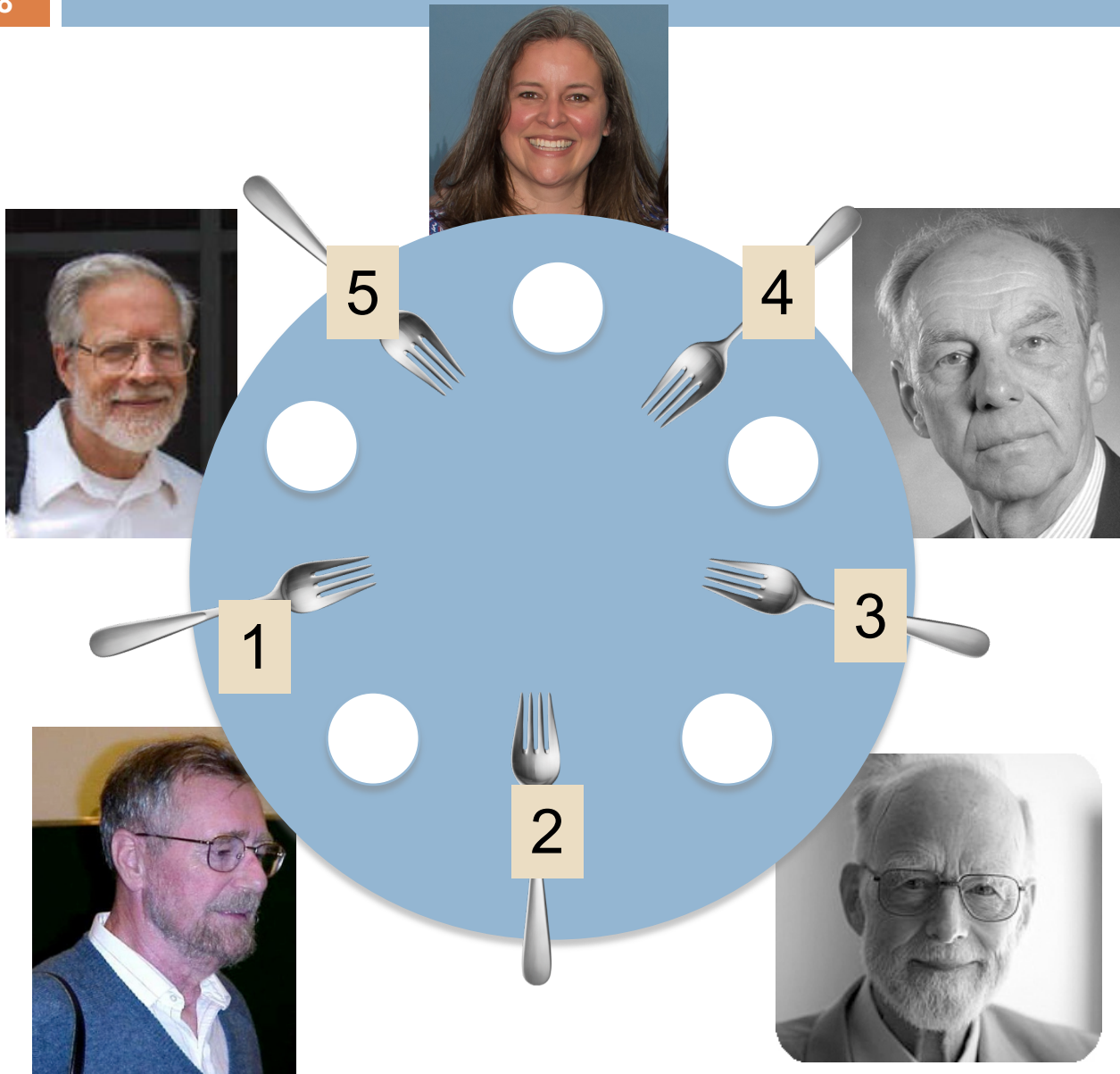
- pick up left fork
- pick up right fork
- pick up food, eat
- put down left fork
- put down right fork

At one point,
they all pick up
their left forks

DEADLOCK!

Dining philosopher problem

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Simple solution to deadlock:

Number the forks. Pick up smaller one first

1. think
2. eat (2 forks)

eat is then:

pick up smaller fork
pick up bigger fork
pick up food, eat
put down bigger fork
put down smaller fork

Java: What is a Thread?

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- *A separate “execution” that runs within a single program and can perform a computational task independently and concurrently with other threads*
- Many applications do their work in just a single thread: the one that called main() at startup
 - ▣ But there may still be extra threads...
 - ▣ ... Garbage collection runs in a “background” thread
 - ▣ GUIs have a separate thread that listens for events and “dispatches” calls to methods to process them
- **Today: learn to create new threads of our own in Java**

Thread

- A thread is an object that “independently computes”
 - ▣ Needs to be created, like any object
 - ▣ Then “started” --causes some method to be called. It runs side by side with other threads in the same program; they see the same global data
- The actual executions could occur on different CPU cores, but but don't have to
 - ▣ We can also simulate threads by *multiplexing* a smaller number of cores over a larger number of threads

Java class Thread

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- threads are instances of class Thread
 - ▣ Can create many, but they do consume space & time
- The Java Virtual Machine creates the thread that executes your main method.
- Threads have a priority
 - ▣ Higher priority threads are executed preferentially
 - ▣ By default, newly created threads have initial priority equal to the thread that created it (but priority can be changed)

Creating a new Thread (Method 1)

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```
class PrimeThread extends Thread {  
    long a, b;  
  
    PrimeThread(long a, long b)  
        this.a= a; this.b= b;  
  
    public void run() {  
        //compute primes between a and b  
        ...  
    }  
}
```

overrides
`Thread.run()`

Call `run()` directly?
no new thread is used:
Calling thread will run it


```
PrimeThread p= new PrimeThread(143, 195);  
p.start();
```

Do this and
Java invokes `run()` in new thread

Creating a new Thread (Method 2)

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```
class PrimeRun implements Runnable {  
    long a, b;  
  
    PrimeRun(long a, long b) {  
        this.a= a; this.b= b;  
    }  
  
    public void run() {  
        //compute primes between a and b  
        ...  
    }  
}
```



```
PrimeRun p= new PrimeRun(143, 195);  
new Thread(p).start();
```

Example

Thread name, priority, thread group

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```
public class ThreadTest extends Thread {  
  
    public static void main(String[] args) {  
        new ThreadTest().start();  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
  
    public void run() {  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
}
```

```
Thread[Thread-0,5,main] 0  
Thread[main,5,main] 0  
Thread[main,5,main] 1  
Thread[main,5,main] 2  
Thread[main,5,main] 3  
Thread[main,5,main] 4  
Thread[main,5,main] 5  
Thread[main,5,main] 6  
Thread[main,5,main] 7  
Thread[main,5,main] 8  
Thread[main,5,main] 9  
Thread[Thread-0,5,main] 1  
Thread[Thread-0,5,main] 2  
Thread[Thread-0,5,main] 3  
Thread[Thread-0,5,main] 4  
Thread[Thread-0,5,main] 5  
Thread[Thread-0,5,main] 6  
Thread[Thread-0,5,main] 7  
Thread[Thread-0,5,main] 8  
Thread[Thread-0,5,main] 9
```


Example

Thread name, priority, thread group

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```
public class ThreadTest extends Thread {  
  
    public static void main(String[] args) {  
        new ThreadTest().start();  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
  
    public void run() {  
        currentThread().setPriority(4);  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
}
```

```
Thread[main,5,main] 0  
Thread[main,5,main] 1  
Thread[main,5,main] 2  
Thread[main,5,main] 3  
Thread[main,5,main] 4  
Thread[main,5,main] 5  
Thread[main,5,main] 6  
Thread[main,5,main] 7  
Thread[main,5,main] 8  
Thread[main,5,main] 9  
Thread[Thread-0,4,main] 0  
Thread[Thread-0,4,main] 1  
Thread[Thread-0,4,main] 2  
Thread[Thread-0,4,main] 3  
Thread[Thread-0,4,main] 4  
Thread[Thread-0,4,main] 5  
Thread[Thread-0,4,main] 6  
Thread[Thread-0,4,main] 7  
Thread[Thread-0,4,main] 8  
Thread[Thread-0,4,main] 9
```

Example

Thread name, priority, thread group



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```
public class ThreadTest extends Thread {  
  
    public static void main(String[] args) {  
        new ThreadTest().start();  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
  
    public void run() {  
        currentThread().setPriority(6);  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
}
```

```
Thread[main,5,main] 0  
Thread[main,5,main] 1  
Thread[main,5,main] 2  
Thread[main,5,main] 3  
Thread[main,5,main] 4  
Thread[main,5,main] 5  
Thread[Thread-0,6,main] 0  
Thread[Thread-0,6,main] 1  
Thread[Thread-0,6,main] 2  
Thread[Thread-0,6,main] 3  
Thread[Thread-0,6,main] 4  
Thread[Thread-0,6,main] 5  
Thread[Thread-0,6,main] 6  
Thread[Thread-0,6,main] 7  
Thread[Thread-0,6,main] 8  
Thread[Thread-0,6,main] 9  
Thread[main,5,main] 6  
Thread[main,5,main] 7  
Thread[main,5,main] 8  
Thread[main,5,main] 9
```

Example

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```
public class ThreadTest extends Thread {
    static boolean ok = true;

    public static void main(String[] args) {
        new ThreadTest().start();
        for (int i = 0; i < 10; i++) {
            System.out.println("waiting...");
            yield();
        }
        ok = false;
    }

    public void run() {
        while (ok) {
            System.out.println("running...");
            yield();
        }
        System.out.println("done");
    }
}
```

If threads happen to be sharing a CPU, yield allows other waiting threads to run.

```
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
```

```
waiting...
running...
waiting...
running...
done
```



Terminating Threads is tricky

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- Easily done... but only in certain ways
 - ▣ *Safe way to terminate a thread: return from method run*
 - ▣ *Thread throws uncaught exception? whole program will be halted (but it can take a second or two ...)*
- Some old APIs have issues: stop(), interrupt(), suspend(), destroy(), etc.
 - ▣ Issue: Can easily leave application in a “broken” internal state.
 - ▣ Many applications have some kind of variable telling the thread to stop itself.



Threads can pause

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- When active, a thread is “runnable”.
 - ▣ It may not actually be “running”. For that, a CPU must schedule it. Higher priority threads could run first.
- A thread can pause
 - ▣ Call `Thread.sleep(k)` to sleep for `k` milliseconds
 - ▣ Doing I/O (e.g. read file, wait for mouse input, open file) can cause thread to pause
 - ▣ Java has a form of locks associated with objects. When threads lock an object, one succeeds at a time.

Background (daemon) Threads



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- In many applications we have a notion of “foreground” and “background” (daemon) threads
 - ▣ Foreground threads are doing visible work, like interacting with the user or updating the display
 - ▣ Background threads do things like maintaining data structures (rebalancing trees, garbage collection, etc.)

- On your computer, the same notion of background workers explains why so many things are always running in the task manager.

Fancier forms of locking

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- Java developers have created various synchronization abstract data types
 - ▣ Semaphores: a kind of synchronized counter (invented by Dijkstra)
 - ▣ Event-driven synchronization
- The Windows and Linux and Apple O/S have kernel locking features, like file locking
- But for Java, **synchronized** is the core mechanism

Summary

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- ▣ Use of multiple processes and multiple threads within each process can exploit concurrency
 - Which may be real (multicore) or “virtual” (an illusion)
- ▣ When using threads, beware!
 - Synchronize any shared memory to avoid race conditions
 - Synchronize objects in certain order to avoid deadlocks
 - Even with proper synchronization, concurrent programs can have other problems such as “livelock”
- ▣ Serious treatment of concurrency is a complex topic (covered in more detail in cs3410 and cs4410)