

# TREES

Lecture 12

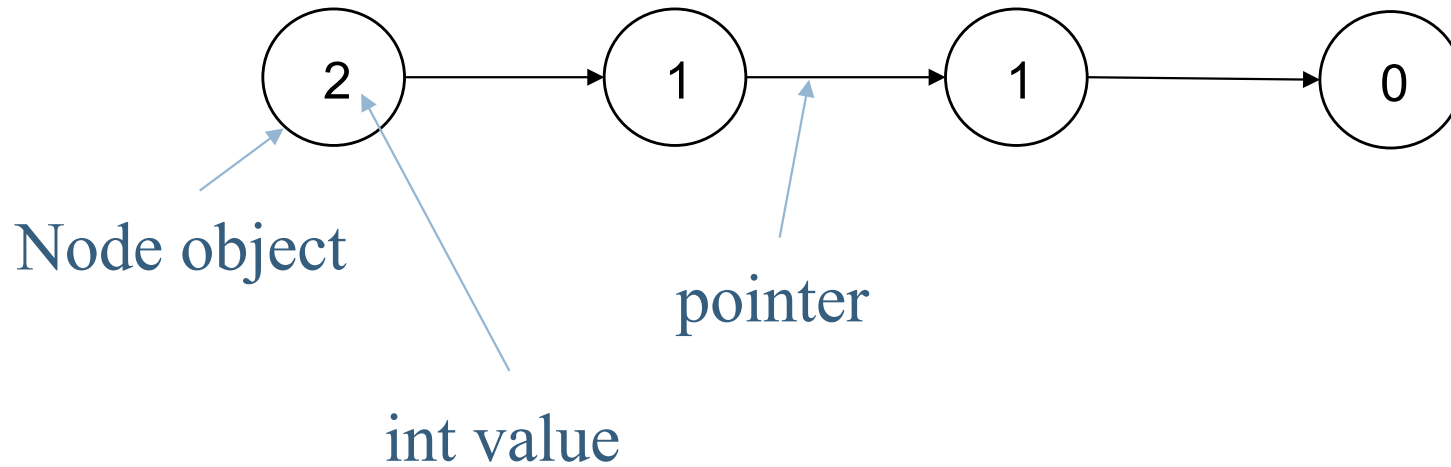
CS2110 – Fall 2017

# Important Announcements

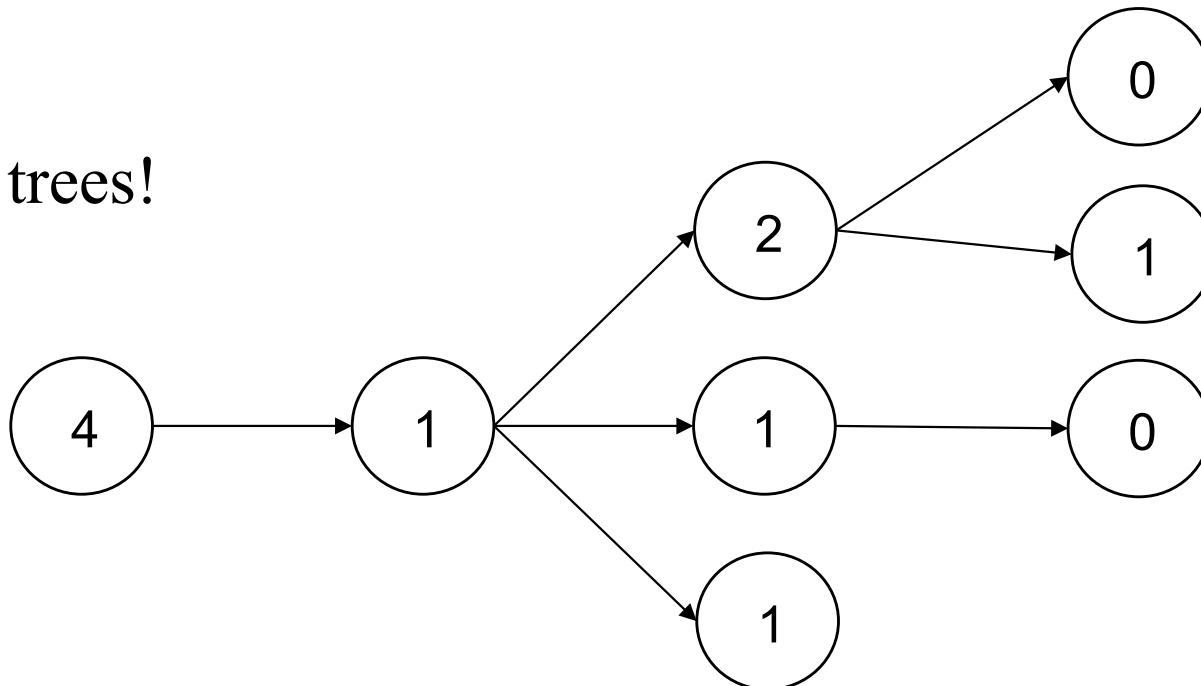
2

- A4 is out now and due two weeks from today. Have fun, and start early!

A picture of a singly linked list:



Today: trees!

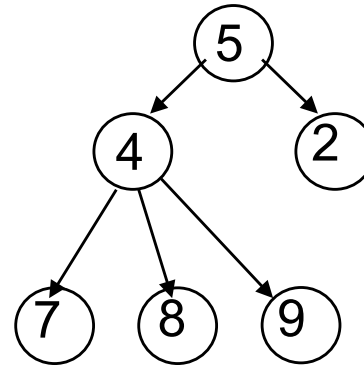


# Tree Overview

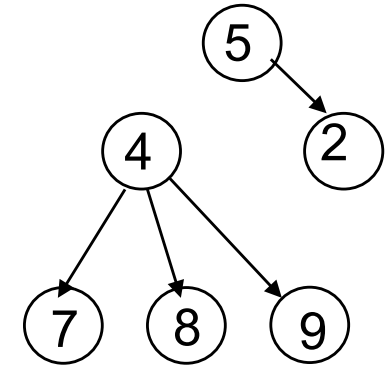
4

*Tree*: data structure with nodes, similar to linked list

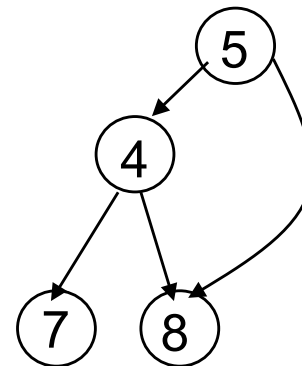
- Each node may have zero or more *successors* (children)
- Each node has exactly one *predecessor* (parent) except the *root*, which has none
- All nodes are reachable from *root*



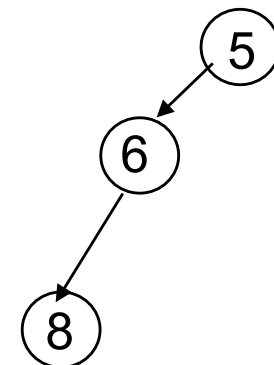
A tree



Not a tree



Also not a tree



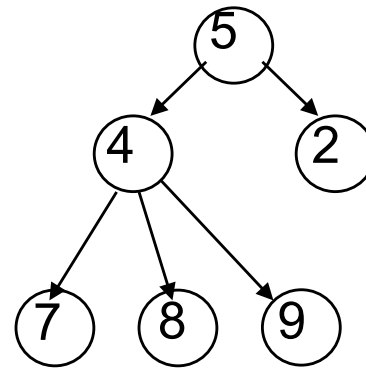
List-like tree

# Binary Trees

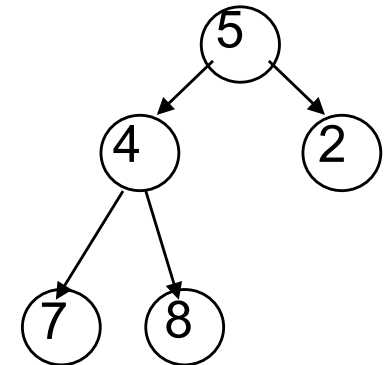
5

A *binary tree* is a particularly important kind of tree where every node has at most two children.

In a binary tree, the two children are called the *left* and *right* children.



Not a binary tree  
(a *general tree*)



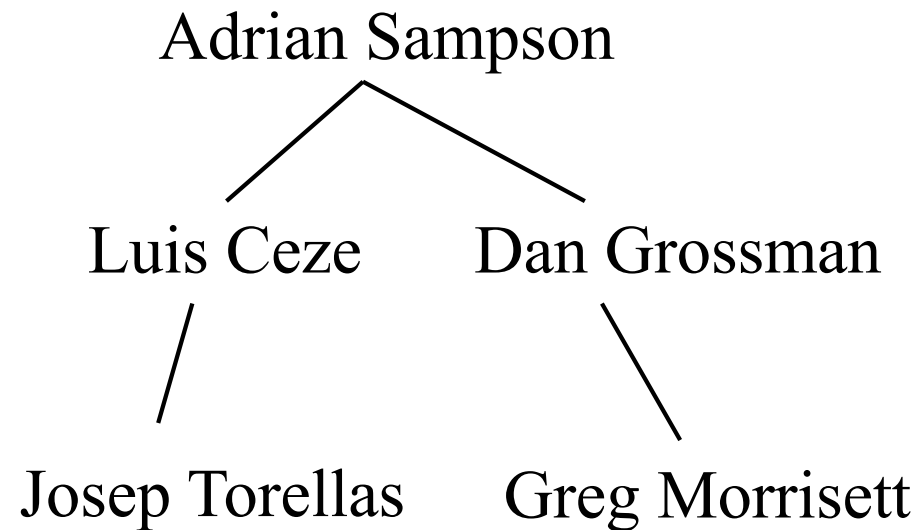
Binary tree

# Binary trees were in A1!

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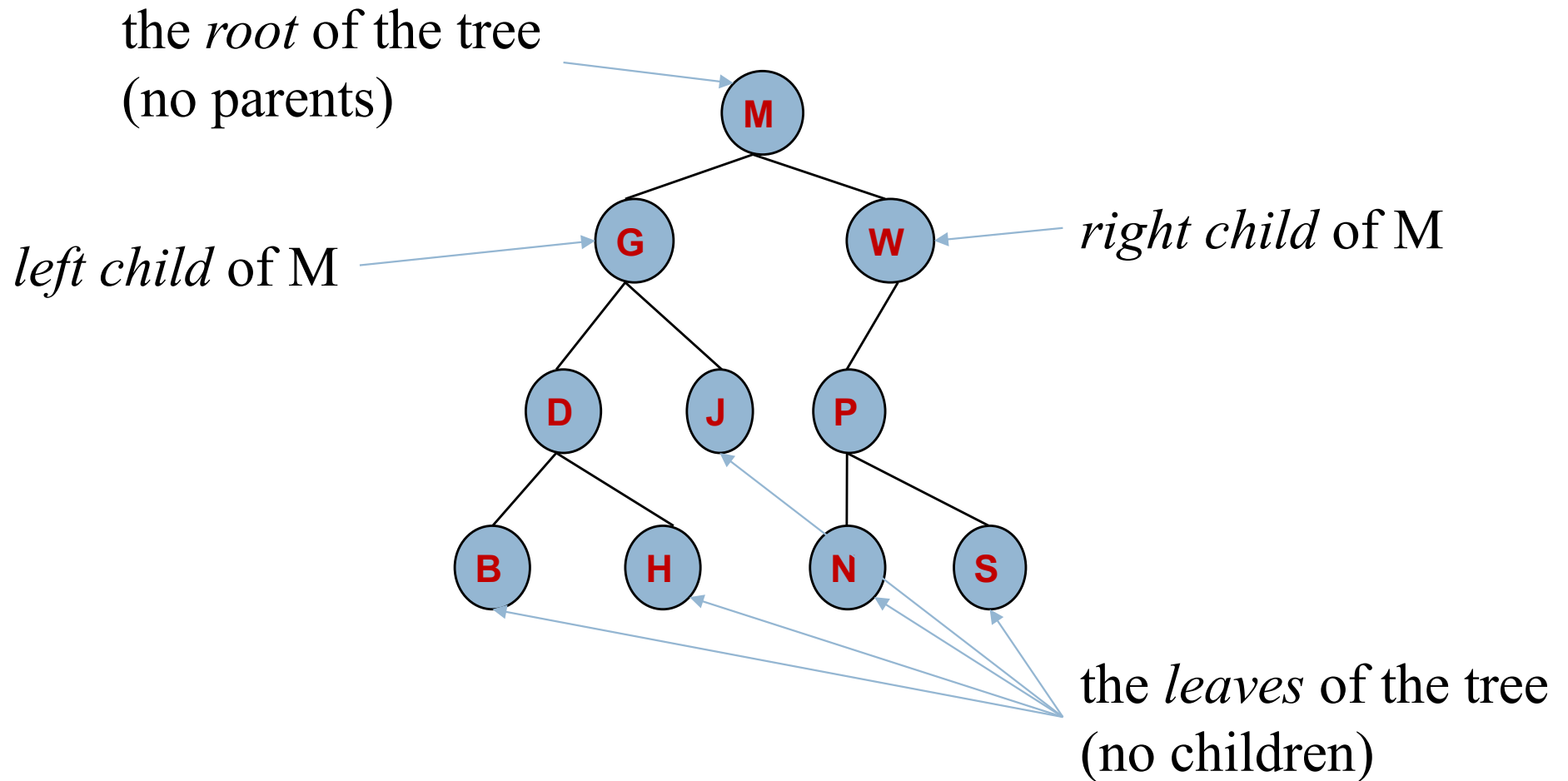
You have seen a binary tree in A1.

A PhD object has one or two advisors. (Confusingly, my advisors are my “children.”)



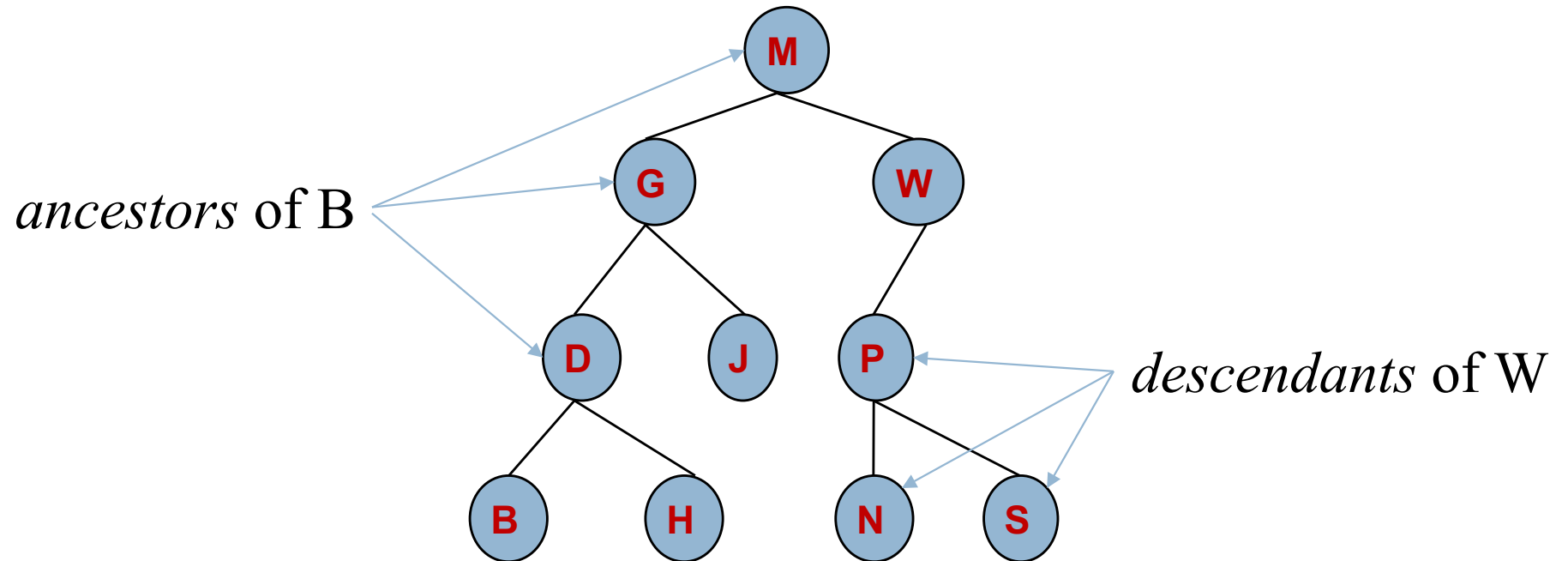
# Tree Terminology

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# Tree Terminology

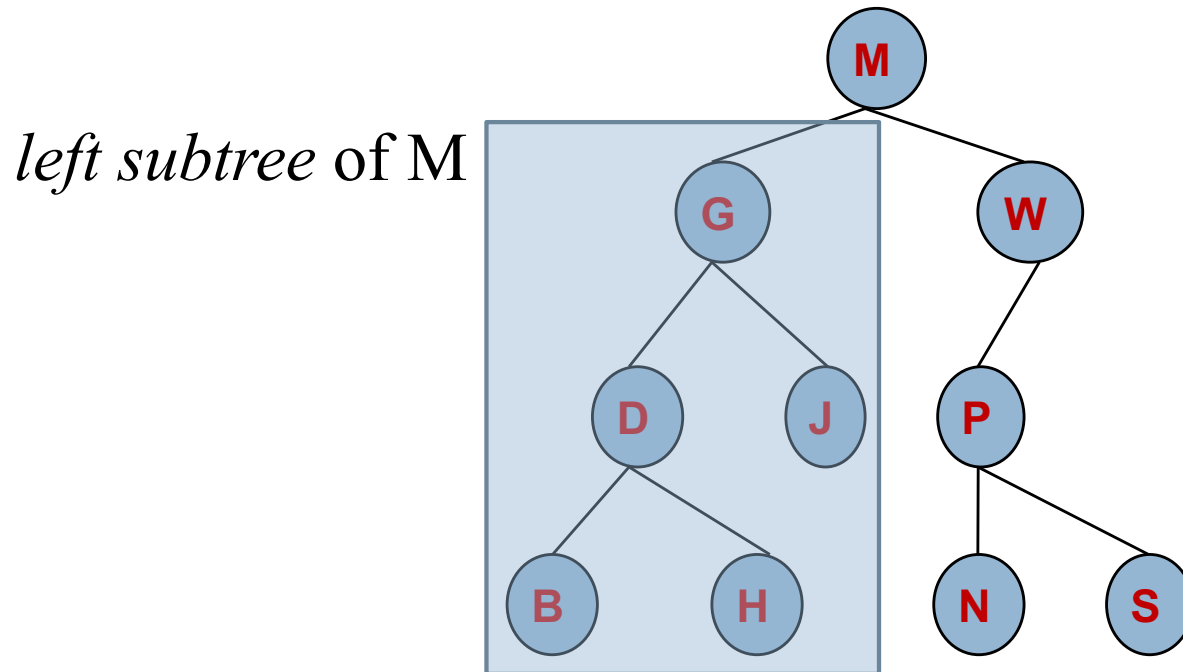
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# Tree Terminology

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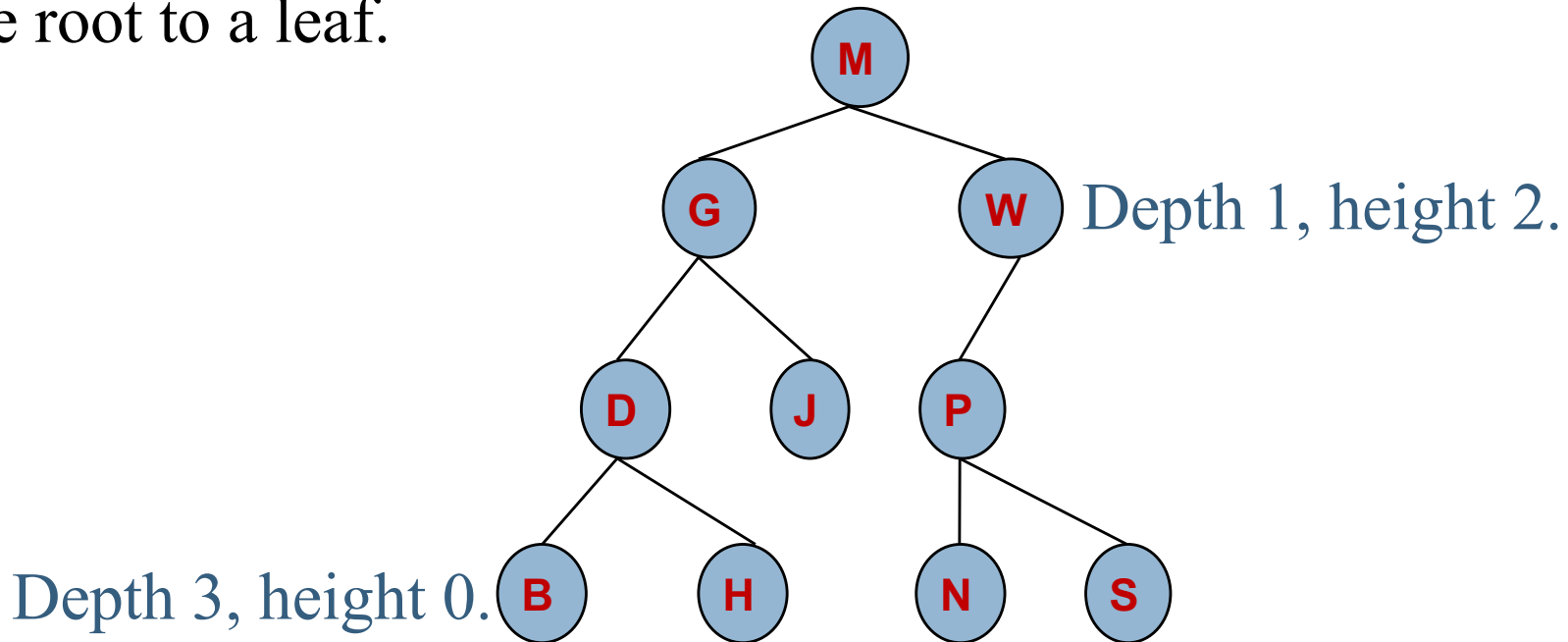


# Tree Terminology

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A node's *depth* is the length of the path to the root.

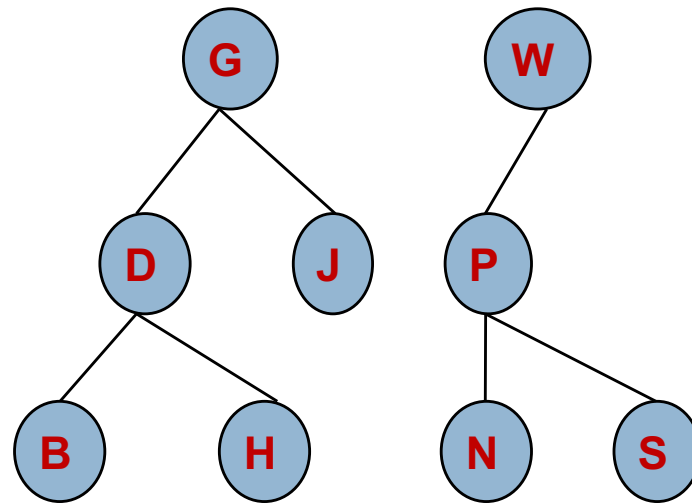
A tree's (or subtree's) *height* is the length of the longest path from the root to a leaf.



# Tree Terminology

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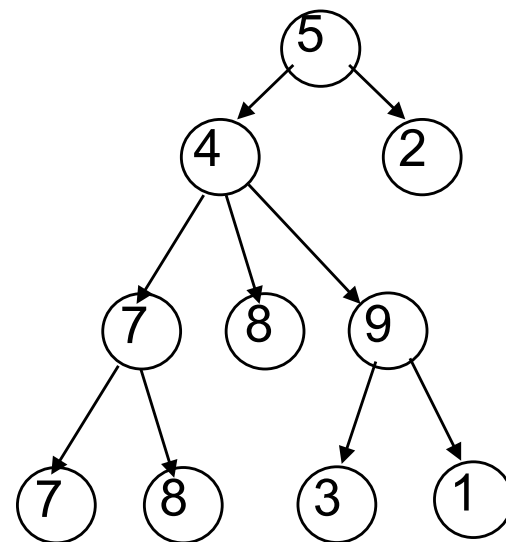
Multiple trees: a *forest*.



# Class for general tree nodes

```
class GTreeNode<T> {  
    private T value;  
    private List<GTreeNode<T>> children;  
    //appropriate constructors, getters,  
    //setters, etc.  
}
```

Parent contains a list of  
its children



General  
tree

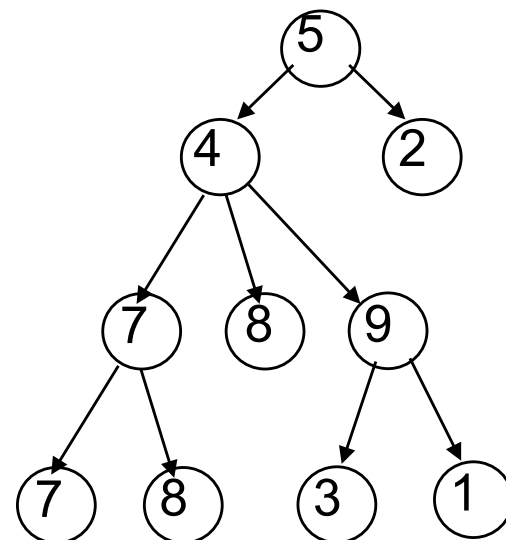
# Class for general tree nodes

```
class GTreeNode<T> {  
    private T value;  
    private List<GTreeNode<T>> children;  
    //appropriate constructors, getters,  
    //setters, etc.  
}
```

Java.util.List is an interface!

It defines the methods that all implementation must implement.

Whoever writes this class gets to decide what implementation to use —  
ArrayList? LinkedList? Etc.?



General  
tree

# Class for binary tree node

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```
class TreeNode<T> {  
    private T value;  
    private TreeNode<T> left, right;  
  
    /** Constructor: one-node tree with datum x */  
    public TreeNode (T d) { datum= d; left= null; right= null;}  
  
    /** Constr: Tree with root value x, left tree l, right tree r */  
    public TreeNode (T d, TreeNode<T> l, TreeNode<T> r) {  
        datum= d; left= l; right= r;  
    }  
}
```

Either might be null if  
the subtree is empty.

more methods: getValue, setValue,  
getLeft, setLeft, etc.

# Binary versus general tree

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In a binary tree, each node has up to two pointers: to the left subtree and to the right subtree:

- ▣ One or both could be **null**, meaning the subtree is empty (remember, a tree is a set of nodes)

In a general tree, a node can have any number of child nodes (and they need not be ordered)

- ▣ Very useful in some situations ...
- ▣ ... one of which may be in an assignment!

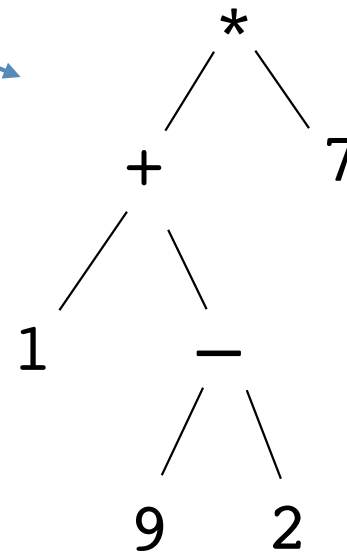
# An Application: Syntax Trees

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“parsing”

`(1 + (9 - 2)) * 7`

A Java expression as a string.



An expression as a tree.



# Applications of Tree: Syntax Trees

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- Most languages (natural and computer) have a recursive, hierarchical structure
- This structure is *implicit* in ordinary textual representation
- Recursive structure can be made *explicit* by representing sentences in the language as trees: **Abstract Syntax Trees** (ASTs)
- ASTs are easier to optimize, generate code from, etc. than textual representation
- A **parser** converts textual representations to AST

# Applications of Tree: Syntax Trees

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In textual representation:

Parentheses show hierarchical structure

In tree representation:

Hierarchy is explicit in the structure of the tree

We'll talk more about expressions and trees in next lecture

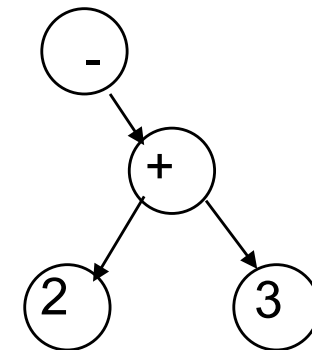
Text

Tree Representation

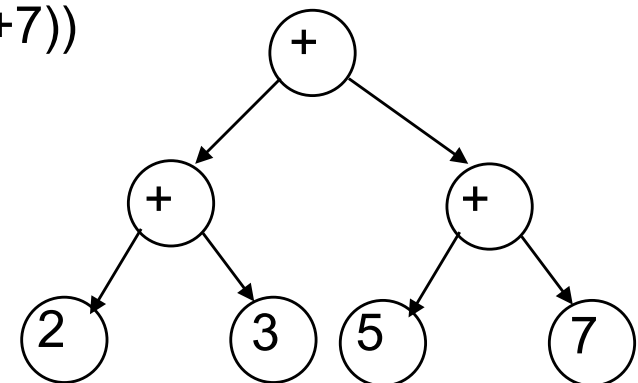
-34



- (2 + 3)



((2+3) + (5+7))



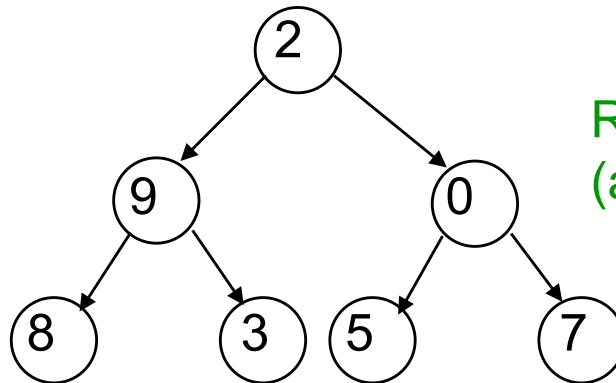
# A Tree is a Recursive Thing

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A **binary tree** is either `null` or an object consisting of a value, a left **binary tree**, and a right **binary tree**.

# Looking at trees recursively

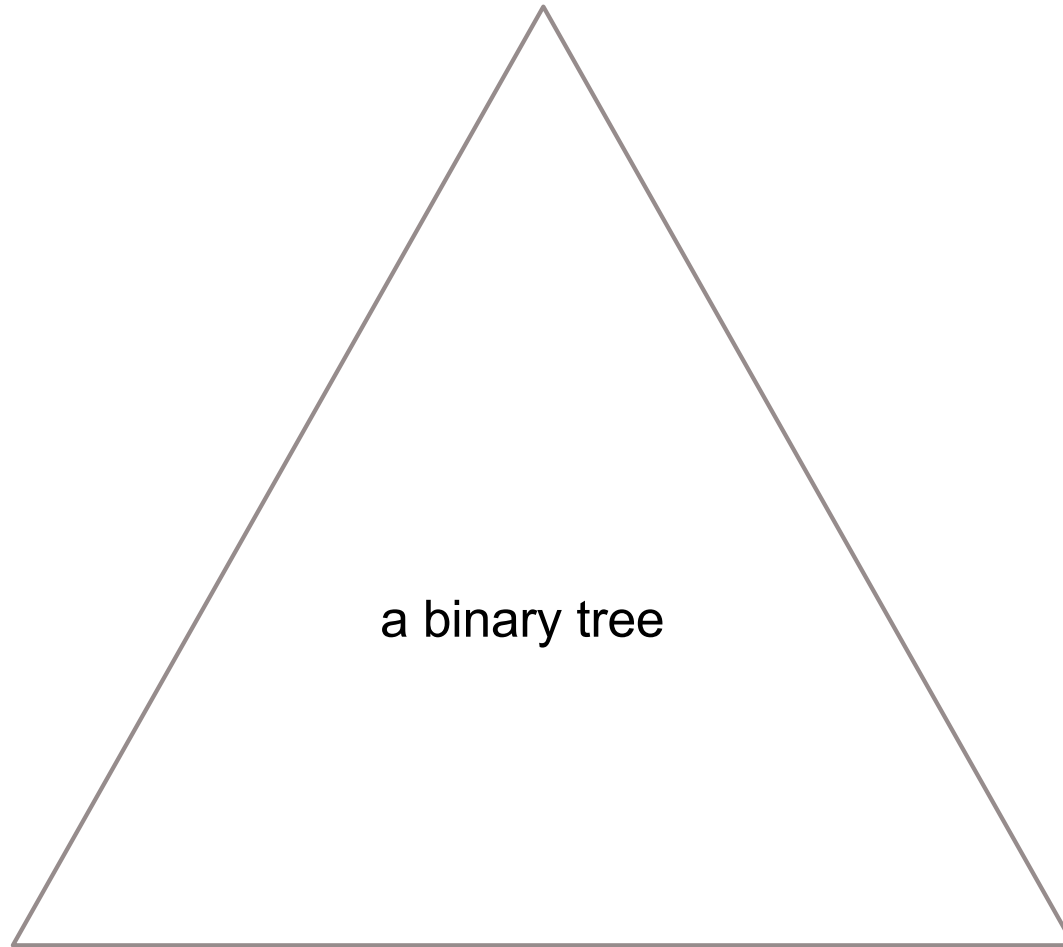
Binary tree



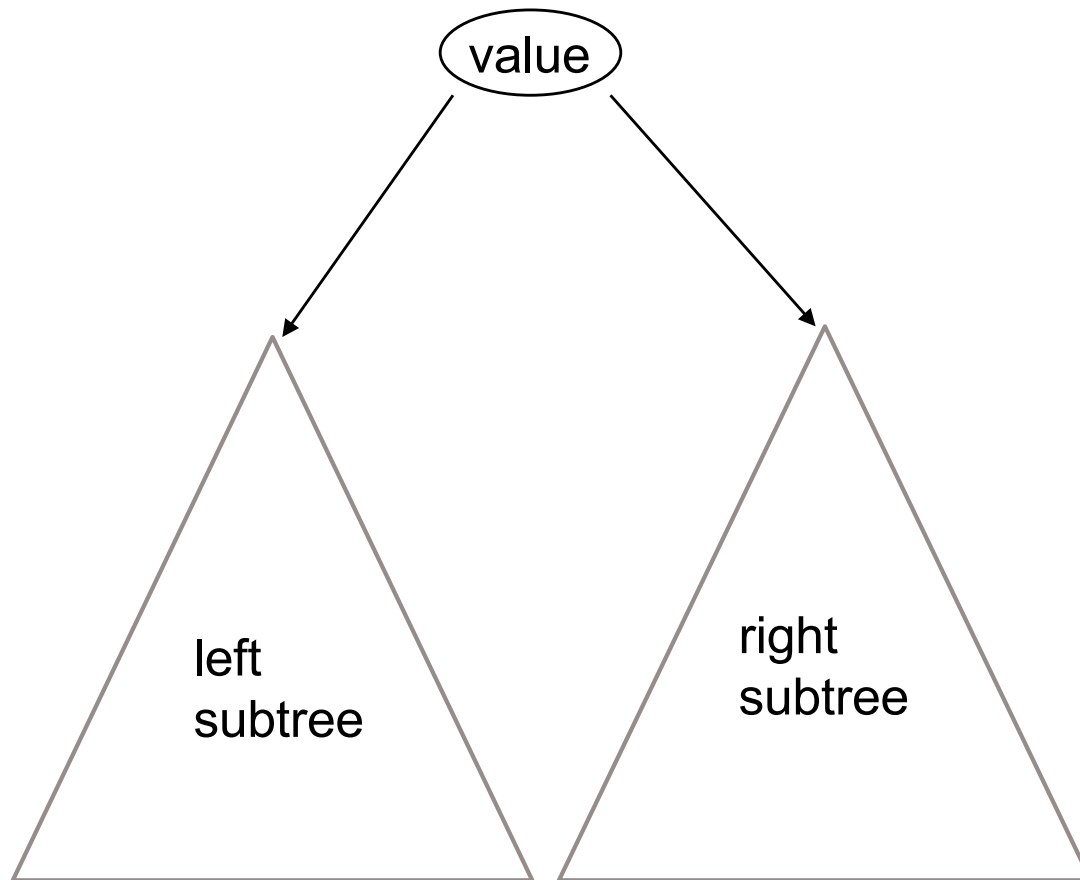
Right subtree  
(also a binary tree)

Left subtree,  
which is a binary tree too

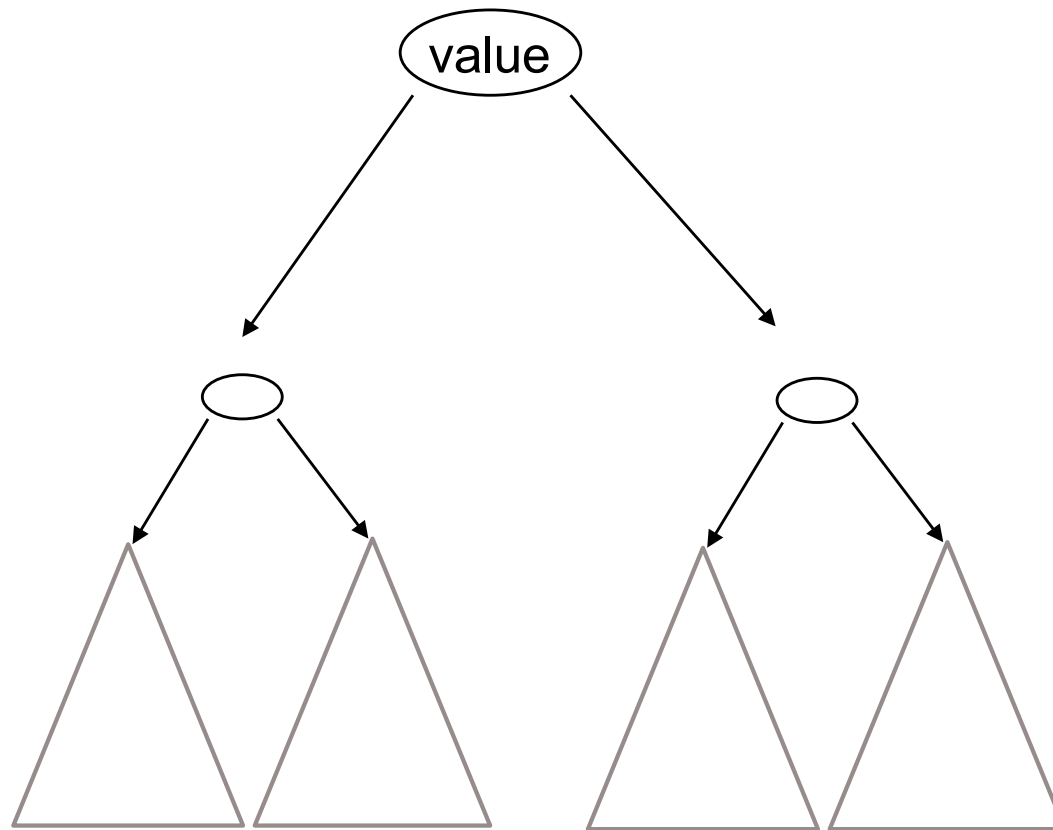
# Looking at trees recursively



# Looking at trees recursively



# Looking at trees recursively



# A Recipe for Recursive Functions

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Base case:

If the input is “easy,” just solve the problem directly.

Recursive case:

Get a smaller part of the input (or several parts).

Call the function on the smaller value(s).

Use the recursive result to build a solution for the full input.



# Recursive Functions on Binary Trees

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Base case:

empty tree (null)  
or, possibly, a leaf

Recursive case:

Call the function on **each subtree**.

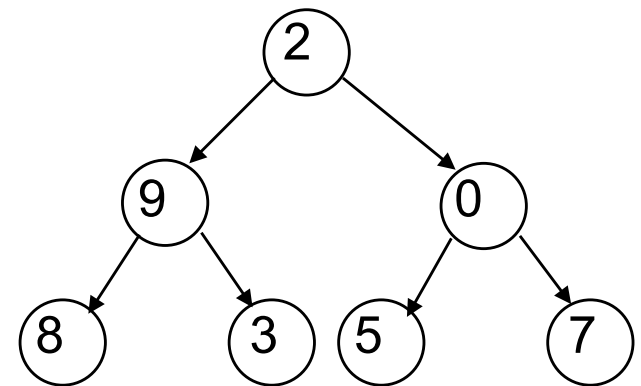
Use the recursive result to build a solution for the full input.

# Searching in a Binary Tree

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```
/** Return true iff x is the datum in a node of tree t*/  
public static boolean treeSearch(T x, TreeNode<T> t) {  
    if (t == null) return false;  
    if (x.equals(t.datum)) return true;  
    return treeSearch(x, t.left) || treeSearch(x, t.right);  
}
```

- Analog of linear search in lists:  
given tree and an object, find out if  
object is stored in tree
- Easy to write recursively, harder to  
write iteratively



# Searching in a Binary Tree

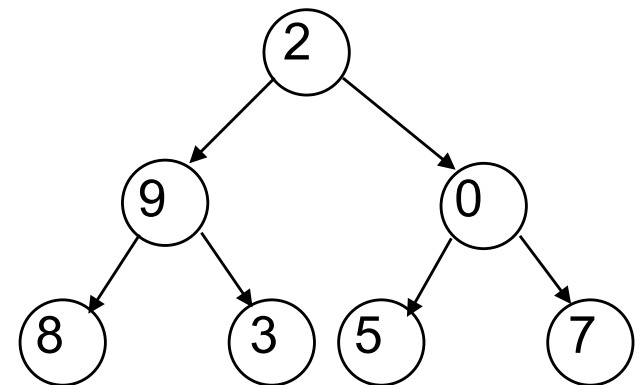
27

```
/** Return true iff x is the datum in a node of tree t*/  
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    if (t == null) return false;  
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    return treeSearch(x, t.left) || treeSearch(x, t.right);  
}
```

**VERY IMPORTANT!**

We sometimes talk of  $t$  as the root of the tree.

But we also use  $t$  to denote the whole tree.



## Some useful methods – what do they do?

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```
/** Method A ??? */  
public static boolean A(Node n) {  
    return n != null && n.left == null && n.right == null;  
}
```

```
/** Method B ??? */  
public static int B(Node n) {  
    if (n == null) return -1;  
    return 1 + Math.max(B(n.left), B(n.right));  
}
```

```
/** Method C ??? */  
public static int C(Node n) {  
    if (n == null) return 0;  
    return 1 + C(n.left) + C(n.right);  
}
```

## Some useful methods

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```
/** Return true iff node n is a leaf */
public static boolean isLeaf(Node n) {
    return n != null && n.left == null && n.right == null;
}

/** Return height of node n (postorder traversal) */
public static int height(Node n) {
    if (n == null) return -1; //empty tree
    return 1 + Math.max(height(n.left), height(n.right));
}

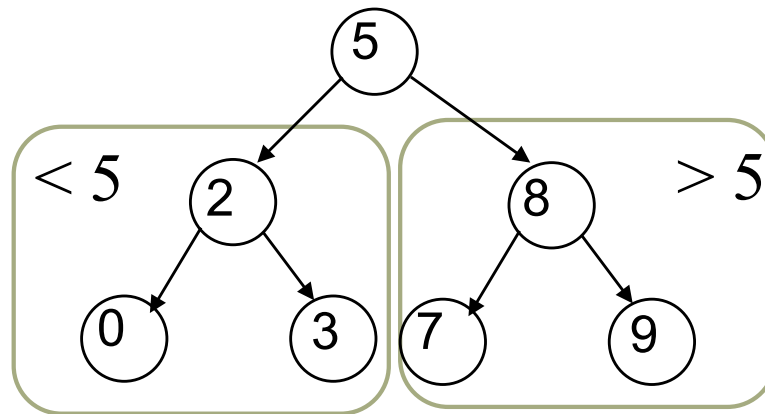
/** Return number of nodes in n (preorder traversal) */
public static int numNodes(Node n) {
    if (n == null) return 0;
    return 1 + numNodes(n.left) + numNodes(n.right);
}
```

# Binary Search Tree (BST)

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A *binary search tree* is a binary tree that is **ordered** and **has no duplicate values**. In other words, for every node:

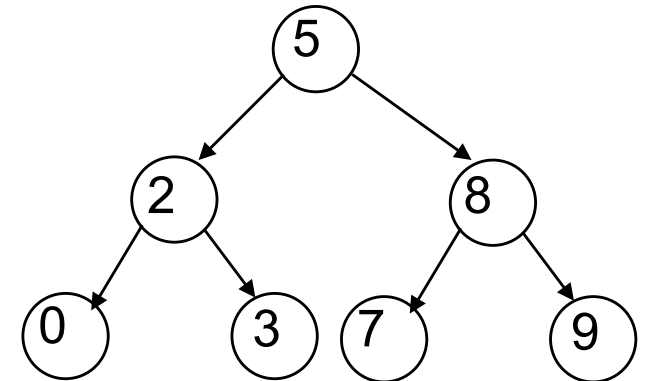
- All nodes in the **left** subtree have values that are **less** than the value in that node, and
- All values in the **right** subtree are **greater**.



A BST is the key to making search way faster.

# Binary Search Tree (BST)

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Compare binary tree to binary search tree:

```
boolean searchBT(n, v):  
  if n==null, return false  
  if n.v == v, return true  
  return searchBST(n.left, v)  
    || searchBST(n.right, v)
```

2 recursive calls

```
boolean searchBST(n, v):  
  if n==null, return false  
  if n.v == v, return true  
  if v < n.v  
    return searchBST(n.left, v)  
  else  
    return searchBST(n.right, v)
```

1 recursive call

# Building a BST

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- To insert a new item:
  - Pretend to look for the item
  - Put the new node in the place where you fall off the tree



# Building a BST

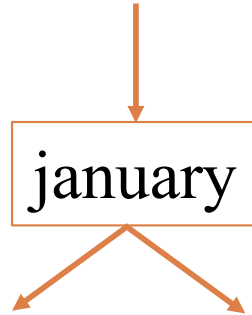
33



january

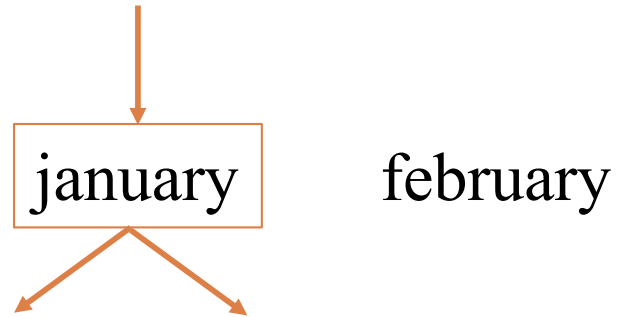
# Building a BST

34



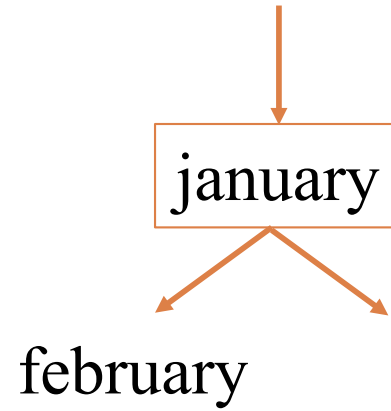
# Building a BST

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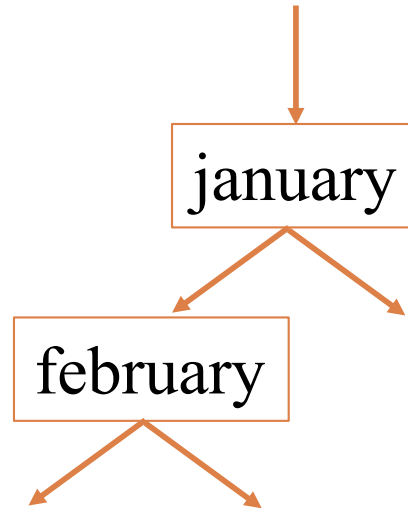
# Building a BST

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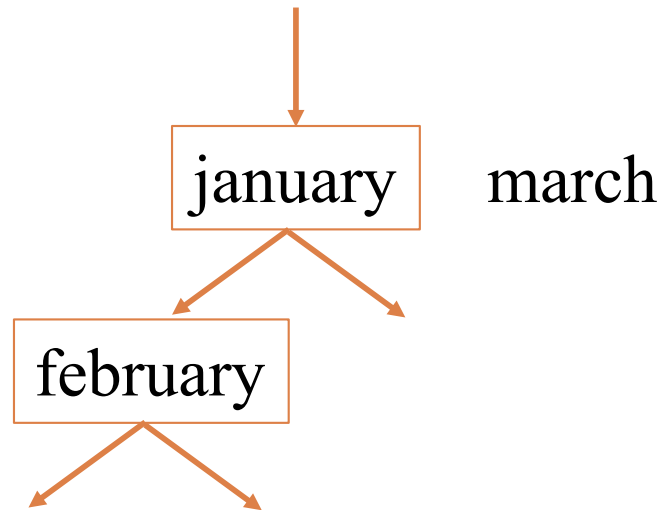
# Building a BST

37



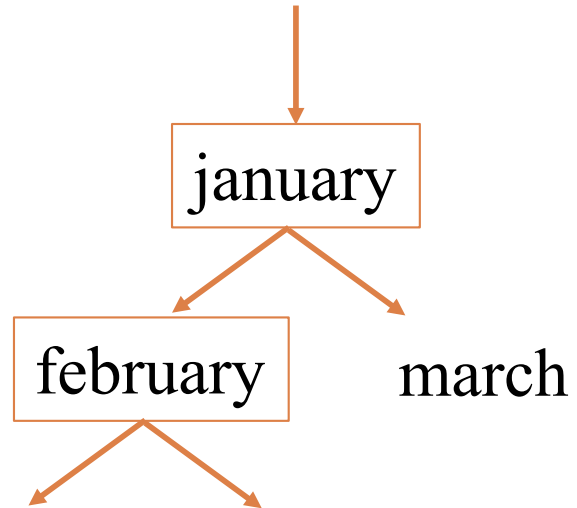
# Building a BST

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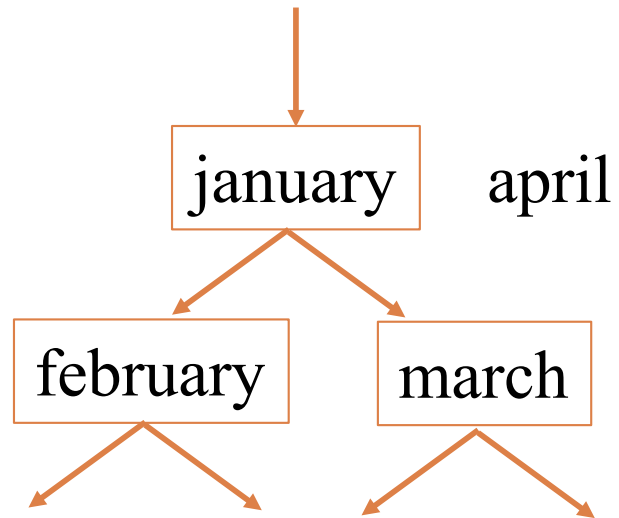
# Building a BST

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# Building a BST

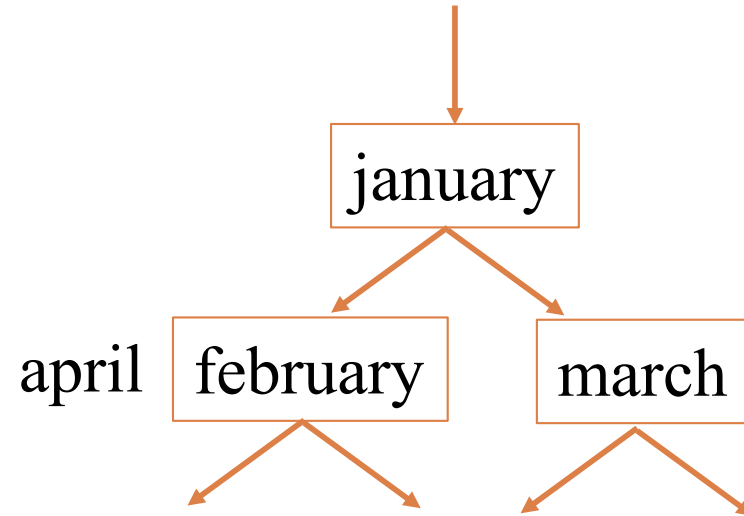
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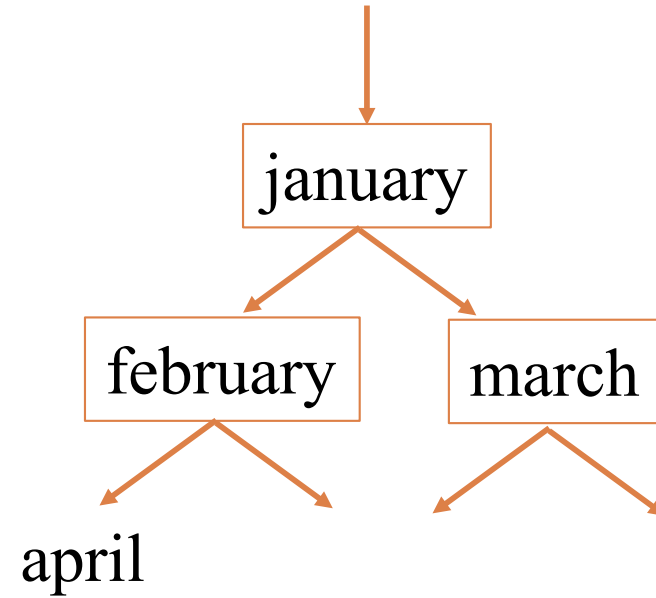
# Building a BST

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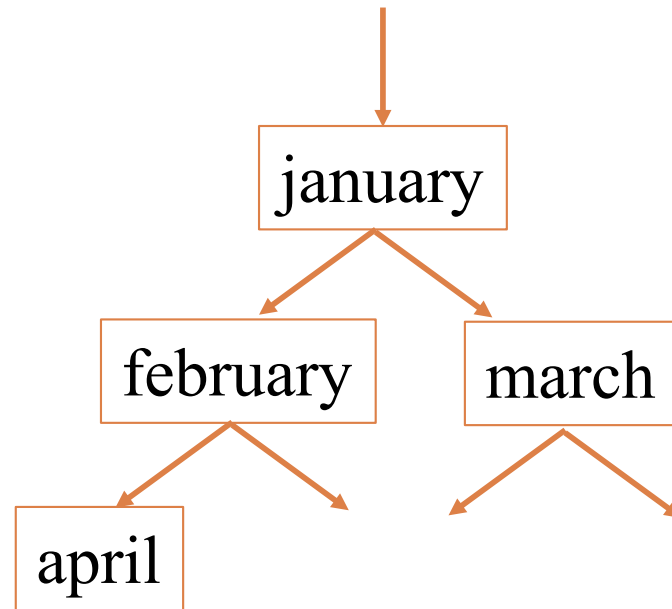
# Building a BST

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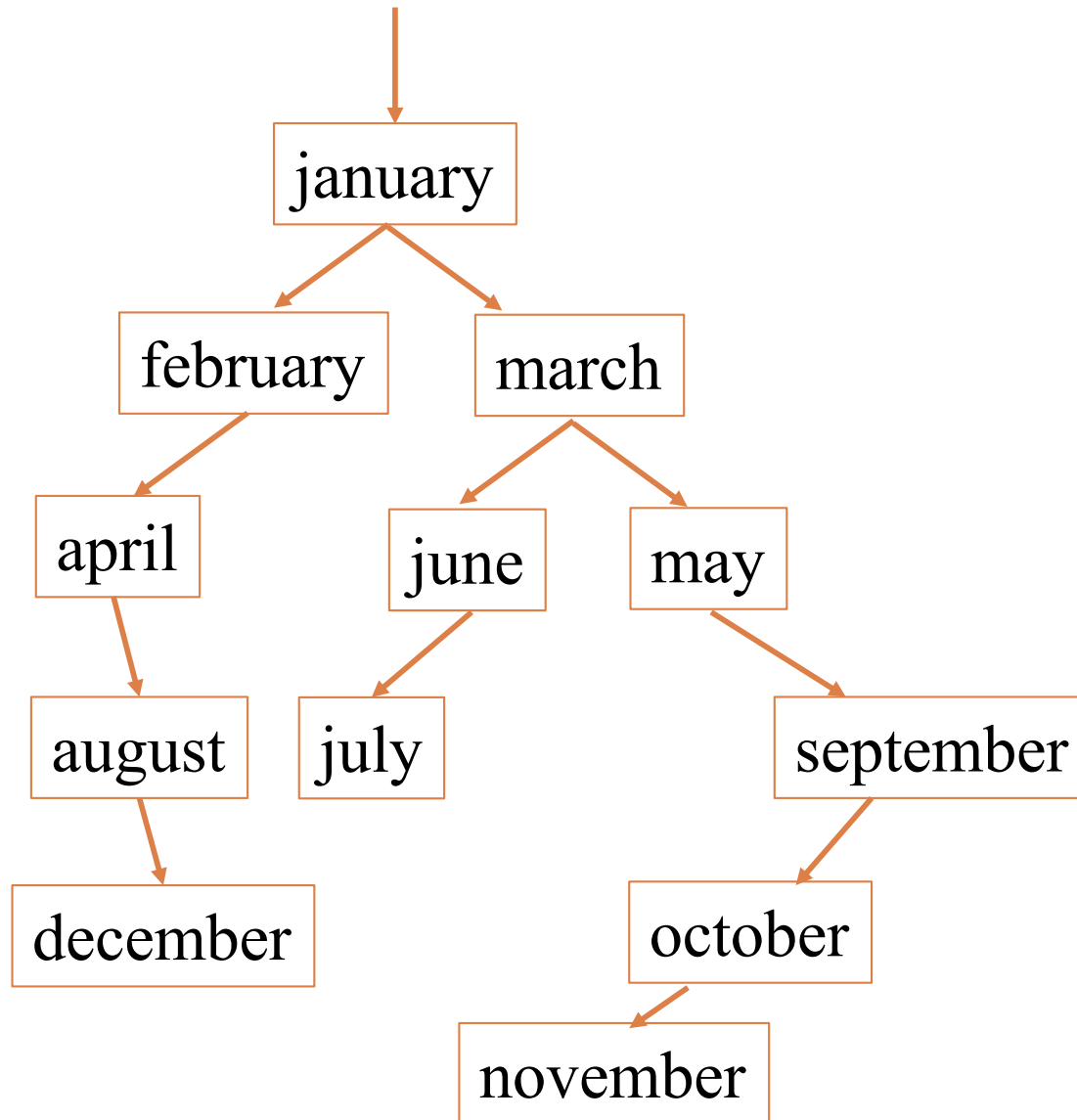
# Building a BST

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# Building a BST

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# Inserting in Alphabetical Order

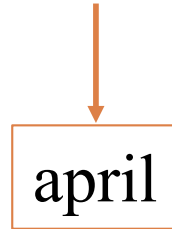
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april

# Inserting in Alphabetical Order

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april

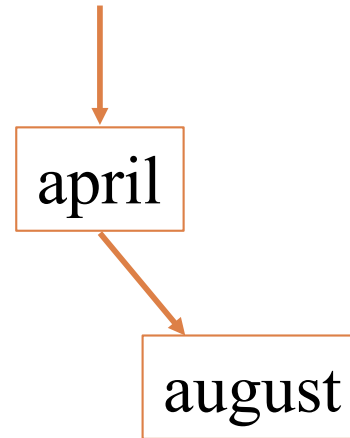
# Inserting in Alphabetical Order

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# Inserting in Alphabetical Order

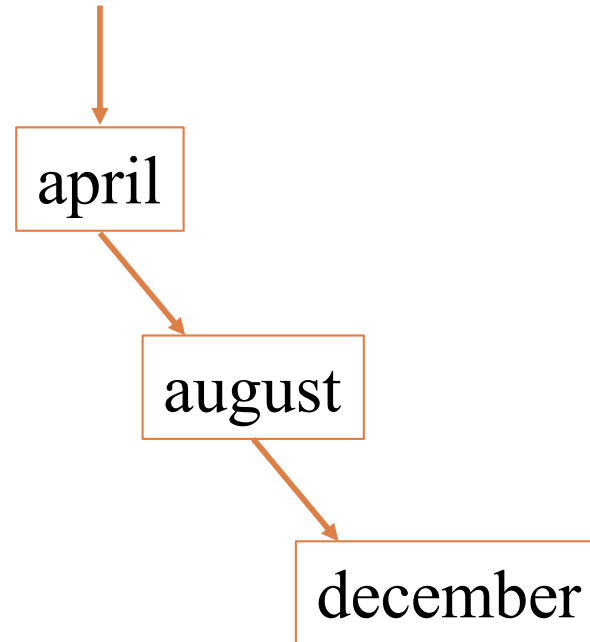
48





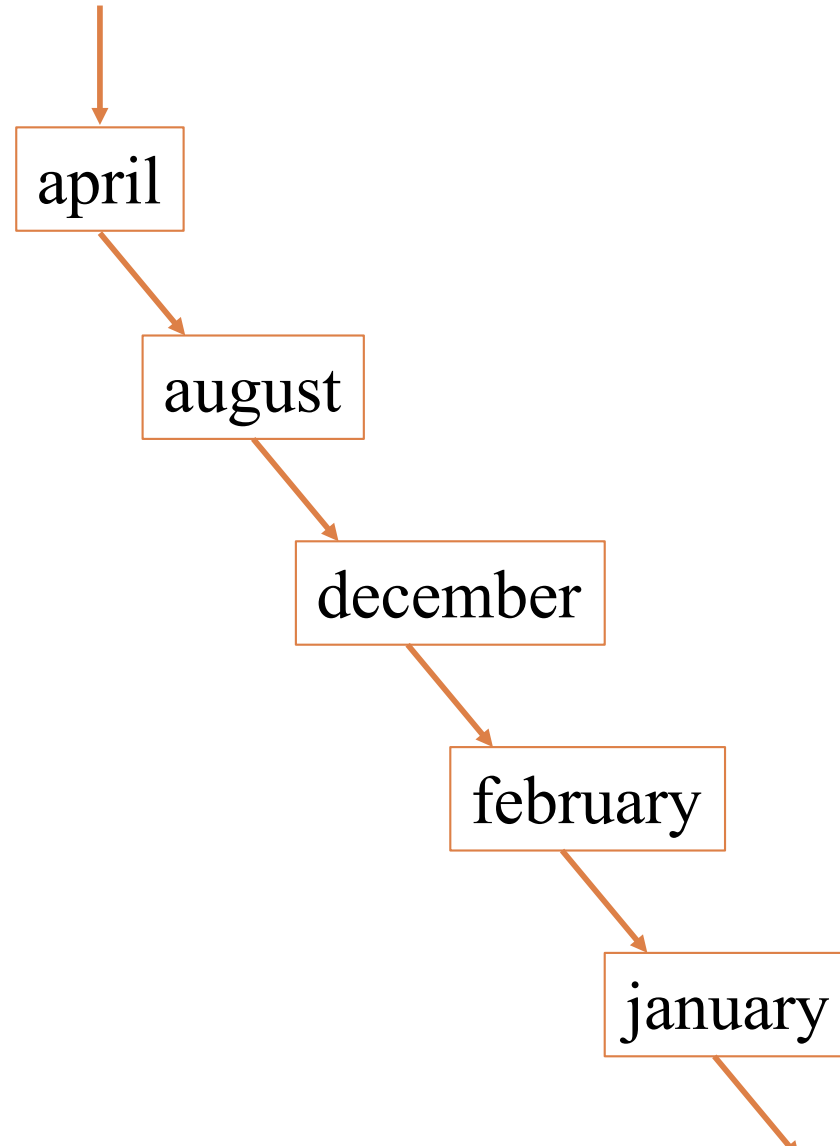
# Inserting in Alphabetical Order

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# Inserting in Alphabetical Order

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# Insertion Order Matters

- A *balanced* binary tree is one where the two subtrees of any node are about the same size.
- Searching a binary search tree takes  $O(h)$  time, where  $h$  is the height of the tree.
- In a balanced binary search tree, this is  $O(\log n)$ .
- But if you insert data in sorted order, the tree becomes imbalanced, so searching is  $O(n)$ .

# Printing contents of BST

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Because of ordering rules for a BST, it's easy to print the items in alphabetical order

- ▣ Recursively print left subtree
- ▣ Print the node
- ▣ Recursively print right subtree

```
/** Print BST t in alpha order */  
private static void print(TreeNode<T> t) {  
    if (t== null) return;  
    print(t.left);  
    System.out.print(t.value);  
    print(t.right);  
}
```

# Tree traversals

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“Walking” over the whole tree is a **tree traversal**

- Done often enough that there are standard names

Previous example:  
**in-order** traversal

- **Process left subtree**
- **Process root**
- **Process right subtree**

Note: Can do other processing besides printing

Other standard kinds of traversals

- **preorder** traversal
  - ◆ **Process root**
  - ◆ **Process left subtree**
  - ◆ **Process right subtree**
- **postorder** traversal
  - ◆ **Process left subtree**
  - ◆ **Process right subtree**
  - ◆ **Process root**
- **level-order** traversal
  - ◆ Not recursive: uses a queue (we’ll cover this later)

# Useful facts about binary trees

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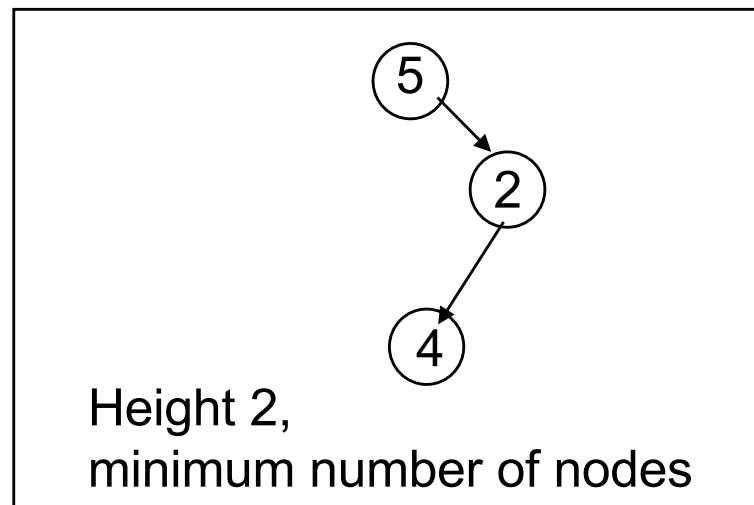
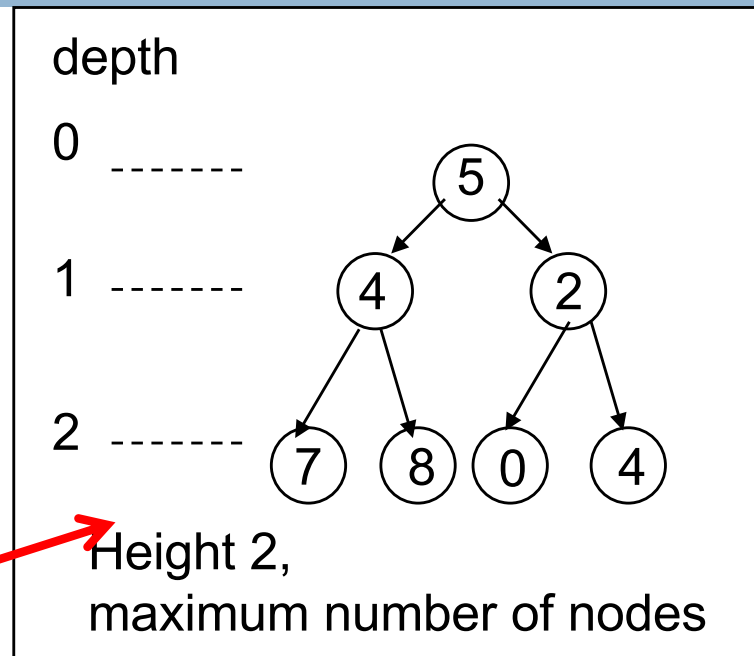
Max # of nodes at depth  $d$ :  $2^d$

If height of tree is  $h$

- ▣ min # of nodes:  $h + 1$
- ▣ max # of nodes in tree:  
 $2^0 + \dots + 2^h = 2^{h+1} - 1$

**Complete binary tree**

- ▣ All levels of tree down to a certain depth are completely filled



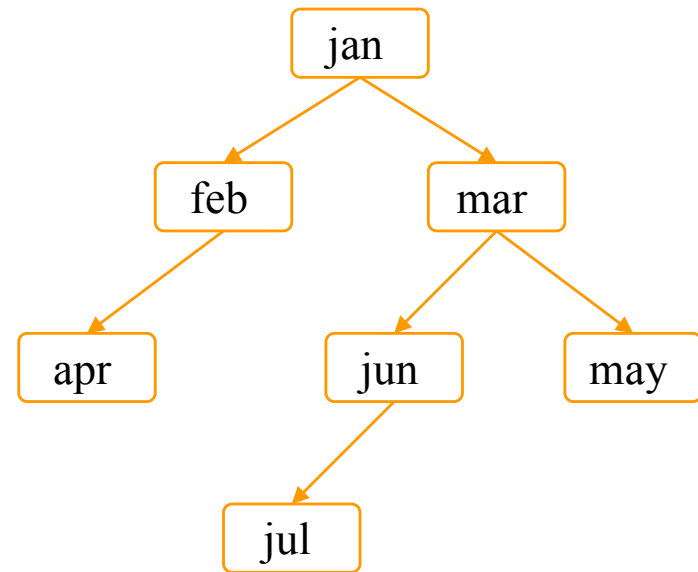
# Things to think about

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What if we want to *delete* data from a BST?

A BST works great as long as it's *balanced*.

There are kinds of trees that can *automatically* keep themselves balanced as you insert things!



# Tree Summary

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- A *tree* is a recursive data structure
  - Each node has 0 or more successors (*children*)
  - Each node except the *root* has exactly one predecessor (*parent*)
  - All nodes are reachable from the *root*
  - A node with no children (or empty children) is called a *leaf*
- Special case: *binary tree*
  - Binary tree nodes have a left and a right child
  - Either or both children can be empty (null)
- Trees are useful in many situations, including exposing the recursive structure of natural language and computer programs